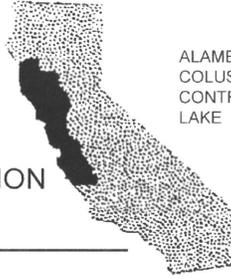


**Appendix E:
Cultural Resources Letter**

Draft Environmental Impact Report for Lake Merritt Station Area Plan
Appendix E: Cultural Resources Letter

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**CALIFORNIA
HISTORICAL
RESOURCES
INFORMATION
SYSTEM**



ALAMEDA
COLUSA
CONTRA COSTA
LAKE

MARIN
MENDOCINO
MONTEREY
NAPA
SAN BENITO
SAN FRANCISCO

SAN MATEO
SANTA CLARA
SANTA CRUZ
SOLANO
SONOMA
YOLO

Northwest Information Center
Sonoma State University
150 Professional Center Drive, Suite E
Rohnert Park, California 94928-3609
Tel: 707.588.8455
Email: leigh.jordan@sonoma.edu
<http://www.sonoma.edu/nwic>

April 19, 2012

NWIC File No.: 11-1032

Hannah Lindelof
Dyett & Bhatia
755 Sansome Street
Suite 400
San Francisco, CA 94111

Re: Record search results for the proposed Lake Merritt Station Area Plan planning area, City of Oakland, CA.

Dear Ms. Lindelof:

Per your request received by our office on 20 March 2012, a records search was conducted for the above referenced project by reviewing pertinent Northwest Information Center (NWIC) base maps that reference cultural resources records and reports, historic-period maps, and literature for Alameda County. Please note that use of the term cultural resources includes both archaeological resources and historical buildings and/or structures.

Review of this information indicates that there is record of 34 cultural resource studies that cover approximately 25% of the Lake Merritt Station Area Planning area. These studies consist of a mixture of architectural and archaeological studies and generally are concentrated around the Highway 880 corridor and around the periphery of Lake Merritt. Please refer to the attached bibliography to this report for a listing of these 34 reports.

The Lake Merritt Station Area Planning area contains six recorded archaeological resources: P-01-000026 (CA-ALA-5), a Native American habitation site; P-01-010520, historic-era residential remains located throughout a city block; P-01-010530, historic-era remains of a former rail line; P-01-010693 and P-01-010694, both of which are areas of shell and dark sand; and P-01-010796, a Native American burial. None of the archaeological sites are explicitly located within any of the opportunity sites; however, sites #15, 20, 21, 37, 38, 39, 43, 44 and 45 are located in close proximity to recorded archaeological resources.

The State Office of Historic Preservation Historic Property Directory (OHP HPD) (which includes listings of the California Register of Historical Resources, California State Historical Landmarks, California State Points of Historical Interest, and the National Register of Historic Places) lists 150 addresses that correlate to addresses within the Lake Merritt Station Planning area. *Please note that additional addresses may be listed in the OHP HPD that are located within the Lake Merritt Station Area Planning area that are not included in this table below, as the addresses provided did not match addresses in the OHP HPD.* Those addresses that are bold are either listed on the National Register and California Register or determined eligible for the National Register and listed on the California Register. Please see the table below for the addresses and current status code and attached to the report is a listing of the various status codes and their respective meanings.

71 10TH ST (7R)	190 6TH ST (3D)	410 7TH ST (7R)	606 ALICE ST (3D)	712 JACKSON ST (7R)
79 10TH ST (7R)	228 6TH ST (3D)	51 8TH ST (3D)	701 ALICE ST (3D)	722 JACKSON ST (7R)
85 10TH ST (7R)	64 7TH ST (3D)	55 8TH ST (3D)	702 ALICE ST (3D)	825 JACKSON ST (3S)
102 10TH ST (6Z)	65 7TH ST (3D)	59 8TH ST (3D)	704 ALICE ST (3D)	1410 JACKSON ST (7R)
113 10TH ST (6Z)	68 7TH ST (3D)	61 8TH ST (3D)	708 ALICE ST (3D)	1431 JACKSON ST (3S)
125 10TH ST (6Z)	70 7TH ST (3D)	77 8TH ST (5S2)	712 ALICE ST (3D)	603 MADISON ST (3D)
151 10TH ST (6Z)	77 7TH ST (3D)	157 8TH ST (3D)	817 ALICE ST (3S)	607 MADISON ST (3D)
178 10TH ST (5S2)	92 7TH ST (3D)	161 8TH ST (3D)	136 E. 12TH ST (6Y)	620 MADISON ST (3D)
241 10TH ST (5S2)	119 7TH ST (3D)	165 8TH ST (3D)	625 FALLON ST (3D)	624 MADISON ST (3D)
250 10TH ST (3S)	121 7TH ST (3D)	171 8TH ST (7R)	705 FALLON ST (3D)	632 MADISON ST (3S)
259 10TH ST (7R)	129 7TH ST (3D)	211 8TH ST (7R)	709 FALLON ST (3D)	715 MADISON ST (7R)
176 11TH ST (6Z)	162 7TH ST (3D)	213 8TH ST (3D)	715 FALLON ST (3D)	717 MADISON ST (3D)
179 11TH ST (6Y)	166 7TH ST (3D)	214 8TH ST (3D)	1225 FALLON ST (3S)	930 MADISON ST (6Y)
258 11TH ST (7R)	167 7TH ST (3D)	251 8TH ST (7R)	700 FRANKLIN ST (3D)	1009 MADISON ST (7R)
315 11TH ST (6Y)	170 7TH ST (3D)	265 8TH ST (3D)	701 FRANKLIN ST (7R)	619 OAK ST (3D)
345 11TH ST (6Z)	176 7TH ST (3D)	277 8TH ST (3D)	712 FRANKLIN ST (3D)	627 OAK ST (3D)
370 11TH ST (5B)	178 7TH ST (3D)	362 8TH ST (7N)	728 FRANKLIN ST (3D)	628 OAK ST (7R)

301 12TH ST (5S2)	181 7TH ST (3D)	366 8TH ST (3D)	800 FRANKLIN ST (5D2)	631 OAK ST (3D)
184 13TH ST (5S2)	182 7TH ST (3D)	373 8TH ST (3D)	810 FRANKLIN ST (5D2)	632 OAK ST (7R)
220 14TH ST (5S2)	185 7TH ST (3D)	374 8TH ST (3D)	401 HARRISON ST (1D)	714 OAK ST (3D)
308 14TH ST (1D)	213 7TH ST (3D)	377 8TH ST (3D)	607 HARRISON ST (3D)	726 OAK ST (3D)
316 14TH ST (6Z)	216 7TH ST (7R)	100 9TH ST (1S)	611 HARRISON ST (3D)	1029 OAK ST (3S)
322 14TH ST (5S2)	221 7TH ST (7R)	138 9TH ST (3D)	726 HARRISON ST (5D2)	1221 OAK ST (2S2)
1245 2ND AV (6Y)	228 7TH ST (3D)	142 9TH ST (3D)	1422 HARRISON ST (5S2)	701 WEBSTER ST (5D2)
11 4TH ST (6Y)	230 7TH ST (3D)	148 9TH ST (6Z)	1425 HARRISON ST (1D)	711 WEBSTER ST (3B)
50 6TH ST (6Y)	231 7TH ST (7R)	323 9TH ST (5S2)	612 JACKSON ST (3D)	823 WEBSTER ST (7R)
170 6TH ST (3D)	234 7TH ST (3D)	346 9TH ST (5B)	616 JACKSON ST (3D)	824 WEBSTER ST (3D)
178 6TH ST (3D)	235 7TH ST (3D)	375 9TH ST (7R)	624 JACKSON ST (3D)	831 WEBSTER ST (5D2)
182 6TH ST (3D)	262 7TH ST (3D)	383 9TH ST (3D)	628 JACKSON ST (3D)	1101 WEBSTER ST (5B)
186 6TH ST (3D)	272 7TH ST (3D)	387 9TH ST (3D)	705 JACKSON ST (3D)	1115 WEBSTER ST (7R)

In addition to the above listed addresses, the following districts are listed in the OHP HPD as including addresses within the Lake Merritt Station Area Planning area:

Chinatown Commercial District (3S)
Harrison Square (3S)
Roger Coit Building Group District (3S)
Harrison and 15th Street Historic District (1S)
Lansing House and Bromewell Curtis District (3S)
10th & Oak Street District (7R)
Lake Merritt District (3S)
Lake Merritt Wild Duck Refuge (1S)

In addition to these inventories, the NWIC base maps show 30 recorded buildings or structures that have been recorded within the Lake Merritt Station Area Planning area:

Primary No.	Resource Name
P-01-000850	Fire Alarm Building
P-01-001038	Pioneer Soda Water Co. Warehouse
P-01-001039	Pioneer Soda Water Co. Factory
P-01-001040	Hotel Milton
P-01-001041	Howden (Robert) Store
P-01-001042	Webster Block
P-01-001043	Veitch (W.T.)- Morgan & Peacock Building
P-01-001044	Bradley (Mary J.) Store Building
P-01-001045	Babcock (A.) Auto Showroom
P-01-001117	270-276 11th Street Self-Storage Building
P-01-001783	Southern Pacific Railroad
P-01-003685	LAKE MERRITT WILD DUCK REFUGE
P-01-004376	Harrison Square
P-01-004533	Alameda County Court House
P-01-004561	OAKLAND AUDITORIUM, HENRY J KAISER CONVENTION CN
P-01-010598	Zellerbach Paper Co. warehouse
P-01-010692	
P-01-010775	Asian Health Service Building
P-01-010821	Nimitz Freeway (Interstate 880)
P-01-010822	Municipal Garage
P-01-010823	Port of Oakland Warehouse
P-01-010824	Oakland Recreation Department Maintenance Shop
P-01-010825	Civic Center Lodge
P-01-010894	Lake Merritt District

P-01-010909	Peralta Park
P-01-010910	Lincoln Bust
P-01-010922	The Oakland Museum of California
P-01-010923	Tenth Street Culvert
P-01-010924	Twelfth Street Culvert
P-01-010981	Alameda County Administration Building

At the time of Euroamerican contact the Native Americans that lived in the area were speakers of the Costanoan/Ohlone language, part of the Utian language family (Levy 1978:485). There are no Native American resources in or adjacent to the proposed project area referenced in the ethnographic literature. Milliken notes that the Huchiun occupied most of East Bay shore (1995:243).

Based on an evaluation of the environmental setting and features associated with known sites, Native American resources in this part of Alameda County have been found in close proximity to the former margin of the bay and its associated estuaries and marshlands, near sources of water (including perennial and intermittent streams and springs), and near other productive resource environments. The Lake Merritt Station Area Planning area is located adjacent to the marshlands and the estuary that predates Lake Merritt and contains relatively stable Holocene-age terrestrial landforms. In addition, the Lake Merritt Station Area Planning area also contains Middle Holocene-age buried land surfaces that have a significant potential of containing buried archaeological deposits that show no signs on the surface. Given the similarity of these environmental factors, there is a high potential of identifying unrecorded Native American resources, especially buried archaeological deposits, in the proposed Lake Merritt Station Area Planning area.

Review of historical literature and maps indicated the possibility of historic-period archaeological resources within the Lake Merritt Station Area Planning area. This area includes the original area platted for the city of Oakland that was incorporated in 1852. Archaeological investigations conducted for various projects along the Highway 880 corridor recovered significant historic-era archaeological materials in a similar urban environment to the Lake Merritt Station Planning area. With this in mind, there is a high potential of identifying unrecorded historic-period archaeological resources in the Lake Merritt Station Area Planning area.

RECOMMENDATIONS:

1) The purpose of a Lake Merritt Station Area Plan is to provide a planning document that will guide future development. Given the presence of known cultural resources and the likelihood of additional unrecorded cultural resources in the area, it is recommended that future projects be considered on an individual basis under the NWIC's Project Review Program. This Program is organized to aid cities and counties in meeting their CEQA obligations during the initial study phase. These reviews result in project specific information and recommendations, and are completed in five working days. Please contact the NWIC Coordinator at 707/588-8455 for additional information.

2) As per Senate Bill 18 (Chapter 905, Statutes of 2004), local governments are required to consult with California Native American tribes prior to making certain planning decisions and to provide notice to tribes at certain key points in the planning process. These consultation and notice requirements apply to adoption and amendment of specific plans (defined in Government Code (§65450 et seq.)). Each time a local government considers a proposal to adopt a specific plan, they are required to contact the appropriate tribes identified by the Native American Heritage Commission.

3) There are 6 recorded archaeological resources in the Lake Merritt Station Area Planning area. It is recommended that prior to development within this area a professional archaeologist assess the resource(s) and provide project-specific recommendations if necessary. Please refer to the list of consultants who meet the Secretary of Interior's Standards at <http://www.chrisinfo.org>.

4) There is a high possibility of identifying Native American archaeological resources and a high possibility of identifying historic-period archaeological resources in the project area. We recommend a qualified archaeologist conduct further archival and field study to identify cultural resources. It is further recommended that any development within the Lake Merritt Specific Plan include a good faith effort to identify any buried archaeological deposits. Field study may include, but is not limited to, pedestrian survey, hand auger sampling, shovel test units, or geoarchaeological analyses as well as other common methods used to identify the presence of archaeological resources. Please refer to the list of consultants who meet the Secretary of Interior's Standards at <http://www.chrisinfo.org>.

5) The proposed project area contains over 150 recorded buildings, structures, and districts (several that are listed or determined eligible on the National Register and California Register) and likely numerous unrecorded buildings or structures; therefore, prior to commencement of project activities, it is recommended that the built environment assessed by a professional familiar with the architecture and history of Alameda County. Please refer to the list of consultants who meet the Secretary of Interior's Standards at <http://www.chrisinfo.org>.

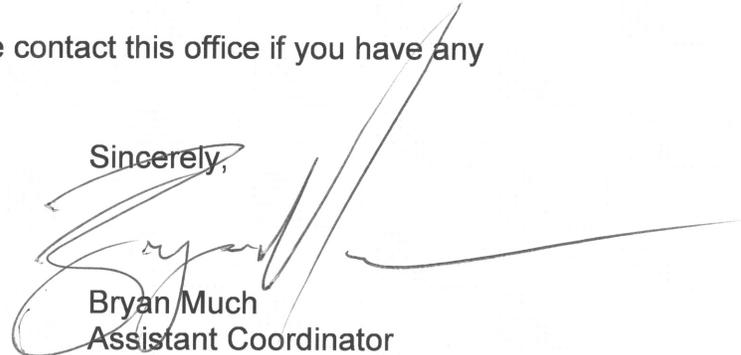
6) Review for possible historic-period buildings or structures has included only those sources listed in the attached bibliography and should not be considered comprehensive.

7) If archaeological resources are encountered **during construction**, work should be temporarily halted in the vicinity of the discovered materials and workers should avoid altering the materials and their context until a qualified professional archaeologist has evaluated the situation and provided appropriate recommendations. Project personnel should not collect cultural resources. Native American resources include chert or obsidian flakes, projectile points, mortars, and pestles; and dark friable soil containing shell and bone dietary debris, heat-affected rock, or human burials. Historic-period resources include stone or adobe foundations or walls; structures and remains with square nails; and refuse deposits or bottle dumps, often located in old wells or privies.

8) It is recommended that any identified cultural resources be recorded on DPR 523 historic resource recordation forms, available online from the Office of Historic Preservation's website: http://ohp.parks.ca.gov/default.asp?page_id=1069

Thank you for using our services. Please contact this office if you have any questions, (707) 588-8455.

Sincerely,

A handwritten signature in black ink, appearing to read 'Bryan Much', is written over the typed name. The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Bryan Much
Assistant Coordinator

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- Michael Corbett (Dames and Moore)
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- Brian Hatoff (URS Corp)
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**Note that the Office of Historic Preservation's *Historic Properties Directory* includes National Register, State Registered Landmarks, California Points of Historical Interest, and the California Register of Historical Resources as well as Certified Local Government surveys that have undergone Section 106 review.

California Historical Resource Status Codes

1 Properties listed in the National Register (NR) or the California Register (CR)

- 1D Contributor to a district or multiple resource property listed in NR by the Keeper. Listed in the CR.
- 1S Individual property listed in NR by the Keeper. Listed in the CR.

- 1CD Listed in the CR as a contributor to a district or multiple resource property by the SHRC
- 1CS Listed in the CR as individual property by the SHRC.
- 1CL Automatically listed in the California Register – Includes State Historical Landmarks 770 and above and Points of Historical Interest nominated after December 1997 and recommended for listing by the SHRC.

2 Properties determined eligible for listing in the National Register (NR) or the California Register (CR)

- 2B Determined eligible for NR as an individual property and as a contributor to an eligible district in a federal regulatory process. Listed in the CR.
- 2D Contributor to a district determined eligible for NR by the Keeper. Listed in the CR.
- 2D2 Contributor to a district determined eligible for NR by consensus through Section 106 process. Listed in the CR.
- 2D3 Contributor to a district determined eligible for NR by Part I Tax Certification. Listed in the CR.
- 2D4 Contributor to a district determined eligible for NR pursuant to Section 106 without review by SHPO. Listed in the CR.
- 2S Individual property determined eligible for NR by the Keeper. Listed in the CR.
- 2S2 Individual property determined eligible for NR by a consensus through Section 106 process. Listed in the CR.
- 2S3 Individual property determined eligible for NR by Part I Tax Certification. Listed in the CR.
- 2S4 Individual property determined eligible for NR pursuant to Section 106 without review by SHPO. Listed in the CR.

- 2CB Determined eligible for CR as an individual property and as a contributor to an eligible district by the SHRC.
- 2CD Contributor to a district determined eligible for listing in the CR by the SHRC.
- 2CS Individual property determined eligible for listing in the CR by the SHRC.

3 Appears eligible for National Register (NR) or California Register (CR) through Survey Evaluation

- 3B Appears eligible for NR both individually and as a contributor to a NR eligible district through survey evaluation.
- 3D Appears eligible for NR as a contributor to a NR eligible district through survey evaluation.
- 3S Appears eligible for NR as an individual property through survey evaluation.

- 3CB Appears eligible for CR both individually and as a contributor to a CR eligible district through a survey evaluation.
- 3CD Appears eligible for CR as a contributor to a CR eligible district through a survey evaluation.
- 3CS Appears eligible for CR as an individual property through survey evaluation.

4 Appears eligible for National Register (NR) or California Register (CR) through other evaluation

- 4CM Master List - State Owned Properties – PRC §5024.

5 Properties Recognized as Historically Significant by Local Government

- 5D1 Contributor to a district that is listed or designated locally.
- 5D2 Contributor to a district that is eligible for local listing or designation.
- 5D3 Appears to be a contributor to a district that appears eligible for local listing or designation through survey evaluation.

- 5S1 Individual property that is listed or designated locally.
- 5S2 Individual property that is eligible for local listing or designation.
- 5S3 Appears to be individually eligible for local listing or designation through survey evaluation.

- 5B Locally significant both individually (listed, eligible, or appears eligible) and as a contributor to a district that is locally listed, designated, determined eligible or appears eligible through survey evaluation.

6 Not Eligible for Listing or Designation as specified

- 6C Determined ineligible for or removed from California Register by SHRC.
- 6J Landmarks or Points of Interest found ineligible for designation by SHRC.
- 6L Determined ineligible for local listing or designation through local government review process; may warrant special consideration in local planning.
- 6T Determined ineligible for NR through Part I Tax Certification process.
- 6U Determined ineligible for NR pursuant to Section 106 without review by SHPO.
- 6W Removed from NR by the Keeper.
- 6X Determined ineligible for the NR by SHRC or Keeper.
- 6Y Determined ineligible for NR by consensus through Section 106 process – Not evaluated for CR or Local Listing.
- 6Z Found ineligible for NR, CR or Local designation through survey evaluation.

7 Not Evaluated for National Register (NR) or California Register (CR) or Needs Reevaluation

- 7J Received by OHP for evaluation or action but not yet evaluated.
- 7K Resubmitted to OHP for action but not reevaluated.
- 7L State Historical Landmarks 1-769 and Points of Historical Interest designated prior to January 1998 – Needs to be reevaluated using current standards.
- 7M Submitted to OHP but not evaluated - referred to NPS.
- 7N Needs to be reevaluated (Formerly NR Status Code 4)
- 7N1 Needs to be reevaluated (Formerly NR SC4) – may become eligible for NR w/restoration or when meets other specific conditions.
- 7R Identified in Reconnaissance Level Survey: Not evaluated.
- 7W Submitted to OHP for action – withdrawn.