

# **CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)**

**July 1, 2013- June 30, 2014**



September 30, 2014

Department of Housing and Community Development



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# EXECUTIVE SUMMARY

Each year, the City of Oakland receives federal grant funds under the Community Development Block Grant (CDBG), HOME Investment Partnership, Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) Programs. In May 2010, the City submitted to the U.S. Department of Housing and Urban Development (HUD) the required Five Year Consolidated Plan for Housing and Community Development, outlining needs, priorities, strategies and proposed actions for the period of July 1, 2010 through June 30, 2015. In addition, each year, the City prepares the Annual Action Plan prior to the program year, and the Consolidated Annual Performance and Evaluation Report (CAPER) at the end of the program year.

The CAPER provides information on accomplishments in the City of Oakland in meeting goals set forth in the Annual Action Plan of the Consolidated Plan for providing affordable housing, supportive services for the homeless and persons with special needs, and non-housing community development. The CAPER also provides information on the City's progress in meeting five-year goals of the 2010-2015 Consolidated Plan. The following executive summary outlines the program changes and accomplishments for Housing and Homeless, Economic Development and Public Services and Infrastructure program areas for July 1, 2013 through June 30, 2014.

## Housing and Homelessness Accomplishments

The Five Year Consolidated Plan established priorities and goals for addressing issues of affordable housing and homelessness. The summary below is organized according to those priority areas.

The table beginning on page 50 lists goals and accomplishments for the period July 1, 2013 through June 30, 2014, and cumulatively for the entire five year period covered by the 2010-2015 Consolidated Plan. For the 2013-14 program year, the City's housing and homeless programs, funded through CDBG, HOME, HOPWA, ESG funds and leveraged funds, achieved the following:

### Preservation/Expansion of the Supply of Affordable Rental, Ownership and Special Needs Housing

- Awarded a total of \$4,352,000 to two new construction projects, including HOME and local Affordable Housing Trust Fund dollars (Civic Center 14 TOD and 11<sup>th</sup> and Jackson).
- Awarded a total of \$750,000 to Oakland Home Renovation Project to acquire and rehabilitate 5 single family homes for resale to low-income households.
- Executed Loan Agreement for the new construction project, 1701 Martin Luther King Jr. Blvd., including 25 affordable low-income rental units and 1 manager's unit.
- Continued construction of Cathedral Gardens, including 99 units of affordable housing and 1 manager's unit.

- Completed construction of MacArthur Apartments, including 31 units of affordable rental housing and 1 manager's unit.
- Initiated construction of MacArthur Transit Village (aka Mural Apartments), including 89 units of affordable housing and 1 manager's unit.
- Completed the construction of Saint Joseph's Affordable Family Apartments (aka Terraza Palmera)
- Work continued on the rehabilitation of C.L. Dellums Apartments, 73 units of affordable rental apartments.
- Completed the rehabilitation of the California Hotel, a 137 unit SRO with full occupancy reached in December 2013.
- Completed the rehabilitation of Drasnin Manor, an existing building with 25 units of affordable family rental housing and 1 manager's unit.
- Completed the second and final phase of rehabilitation of Effie's House, an existing building with 20 units of affordable rental housing and 1 manager's unit.
- Completed the rehabilitation of Kenneth Henry Court, an existing building with 50 units of affordable family housing and 1 manager's unit.
- Completed the rehabilitation of Madison Park Apartments, an existing building with 96 units of affordable housing and 2 manager's units.
- Construction slated to begin in FY 14-15 on the rehabilitation of the Madrone Hotel, a 31 unit SRO.
- Construction slated to begin in FY 14-15 on the rehabilitation of Marcus Garvey Commons, 22 affordable rental units that rent at low income levels to families.
- Rehabilitation of the Savoy (that consisted of joining two adjacent SROs--The Oaks Hotel and Jefferson Oaks Apartments) was completed in FY 2012-13 and project close-out was completed in FY 2013-14. The building includes a total of 101 affordable units and 1 manager's unit.
- Construction was completed on Brookfield Court, 12 units of duet-style homeownership units.
- Sold eight affordable homeownership units in Pacific Renaissance Plaza.

## **Assistance to Homeowners, Tenants and Neighborhoods**

- Launched Oakland Sustainable Neighborhoods Initiative (OSNI), a public-private partnership to revitalize International Blvd Corridor areas without displacing long-time residents and small businesses.
- Wrote Oakland Housing Equity Roadmap, a comprehensive action plan for new City policies and programs, including public/private initiatives, to address Oakland's priority housing problems including anti-displacement of long-time residents, housing habitability, unmet housing needs of working class families, and new funding resources or private development incentives to build new affordable housing.
- Launched the Community Buying Program, that organizes nonprofit and for profit developers to transform abandoned properties into new affordable housing opportunities. Accomplishments include the development of a "soft landing" program to prevent displacement of long-time homeowners experiencing foreclosure, completed one

property transaction, successfully negotiated transactions with County Tax Collector for 34 properties, and pending transactions on 16 additional bank controlled properties.

- Launched the Homeownership Preservation Loan Fund that provides up to \$50,000 to prevent foreclosure of long-time homeowners. Administered by Unity Council, the Fund has served 3 families since its inception in spring 2014.
- Launched the Pay It Forward Fund (tenant anti-displacement) that provides up to \$5,000 to prevent displacement of tenants. Administered by Catholic Charities, the Fund has provided 24 grants since its inception in spring 2014.
- Continued supporting comprehensive foreclosure prevention services that provides outreach services to over 3,000 households, counseling or legal services to homeowners and related counseling or legal services tenants.
- Continued operations of the Housing Assistance Center, a one-stop housing services and referral system, which served over 4,000 vulnerable residents in FY13-14.
- Continued operations of the defaulted & foreclosed properties registration & maintenance program, over 1,200 properties were registered by banks, 449 properties spot-inspected by City Code Enforcement, and over \$1.4 million in fees and penalties directly collected. The FY13-14 blighted properties rate based upon spot inspections was 3% compared to 50% when the program began in 2012.
- Continued operations of the Investor owned properties registration & maintenance program, over 90 properties were registered by inspectors, 74 properties inspected by City Code Enforcement, and \$73,000 in fees and penalties directly collected.
- Supported the Healthy Housing Integrated Case Management program, City Code Enforcement and County Public Health staff provided integrated services to 45 families with health problems due to their housing conditions.

## **Assistance to First-Time Homebuyers**

- The CalHome Program made 3 loans totaling \$122,000.
- The Mortgage Assistance Program (MAP) made 5 loans totaling \$295,000.
- The LHTEF (SAM) Program was developed and administered to fund 16 loans totaling \$942,248.
- The BEGIN project-specific Mortgage Assistance Program was developed and a \$427,850 fund draw was prepared to assist 10 households in contract.
- The Homeownership Education Program enrolled 518 into homebuyer workshops; 306 attended and 275 participants completed the program and received certificates.

## **Housing Rehabilitation and Neighborhood Improvement**

- Residential Lending Unit was responsible for completing 218 rehabilitation projects.
- The Home Maintenance and Improvement Program (HMIP) completed rehabilitation of 20 units of owner-occupied housing. An additional 19 units are underway.

- HMIP benefited the homeowners with remediation of dangerous health and safety issues, major energy efficiency improvements, lead paint hazards, as well as housing code violations. The homeowners also benefited from home management counseling, lead hazard risk assessments and consultation, protection from predatory contractors and/or lenders and construction project monitoring provided by staff.
- The Weatherization and Energy Retrofit Program (WERP) completed 23 homes with an additional 14 under construction. The WERP benefits homeowners by providing financial and technical assistance to improve the energy efficiency of their homes. Eligible improvements include window replacement, insulation, furnace replacement, appliance replacement and solar panel installation.
- The Lead Safe Hazard Paint Program (LSHP) completed 24 homes. An additional 6 units are underway. The LSHP Program benefited clients with exterior improvement of their home, by eliminating health hazards through lead abatement and violations of City codes in their homes.
- The Access Improvement Program (AIP) completed work on 14 units. An additional 12 unit are underway.
- Minor Home Repair Program (MHRP) repaired 130 housing units. There are currently N/A projects pending.
- The Emergency Home Repair Program (EHRP) completed repairs on 3 units with 4 under construction. The EHRP benefits homeowners by providing financial assistance for single-system repairs. Primary repairs performed are roofs, plumbing and sewer system repairs.
- Rebuilding Together Oakland received \$134,463 in FY 2013-14 funds for the Home Repairs and Safety/Accessibility Modifications Program. This program renovated 39 homes in Eastlake/San Antonio/Chinatown, Western Oakland, and Central Oakland, benefitting low income senior and/or disabled homeowners.

## Homeless Services

- The City of Oakland awarded agencies to provide housing, housing services, rapid rehousing, homeless prevention, shelter and outreach. Through the Oakland Permanent Access To Housing (PATH) Strategy, funded by HUD Emergency Solutions Grant (ESG), leveraged and matching fund sources, and PATH partner programs (Homeless Mobile Outreach Program, Oakland PATH Rehousing Initiative - OPRI and the Oakland Temporary Winter Shelter), Six hundred and sixty nine (669) individuals who were homeless or at risk of homelessness received access to permanent housing or maintained permanent housing; and approximately 6,723 shelter bednights were provided to 528 homeless clients.
- The City, along with Operation Dignity and Abode services administered the PATH Homeless Mobile Outreach Program (HMOP), serving those living in homeless encampments. Within the FY 2013/14 program year, site assessments for a number of encampments were performed. More than 7,200 unit of harm reduction services, outreach and intensive case management were provided to persons living in homeless encampments in Oakland.

- The City was awarded State Emergency Housing Assistance Program (EHAP) loan funds in the amount of \$999,998 in response to the 2006/2007 State EHAP NOFA. The funding was for repairs and replacement of major equipment and systems at the Henry Robinson Multi-Service Center. The proposed work consisted of the replacement of the passenger elevator, the installation of a sidewalk lift and hatch doors, the replacement of unit or parts of all heating, ventilation and air conditioning equipment building-wide and general upgrades to electrical and plumbing systems. Construction started in August of 2012 and the project was completed in January 2014. Notices of Completion were recorded on January 17, 2014.
- The City was also awarded 2011 EHAP funding in the amount of \$250,000 for the rehabilitation of a single family house used for transitional housing. Architectural drawings have been completed and submitted to the City of Oakland's Planning Department for approval and issuance of permits. The City has started the formal bid process to select a general contractor for the project.
- The Crossroads Shelter, operated by East Oakland Community Project (EOCP) served 459 (unduplicated) people. Crossroads clients received case management services that included health care connections, housing needs, job readiness and wellness support, life skills training, substance abuse and mental health counseling and referrals to job training and employment. 131 clients, secured permanent housing and 52 moved into transitional housing.
- Through the City's Transitional/Supportive Housing Programs, approximately 314 household (491 individuals) received transitional housing and services, assisting each household to become more stable, as they move toward obtaining permanent housing.
- The Oakland Army Base Temporary Winter Shelter provided approximately 14,832 shelter bednights to approximately 309 unduplicated homeless individuals that would otherwise be sleeping on the streets during the wet and cold winter weather.
- The Homeless Mobile Outreach Program (HMOP) conducted field intakes with 75 new and 208 continuing homeless encampment clients; performed homeless encampment site assessments, encampment site clean-ups, outreach, intensive case management, and harm reduction (meals and supplies).
- Oakland PATH Rehousing Initiative (OPRI) which works with the Oakland Housing Authority and with the HMOP/Permanent Access To Housing (PATH) and other community housing and services, assists people into permanent housing by moving them directly from homeless encampments, shelters, foster care and the criminal justice system into subsidized housing. The total number of people served in 2013/14 under the OPRI is 197. 167 of these clients have maintained their permanent housing status.
- Through the Housing Opportunities for Persons with AIDS program (HOPWA), more than 155 persons with HIV/AIDS and their families received housing assistance, to include resources and referral services, tenant based rental assistance, permanent supportive housing and other housing services. Twelve HOPWA housing units were completed during FY 2013-14. Acquisition, rehabilitation and/or development of additional set-aside of 42 HIV/AIDS living units are underway.
- Through the Food Distribution Hunger Program, more than 31,500 meals were provided through "brown bag food distributions" to 3,500 clients.
- Over **2000** hot meals were provided at the City's Annual Thanksgiving Dinner for the homeless, low-income, and seniors of Oakland.

A table outlining the accomplishments of the Housing and Homeless Services program area is included at the end of the Executive Summary.

## Economic Development Accomplishments

- **Economic Development**

FY 2013-14 saw a dynamic positive movement in the Oakland economy. Some highlights:

- **Vator Splash Conference Celebrates Oakland.** With more than 300 businesses and an estimated \$242 million in venture capital invested in the last fifteen months, Oakland's tech community is growing. On May 6th and 7th, the international tech conference Vator Splash convened investors and entrepreneurs in Jack London Square for a start-up competition. Organizers moved the flagship event from San Francisco in recognition of Oakland's emerging technology cluster. Many Oakland start-ups participated in the competition, including Clef, a mobile security app, and OppSites, a real estate listing app, which won the grand prize. For more information about Oakland's tech ecosystem, check out [LiveWorkOakland.com](http://LiveWorkOakland.com), an information portal formed through a partnership with The Kapor Center for Social Impact. The site tracks the diversity and depth of tech innovation in Oakland, including a database of technology companies as well as makers, designers, and artisans who are creating and using tech tools.
- **Oakland a Leader in Community-Based Financing Tools; City Launches Kiva Zip.** Microfinance leader Kiva, in partnership with the City, now offers a way to support new businesses and job growth through Kiva Zip, an online crowdfunding tool offering loans to micro-businesses. The City of Oakland is a trustee of Kiva Zip, endorsing loans to small businesses. The loans issued to date have a 100% repayment rate. Recent loan recipients include: OwlNWood, Pollinate, and Loakal/5733.
- **Foothill Square Opens: More Retail on the Way.** In the spring, the City celebrated the re-opening of the Foothill Square Shopping Center in East Oakland and welcomed new tenants to the rebuilt and remodeled mall. The project includes a 75,000-square-foot Foods Co. grocery store, a Ross department store, Anna's Linens, a Wells Fargo branch and other businesses. Since 2011, over 700,000 square feet of retail has opened in Oakland. This summer, a Sprouts grocery store will break ground on Broadway, and Safeway is expanding in several locations. Economic Development staff has worked with the developer for ten years to bring this project to fruition.
- **The Hive Opens on Broadway.** In May, the Hive, a new mixed-use project developed by Signature Development Group, had its official ribbon-cutting. Located in the Uptown neighborhood, the project is home to Impact HUB Oakland, a co-working space offering innovative programs and community events. Other tenants include Numi Tea, Balfour Beatty Construction, Drake's Brewing Co., Calaveras, Flynn Architecture, Truve Fitness, and Red Bay Coffee. A second phase of the project will include 104 new apartments. Economic Development staff has been involved with this project from the beginning.
- **Oakland Establishes Hiring Agreement with CVS Pharmacies.** The City has been growing a talent bank through its workforce investment programs and can now offer

businesses custom hiring services. The Workforce Development unit has established a Memorandum of Understanding with CVS pharmacies to act as a hiring agency for CVS stores in Oakland, screening applicants through its workforce programs to fill 70 vacant positions.

- **Leader in the Maker Movement.** From Rolls Royce to independent industrial artists, Oakland's makers are revitalizing the City's manufacturing sector and have burst onto the national stage. With leadership from Economic Development staff, Oakland was one of the first twelve cities to sign on to the National Mayor's Maker Challenge and Major Jean Quan was invited to the White House to showcase the accomplishments of the Oakland Makers. Blue Sprout, a new industrial manufacturing co-working space offering mentorship, facilities and access to capital, recently opened in Oakland and planning for this fall's East Bay Mini Makers Faire is underway. The City is part of a consortium of East Bay community colleges and workforce boards that received a \$15 Million Design It Build It Ship It grant to support job training programs for manufacturing workers.
- **Sungevity Expands, SfunCube Incubator Leaves Nest.** SfunCube ("Solar for universal need"), a solar incubator dedicated to the rapid development and delivery of solar energy, has moved into a new location in the LEED-certified Wakefield Building at 17th and Broadway in Uptown Oakland. SfunCube was formerly co-housed in Jack London Square with Oakland solar stalwart, Sungevity. The SfunCube move makes room for Sungevity's expansion to more than 400 employees. Sungevity was recently recognized by B Corp as one of the "Best for the World" companies for using the power of business to solve social and environmental problems. Economic Development staff has been working closely with Sungevity and SfunCube.
- **AC Transit Investing in BRT Corridor, Businesses.** AC Transit is investing \$178 million to build a Bus Rapid Transit (BRT) system connecting Downtown Oakland to San Leandro. This significant public transit upgrade will also bring new lighting, art, parking, and pedestrian and bicycle safety improvements to the entire length of International Boulevard. In addition, Oakland, with leadership from Economic Development staff, and AC Transit are providing over \$7 million in business development and financing support to help local businesses prepare for and thrive in a new economic environment when the BRT is complete.
- **Broadway Valdez and West Oakland Specific Plans Adopted.** By the end of the year, Oakland's Planning Department will have completed an unprecedented four specific plans to help guide development and investment in Oakland neighborhoods. The specific plans create a streamlined entitlement process for new development projects that are consistent with the plans. In June, the City Council adopted the Broadway Valdez District Specific Plan, which prioritizes retail and mixed-use development along Broadway between Grand Avenue and Interstate 580. In July, the Council adopted the West Oakland Specific Plan, identifying opportunity sites for new jobs, services and housing, while helping balance the neighborhood's broad range of uses. The Lake Merritt Station Area plan and the Coliseum Area Specific Plan will move forward for adoption in the fall and winter. Economic Development staff worked closely with Planning staff.
- **Visual Supply Co (VSCO) Pulls \$40M Series A, Launches Journal, \$1 Million Artists Initiative.** VSCO, a creative platform for photographers, designers and artists is building its new headquarters at 1500 Broadway, shortly after bringing in \$40 million in Series A funding from Accel Partners. "Oakland embodies the grit and creative spirit

of VSCO,” says CEO Joel Flory. Through the development of photography tools (the VSCO Cam® app and VSCO Film®,) and beautiful curated platforms (VSCO Grid™ and VSCO Journal), the company is redefining the process around creating, editing, and sharing images. VSCO is supporting its international creative base through the VSCO Artist Initiative, a \$1 million scholarship fund providing artists with resources to pursue their projects. Economic Development staff has been working closely with VSCO to make the most of its Oakland investment.

- **Mayor’s Summer Jobs Program Expanding to Year-Round; WIB receives \$1 Million for On the Job Training.** More than 2,100 Oakland youth participated in the 2014 Mayor’s Summer Jobs Program. Oaklanders ages 16-21 spent six weeks working for employers including the Port of Oakland, BART, Turner Construction and the City. The City recently funded a youth employment coordinator to expand the program to offer year-round employment opportunities. Last month, the Oakland Workforce Investment Board received a \$1 million grant to provide companies with incentives to create on-the-job training (OJT) opportunities for unemployed job seekers.

- **Commercial Lending**

For the current reporting period, July 1, 2013 – June 30, 2014, City staff and community partners have worked to improve existing services. During this review period, the Commercial Lending staff accomplished the following by utilizing City and federally funded commercial loan programs:

- Underwrote 24 new loans totaling \$2,265,300.
- Leverage \$3,201,401 in private financing and investment capital.
- Created 65 job opportunities in Oakland.
- Retained 30 jobs within Oakland businesses.

## **Public Services and Infrastructure (Neighborhood Improvements) Accomplishments**

- **Public Services**

Funding for public service activities was allocated for 14 subrecipient agreements awarded to 13 private, nonprofit agencies that serve low- and moderate-income persons in the seven Community Development Districts of Oakland. Funding for 3 additional programs that were to be carried out by subrecipients were reprogrammed because the subrecipients were unable to fully comply with and complete contract development requirements. In addition, 2 City-administered programs were funded. The programs implemented by the recipients and the City are:

- **Anti-Crime – 150 low- and moderate-income beneficiaries:** One subrecipient provided workshops and town hall meetings on crime prevention and personal safety as well as

multi-language publications on crime statistics and services for 150 limited-English-speaking seniors.

- Homeless – 9 low- and moderate-income beneficiaries: One subrecipient provided case management, support services and educational and employment instruction for 9 high-risk, homeless young adults. A second subrecipient was funded to provide job training and search skills as well as career coaching and case management for 35 persons in the homeless and reentry population, but the funds were reprogrammed because the agency was unable to comply with contract requirements.
- Microenterprise and Business Assistance – 12,239 low- and moderate-income beneficiaries: One subrecipient provided green business education and technical assistance to 16 microbusinesses. A second subrecipient provided neighborhood and commercial business development assistance and community revitalization coordination benefitting 12,223 residents. A third subrecipient was funded to provide training to assist 12 bi-lingual women in development management and entrepreneurial skills but the funds were reprogrammed because the agency was unable to comply with contract requirements.
- Senior Services – 85,427 low- and moderate-income beneficiaries: One subrecipient provided food subsidies for distribution agencies serving 85,320 low- and moderate-income seniors. One subrecipient provided health, nutrition and day care services for 4 seniors and disabled persons diagnosed with Alzheimer’s and others forms of dementia; as well as training and information for their caregivers. One subrecipient provided in-home care management and medical visits for 60 frail or disabled house-bound seniors. One subrecipient provided social activities, meals, support services and information and referral for 43 limited-English-speaking seniors.
- Tenant/Landlord Counseling – 85 low- and moderate-income beneficiaries: One subrecipient provided advocacy and legal services and assistance for 85 tenants with housing-related problems.
- Youth Services – 1,932 low- and moderate-income beneficiaries: Five subrecipients and two City-administered programs provided enrichment and support services, academic tutoring and education, skills training, personal development, monitoring of safe passage to schools, computer training and instruction, recreation and athletic activities, and intervention counseling. An additional subrecipient was to have provided peer-led violence prevention workshops for 160 middle school students but was unable to comply with contract requirements and the funds were reprogrammed.

- **Infrastructure (Neighborhood Improvements)**

Funding was allocated for 13 capital improvement projects located in predominantly low- and moderate-income areas. Three were administered by three private, non-profit subrecipients, and ten administered by the City.

- Improvements to 1 City-owned branch library and 9 parks and recreation facilities are underway.
- Two subrecipient were awarded funding for two improvement projects. The façade improvements to a community based multi-cultural arts center are underway. The improvements to an emergency housing shelter have been completed.
- One subreceptient was awarded funding for a housing related improvement project that provided exterior/interior home repairs and safety and accessibility modifications for 39 housing units occupied by elderly and disabled homeowners.

## Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015) Goal	YTD	Current Year (FY 2013-2014) Goal	Actual
<b>Objective 1: Expansion of the Supply of Affordable Rental Housing</b>				
Rental Housing New Construction: Units Built	403 Units Built/Underway	275	86	86
Rental Housing New Construction: Units Underway				344
<b>Objective 2: Preservation of the Supply of Affordable Rental Housing</b>				
Rental Housing Preservation: Units Built	650 Units Built/Underway	941	303	303
Rental Housing Preservation: Units Underway				145
Public Housing Reconstruction: Units Built				0
Public Housing Reconstruction: Units Underway				0
<b>Objective 3: Expansion of the Supply of Affordable Ownership Housing</b>				
Ownership Housing Construction: Units Built	52 Units Built/Underway	20	0	12
Ownership Housing Construction: Units Underway				3 to 5
<b>Objective 4: Expansion of Ownership Opportunities for First-Time Homebuyers</b>				
Mortgage and Down Payment Assistance	165 Households	113	0	21
Housing Counseling	Prepare Residents	1,341	0	275
Mortgage Credit Certificates	100 Households	26	0	0
Cal Home Program	15 Households	50	0	3
<b>Objective 5: Improvement of Existing Housing Stock</b>				
Owner-Occupied Housing Rehabilitation: Units Completed	1,365 Housing Units	2,022	210	331
Owner-Occupied Housing Rehabilitation: Units Underway				44

## Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015) Goal	YTD	Current Year (FY 2013-2014) Goal	Actual
<b>Objective 6: Provision of Rental Assistance for Extremely Low- and Very Low-Income Families</b>				
Tenant Based Rental Assistance	Maintain Current Level of Assistance	1,000	45	219
<b>Objective 7: Provision of Supportive Housing for Seniors and Persons with Special Needs</b>				
	300 Units Built/Underway			
Senior Housing Development: Units Built		299	0	0
Senior Housing Development: Units Underway				0
Special Needs Housing Development: Units Built		68	60	40
Special Needs Housing Development: Units Underway				45
Access Improvement: Units Completed	40 Housing Units	72	8	18
Access Improvement: Units Underway				12
<b>Objective 8: Prevention of Foreclosures and Stabilization of Neighborhoods</b>				
Ownership Housing: Acquisition and Rehabilitation	150 Units Built/Underway	22	0	5
Rental Housing: Acquisition and Rehabilitation	58 Housing Units	84	0	25
Board Up/Clean Up Program	30 Households	162	0	18
Foreclosure Counseling	1000 Households	3,200	0	159
Land Trust Pre- and Post-Purchase Counseling	200 Households	6	0	0
<b>Objective 9: Removal of Impediments to Fair Housing</b>				
Referral, Information, and Counseling to Residents w/Disabilities <sup>1</sup>		6,246	0	0
Referral, Information, and Counseling to Residents	7500 Households	9,441	0	2,063
Discrimination Education and Investigation	300 Households	1,012	0	179

<sup>1</sup> The Action Plan FY 2010-11 incorrectly stated that this line item for Referral, Information, and Counseling to Residents with Disabilities was to be a subset of all information and referral clients served by these various grants. This is a stand-alone figure of all Oakland residents with a disability served by the contracted agency.

## Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015) Goal	YTD	Current Year (FY 2013-2014) Goal	Actual
<b>Prevention and Reduction of Homelessness and Elimination of Chronic Homelessness</b>				
Homeless Reduction	4,653 Ind/HH			
Outreach	2,800 Individuals	<b>1,833</b>	125	<b>1,200</b>
Winter Shelter	2,700 Individuals	<b>724</b>	170	<b>306</b>
Year-round Shelter and Services	3,500 Individuals	<b>8,097</b>	630	<b>6,723</b>
HIV/AIDS Housing and Services	2,500 Individuals	<b>1,811</b>	400	<b>554</b>
Rapid Rehousing			300	<b>722</b>
Elimination of Homelessness	4,427 Ind/HH			
Development & Maintenance of Existing Permanent & Supportive Housing	761 Beds	<b>482</b>	138	<b>179</b>
Support Collaboratives to Assist Chronically Homeless in Enrolling in Appropriate Public Benefits Programs	10 Agencies	<b>10</b>	10	<b>10</b>
Integrated Planning Activities thru the Continuum of Care Council	20 Agencies	<b>20</b>	20	<b>20</b>
Raising Awareness about Chronic Homelessness in the Community			NA	<b>N/A</b>
Homelessness Prevention	4,563 Households	<b>2,998</b>	250	519
Special Needs Objectives				
HIV/AIDS Housing & Development	216 Bedroom Units	<b>25</b>	27	<b>12</b>

# INTRODUCTION

One of the requirements for receiving federal funding for housing and community development activities is that State and local jurisdictions must prepare and submit to the U.S. Department of Housing and Urban Development (HUD) a Consolidated Plan for Housing and Community Development. Approval of the Consolidated Plan is a prerequisite to receiving funding under four formula grant programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons With AIDS (HOPWA). Grant recipients are also required to submit an annual performance report that identifies funds received and assesses progress in meeting the goals outlined in the plan.

The City of Oakland completed and submitted its Five Year Consolidated Plan on May 15, 2010. The Consolidated Plan included a needs assessment, housing and market analysis, a strategic plan and an Action Plan for the first year. Annual Action Plans are published for each subsequent program year.

This Consolidated Annual Performance and Evaluation Report (CAPER), provides information on accomplishments in the City of Oakland, for the program year July 1, 2013 through June 30, 2014, in meeting the goals set forth in the Annual Action Plan of the Consolidated Plan for providing affordable housing, housing and supportive services for the homeless and for persons with special needs, and non-housing community development.

The report is divided into narrative and program specific sections: narratives on program performance, and four separate reports on specific program performance under each of four formula grant programs through which the City receives funds from HUD.

The narrative sections provide a summary of the City's progress during the reporting period July 1, 2013 through June 30, 2014 to address the City's stated housing and community development goals and objectives. The information corresponds to the housing and community development priorities established in the Consolidated Plan published May 15, 2010.

More specific information regarding investments and expenditures during the year, as well as specific accomplishments for individual activities, is submitted by the City to HUD through HUD's Integrated Disbursement and Information System (IDIS). Some IDIS reports for the HOME, ESG and HOPWA programs are contained in this CAPER document. IDIS reports for the CDBG program are available upon request.

**PART I:**

**NARRATIVE REGARDING ANNUAL PERFORMANCE**

## Narrative A: Non-Housing Community Development

Resources used for economic development, public services and infrastructure activities included Community Development Block Grant (CDBG), Community Services Block Grant (CSBG), U.S. Department of Agriculture/Head Start, Economic Development Initiatives (EDI), HUD 108 Loan Guarantees, Job Training Partnership Act, State Gas Tax, State Library Grant, Local Tax Revenues.

### 1. Funds Made Available During Program Year

#### a. Federal Resources for Non-Housing Community Development Activities

PROGRAM
<p>a. <u>Community Development Block Grant</u></p> <p>The City received \$7,295,268 in Community Development Block Grant funding, plus program income of \$800,000. The total funding received from all sources is \$8,095,268. The City also reconciled/reprogram \$4,848,002 of CDBG Housing funds from prior program years. Since these reconciled funds are from prior program years; these funds have not been included in the FY 14-15 CDBG allocation.</p>
<p>b. <u>Community Services Block Grant</u></p> <p>The City received \$1,242,906 in CSBG funds to support anti-poverty programs and services.</p>
<p>c. <u>Head Start</u></p> <p>The City's Department of Human Services received \$18,587,933 to provide child care and tutorial programs.</p>
<p>d. <u>Department of Agriculture/Child Care Food Program</u></p> <p>The USDA provided \$545,000 to fund school lunch programs for children in the Head Start Program.</p>

#### b. State Resources for Non-Housing Community Development Activities

PROGRAM
<p>a. Workforce Investment Act (WIA)</p> <p><i>This Federal grant provides employment and training services to meet the needs of unemployed job seekers, underemployed workers and employers.</i></p>

### c. Local Resources for Non-Housing Community Development Activities

#### PROGRAM

##### a. Redevelopment and Private Investment

There are nine non-active Redevelopment Areas in the City of Oakland that represent substantial public investment including: Acorn, Broadway/MacArthur/San Pablo, Central City East, Central District, Coliseum, Oak Knoll, Oakland Army Base, West Oakland and Stanford/Adeline. Funds have been fully expended to implement redevelopment for projects that were contracted prior to the dissolution of redevelopment agencies. Many projects are completed and underway including residential, retail, office and industrial development projects and streetscape, public facilities and other infrastructure projects.

On June 27, 2011, the California Legislature enacted AB 1X 26, which abolishes all redevelopment agencies in the State of California. Subsequent legislation, AB 1484, enacted in June 2012, amended the original law and added a number of new procedural requirements. The Oakland Redevelopment Agency was suspended from entering into new commitments after June 27, 2011. Future redevelopment activity is limited to “winding down” activities underway pursuant to already existing legally enforceable obligations.

During FY 2013-14, the Agency pursued projects in the redevelopment areas aimed at eliminating blight and attracting private investment that will create new employment and provide additional retail services for Oakland residents.

## **2. Economic Development**

Through its work with hundreds of business owner and investor clients, staff of the Economic Development unit work to accelerate business creation, retention and expansion and the expansion of jobs and improved quality of life for Oaklanders. Staff enhance Oakland's competitiveness in the marketplace for investment and business activity by working to improve Oakland's business climate in the following areas:

- Improve the ease of doing business with the City
- Provide access to internal and external business support services and resources
- Provide access to capital and financial incentives
- Identify business and investment locations
- Connect employers with qualified workers
- Improve transportation options for downtown Oakland workers and customers
- Support property and business owners to create more safe and vibrant commercial districts

Staff focus on four key industry sectors—Retail, Advanced Manufacturing and Specialty Food Production, Clean and Green Technology, and International Trade & Logistics—and six program areas—Oakland Business Assistance Center, Enterprise Zone Tax Credit Program, Business Improvement District Program, Free Broadway Shuttle, Bus Rapid Transit, Promoting Oakland, Brownfield Loan Program (discontinued).

A comprehensive Economic Development Strategy is expected to be completed in 2015. This Strategy will be action-oriented and spell out ways to grow jobs, investment and revenue in the industry sectors of Oakland's economy with the most potential, benefiting all Oakland residents and business operators.

Economic Development staff have worked for several years with Planning colleagues to complete several specific plans in the City, which call for robust development which will generate thousands of construction and permanent jobs in a variety of industries. The Broadway/Valdez and West Oakland Specific Plans were adopted by City Council in June and July 2014. The Coliseum Area Specific Plan and Lake Merritt Station Area Plan are expected to be adopted in Fall 2014. In 2015 efforts will begin on a Downtown Plan.

### **a. Economic Development Sector Initiatives & Programs**

#### **i. Retail**

Retail sector staff attracted worked with 50 stores, restaurants and bars to Oakland, and 20 property owners and developers. Specific activities and accomplishments in FY 2013-14 included the following:

- Supported:
  - Safeway and its affiliate Property Development Centers in overhauling multiple stores/shopping centers in Oakland:
    - Construction began on expanded Safeway store and parking garage, and new shop space at Claremont & College.
    - Planning Commission approved an expanded Safeway store and dramatically upgraded shopping center, parking and amenities, and other retail, office and restaurant space, totaling over 322,500 square feet at The Ridge Shopping Center.
  - Expanded and revamped Foothill Square Shopping Center, opening of new stores Foods Co supermarket, Ross, Anna's Linens, Wells Fargo bank and more.
  - Development of Sprouts grocery store and shop space on Broadway. Construction to begin in September or October 2014.
  - Development of two new CVS stores, on Broadway and at High & International. Planning Commission has approved the former.
  - Repositioning of Coliseum Shopping Center after long-time Pak 'N Save tenant closed its store.
  - Development of two housing-above-retail projects at long underutilized parcels at the key Broadway & 51<sup>st</sup> intersection, one of which will feature senior housing.
  - Developer's revised programming to allow for retail and housing at Jack London Square. Planning Commission approval secured.
- Supported Popuphood in its efforts to support retailers transitioning from home- and mobile-based operations to storefronts.
- Spoke with reporters on retail topics, and wrote three new restaurants roundup for the *Oakland Business Review*.
- Marketed retail opportunities at multiple International Council of Shopping Center trade shows.
- Supported Oakland Business District Improvement Council, Oakland Merchants Leadership Forum, Oakland Retail Advisory Committee.

## ii. Advanced Manufacturing & Specialty Food Production

Industrial sector staff attracted new businesses to Oakland, and aided the retention and expansion of existing businesses citywide, in the cluster fields of manufacturing, construction material supply, sales and specialty contracting service, goods movement and logistics, and wholesaling. In addition to day-to-day contact with a range of businesses, staff led a number of initiatives to support industrial business:

- Continued to staff attracted the Second Annual Urban Manufacturing Alliance conference to Oakland in October 2013, highlighting the National Manufacturing Day with a tour of Oakland. Up to 75 delegates of national municipalities, non-profits and representatives of the manufacturing community attended.
- Facilitated Oakland Mayor Quan being one of only 12 initial mayors nationwide to sign on the national Mayor's Maker Challenge. Staff also participated in the publication of a white paper, *The Impact of the Maker Movement*, produced by Maker Media, distributed at the White House event.
- Facilitated the development of a modern, \$42 million industrial development project, the first in the City in nearly two decades. The 375,000-square-foot development located in the Coliseum area will provide logistic warehouse facilities. Additionally, staff continues to support the redevelopment of the former Oakland Army Base to a modern 1 million- square-foot global logistics center, slated to create numerous employment and business development opportunities.
- Created sub-sector strategies, including support cluster networks. Continued expansion and marketing of the specialty, artisan food and beverage production, including updating the Oakland Food & Beverage Trail; founded Oakland Makers with a consortium of industrial arts and manufacturers to promote advanced technical creative business in Oakland; and led upcoming efforts to market and brand the unique industrial and productive arts movement in Oakland.
- Led Business Alert in East and West Oakland—which identifies blight, graffiti, dumping and other crime activities that negatively impact business operations—and make recommendations for action. Staff has added areas of infrastructure improvement to the discussion and planning nature of these committees, where input from local commercial industrial business operators help City staff improve streets and utilities in the industrial areas. In addition, a new Business Alert Program for Central Estuary area will be formed this Fall 2014.
- Rebranded and is re-constructed the WestOaklandWorks.com website, a business-to-business communication tool, helping to attract new businesses to West Oakland, branding the area as a global place to do business, and communicating the wealth of resources available to business. Efforts also

included social media outreach to market West Oakland as a safe, productive and friendly place to do business.

- Led tours of Oakland's industrial businesses and the Waterfront Food Trail with media, lenders, dignitaries and food industry specialist as well as national experts on domestic manufacturing.
- Made presentations at major conferences in the areas of industrial business, rehabilitation of industrial resources, and new production business trends.
- Relocated and helped over 50 companies in the expansion of artisan manufacturing, foods, and design engineering firms.

### iii. Green & Clean Tech

The City's Green and Clean Tech sector continued to grow during 2013-14, playing a prominent role in the ongoing expansion of Oakland's larger economy. This sector accounts for at least 250 firms and more than 4,000 high quality jobs. Green business projects include work with companies which offer a product or service which reduces environmental impacts in tandem with creating jobs and revenue. More specifically, this sector includes firms specializing in energy efficiency/green building, renewable energy, waste reduction and recycling, recycled content manufacturing, environmental consulting, and community-based research and advocacy organizations. Specific activities and accomplishments in FY 2013-14 included the following:

- Residential solar leader Sungevity continues to anchor the City's vibrant Jack London Square, employing over 400 individuals in Oakland by the end of June 2014. Sungevity delivers "sunshine on line" in nine U.S. states, as well as the Netherlands and Australia, offering sales support and permitting services almost exclusively via the web and on the phone. Sungevity also supports another 400 full time job equivalents in the communities where its solar systems are installed by local firms on contract.
- Due to Sungevity's continued growth, the solar incubator/accelerator known as the SfunCube, which was previously hosted at Sungevity, moved to its own new, independent location elsewhere in downtown Oakland. The SfunCube now houses more than 15 startups and early stage solar companies, employing over 70 employees. These pioneering companies are focused on overcoming technical, economic and social barriers to adoption of solar energy. The City co-sponsored a Solar Hackathon at the SfunCube in which eight teams competed for cash prizes to address a specific challenge and/or hatch a new solar business model.
- Vigilant, a national leader in the field of data center cooling efficiency, moved its 50 person workforce to downtown Oakland in November 2013, and has

already grown to more than 70 employees, showing that companies are moving to Oakland to grow their operations, facilitated by the City's location at the center of the BART transit system and amid a variety of housing options. Vigilant joins a robust cluster of about 20 companies in Oakland's very influential energy efficiency sub-sector, affectionately known as "Negawatt Alley" (with a "negawatt" being a megawatt that is not used).

- The City co-sponsored and helped the Oakland Metropolitan Chamber of Commerce organize a half day regional conference in April 2014, focusing on energy policy, entitled "Illuminating Ideas" and featuring speakers from Vigilant and the SfunCube.
- Highlighting the waste reduction and recycling sector was the administrative renewal— for a new 10 year period— of the Oakland/Berkeley Recycling Market Development Zone (RMDZ), one of 35 such zones throughout California that are dedicated to local utilization of recycled materials to create green manufacturing jobs and activity. Staff worked with a grant-funded consultant to perform intensive outreach to 45+ recycling based firms in the RMDZ to assess their expansion opportunities and challenges. Among these businesses are several construction and demolition materials recyclers that help support new construction and redevelopment activities in Oakland while reducing waste sent to landfill.
- For the eighth year in a row, the City helped to support the East Bay Chinook Book, a coupon book featuring local and green businesses, and featuring promotion of local economic development partner Oakland Grown.
- Represent Oakland in the East Bay Green Corridor, a consortium of East Bay cities and institutions. The Corridor represents a commitment to build upon the region's existing strength as a center for emerging green technology, innovation and entrepreneurship. Established in 2007, the Corridor now includes four academic and research institutions and nine cities.

#### iv. International Trade & Logistics

Staff continues to provide administrative and marketing support to Oakland's Foreign Trade Zone, License #56. Staff is preparing an application to allow the transition into the new Alternative Site Framework (ASF) designation to expand the program to serving nearby counties and cities, and allowing new privately-owned manufacturing and wholesaling sites which use imported components or products, to be their own ASF under License #56, reporting through City of Oakland. This will support the Port of Oakland and business development efforts.

Staff has been actively working with one of the federally-approved Foreign Direct Investment (EB5) Regional Centers. Such funding enabled the purchase of the historic downtown Tribune Tower, which is now home to a new media call

center, a restaurant and other businesses with a technology focus. Overall, the SFRC has purchased six significant properties in Oakland, leading to major job creation efforts. Staff worked directly with principal to facilitate introductions, coordination with property owners, prospective investors resulting in four major property investments in Oakland in the past year , valued at over \$25 million and underway with purchase of a fifth property valued at a \$8 million investment, resulting in \$34 million in acquisition value and plans to create 2,000 jobs in Oakland.

v. Oakland Business Assistance Center

Entering its fifth year of operation, the Oakland Business Assistance Center (BAC) serves as a user-friendly entry point for Oakland entrepreneurs. Like sector staff, Business Assistance Center staff help entrepreneurs:

BAC staff provides assistance with business-related information and City services, and makes connections between entrepreneurs and business owners to the appropriate City and non-City service providers. In FY 2013-14, the BAC responded to 2,946 inquiries from phone calls, walk-ins and referrals, and 874 web-to-leads generated from [www.OaklandBAC.com](http://www.OaklandBAC.com). BAC staff organized 26 presentations, workshops and community meetings, including the Second Annual Business Services Network meeting, and workshops “Meet the Lenders,” “Effective Use of Social Media,” and “Building an Online Presence.”

The City of Oakland Department of Economic & Workforce Development became a Kiva Zip Trustee in May 2013. Staff endorsed three local business owners in 2013—Owl n’ Wood, Pollinate, Lo—and have proposed 10 more for 2014. Kiva Zip loan recipients receive initial loans of \$5,000 each.

The BAC was instrumental in helping include Halftime Sports Bar located in downtown Oakland, employing 15 people. Another, JamTown, 40,000-square-foot gym in Jack London Square, the Bay Area’s first premium indoor basketball facility. The BAC was key in acting as liaison among the Zoning, Building and Fire departments. JamTown currently employs 22.

vi. Enterprise Zone Tax Credit Program

The State of California Enterprise Zone (EZ) Program targets economically distressed areas using special state and local incentives to promote business investment and job creation. By encouraging entrepreneurship and employer growth, the program strives to create and sustain economic expansion in the communities. This is one of the state’s premier community development programs. Most importantly, Enterprise Zones play a key role in linking newly created jobs with individuals in the community who are either underemployed or unemployed. Oakland’s Enterprise Zone was established in 1993, and in 2009

was renewed for 15 years. The zone covers most of the City west of Interstate 580, as well as sections of the cities of Emeryville and Berkeley.

With the issuance of tax credit vouchers, the Oakland Enterprise Zone Program supported the retention and creation of 3,956 jobs. Of these, 3,240 of these jobs went to Oakland residents, 456 were economically disadvantaged, 151 were on public assistance, and 42 were ex-offenders. Overall staff participated in approximately 25 marketing events, job workshops and fairs and broker and organization meetings related to the Program.

In FY 2013-14, the Enterprise Zone Program issued 6,326 vouchers and collected revenues of \$526,095. Each tax voucher issued represents a new or existing job. Over 800 businesses participated in the Program identifying new jobs for Oakland residents. For prior years FY 2012-13, the Enterprise Zone Program issued 4,573 vouchers; FY 2011-12 – 4,283 issued; FY 2010-11 - 3,807 issued. In 2013-14, the Oakland online vouchering system was upgraded to provide easy access to apply for tax credit vouchers. The Oakland Enterprise Zone continues to pioneer effective vouchering systems, having launched the first EZ online system in California in 2005.

vii. Business Improvement District Program

In supporting property and business owners to create more safe and vibrant commercial districts, the Business Improvement Districts Program has proven to be a successful mechanism to support economic revitalization in various commercial districts throughout the City of Oakland. In FY 2013-14, ten business improvement districts (BIDs), also known as Community Benefit Districts (CBDs), generated about \$4.2 million in special benefit assessments. BID/CBD revenues pay for enhanced cleaning, security, streetscape improvements, marketing/promotions and other economic development activities in affected commercial/ districts throughout the City. As Oakland increases mixed use development along commercial corridors, residential properties located along those corridors will also benefit from the enhanced services of a BID/CBD. In July 2013, a new Business Improvement District was formed; the Jack London Improvement District (JLID), launching in Spring 2014. Revenues to be generated by JLID are approximately \$767,000 per year. After a months-long process, the Temescal/Telegraph BID completed a successful ten year renewal in July 2014.

Oakland's ten BIDs/CBDs collectively represent approximately 3,000 business and property owners located in the Rockridge, Montclair, Lakeshore/Lake Park, Temescal/Telegraph, Lake Merritt/Uptown, Downtown Oakland, Laurel, Koreatown/Northgate, Fruitvale and Jack London commercial districts. Four commercial districts may explore the feasibility of BID formation. Economic Development staff continues to inform and participate in such discussions.

viii. Free Broadway Shuttle

In August 2010, the City of Oakland launched a free shuttle service serving the Central Business District. The Broadway Shuttle enables workers, residents and visitors to conveniently circulate between downtown's commercial districts, including Jack London Square, Old Oakland, Chinatown, the Downtown and Lake Merritt Financial Districts, and the Uptown Arts & Entertainment District. The Broadway Shuttle is an effective economic development tool that has assisted in the attraction of multiple office tenants to Downtown Oakland. Employers and workers see a great value in the shuttle because it links BART, Capitol Corridor Amtrak, the SF Bay Ferry and AC Transit to office buildings, businesses and other downtown destinations. 751,051 shuttle trips were completed in FY2013-14.

Data suggests that over 50% of shuttle passengers use the service with the intent of purchasing a good or service from a business along the route. These passengers spend a total of approximately \$8.9 million annually at restaurants, other retailers and professional service offices as part of their Shuttle trip, according to staff's on-board survey of 309 passengers in August 2011.

A robust public-private partnership – including the Bay Area Air Quality Management District, Alameda County Transportation Commission, Metropolitan Transportation Commission, Jack London Square Developers, Forest City Developers, two downtown Business Improvement Districts and the SF Bay Ferry – should ensure the long-term financial sustainability of the project. The Broadway Shuttle, also called the “Free B” or the “B,” operates Monday-Thursday 7am-7pm; Friday 7am-1am and Saturday 6pm-1am. A Lifeline Transportation grant from the Alameda County Transportation Commission and Metropolitan Transportation Commission will fund extended service until 10 pm on the weekdays, tentatively beginning in early 2015. In addition to attracting key office tenants, the shuttle also gives a further boost to the thriving nightlife and restaurant scenes in downtown Oakland.

ix. Promoting Oakland

The Cultural Arts & Marketing Division, Department of Economic and Workforce Development (CAM) support economic development efforts through a range of services. Key accomplishments in 2013-2014 are:

- CAM collaborated with Visit Oakland on a major campaign to brand and promote Oakland as a prime Bay Area destination for visitors, residents and businesses. The local, regional and national campaign launched in April 2014.
- CAM co-sponsored and facilitated the May 2014 Vator Splash Oakland tech conference that drew upwards to 1,000 participants from all over California. The conference positioned Oakland as the emerging tech hub of the Bay Area,

resulting in extensive media coverage, considerable venture capital investment in Oakland companies, and a positive impression of Oakland among business leaders.

- CAM supported economic development through ongoing promotion and marketing support for the Broadway Shuttle including print advertisements, event sponsorships and assistance with editorial.
- CAM produced the 15<sup>th</sup> Anniversary Arts & Soul Oakland festival in August 2014, adding a new barbecue competition and other new features, resulting in 40% increase in paid attendance over the previous year. The two-day festival generated upwards to \$850k in free positive publicity for Oakland and \$100k in cash sponsorships and upwards to \$300k in media sponsorships.
- CAM played a lead role in helping resolve critical financial and crowd management issues surrounding the monthly First Fridays street festival in the Koreatown-Northgate district that draws approximately 8,000-15,000 attendees monthly, thereby making the event safer and more manageable/sustainable.
- CAM implemented a new micro-grant program adopted by City Council to provide \$200,000 in support for community events taking place FY 13-15. The new program helped seed dozens of new and emerging events in underserved areas of East, West and North Oakland. This new program was combined with an existing program that provides \$120k in FY 13-15 help offset City costs and fees incurred by community events. Combined, the two programs helped seed or grow 60 events citywide, the majority taking place in underserved areas of East, West and North Oakland.
- CAM supports the Oakland's 12 top annual neighborhood and downtown festivals through technical assistance and funding to help offset related City costs and fees. These events represent a combined attendance of 3 million people and \$3 million in private investment.
- CAM continues to provide significant support to Oakland Grown, the City's buy local campaign, which has evolved into a model for the nation. CAM plays a key role in marketing Oakland as a destination through a popular holiday shopping campaign in association with local merchants, free parking during the holidays at City meters and garages, promotion of the Oakland Grown gift and rewards card, in addition to Plaid Friday, Oakland's answer to Black Friday, held the Friday after Thanksgiving. Plaid Friday has helped turn the day into retail success for many Oakland merchants who had been previously not fared well while shoppers rush to the malls.

- CAM completed a major light-based public art installation at the Uptown/19<sup>th</sup> Street BART Station in September 2014. The spectacular new piece beautifies a blighted alleyway, helped transform a desolate BART station to vibrant public use, and enhanced public safety by illuminating the area. A grand opening celebration for the piece – which is the largest and most significant public art installation in the East Bay – will be held in early November 2014.
- CAM provided technical assistance to City Council offices in support of Council's new citywide mural program which allocates \$50,000 to each of the seven Council District plus at-large for murals to combat blight. The program is ongoing, as is CAM support.
- CAM co-produced the second annual Love Our Lake Day on September 14, 2014. The event drew upwards to 15,000 visitors to Lake Merritt for an afternoon of recreation and enrichment—and to continue celebrating the completion of the \$200 million Measure DD lake and park enhancements. The streets along the east and south shores of Lake Merritt were closed to vehicle traffic to make way for bicyclists, walkers, joggers and strolling performances.
- CAM is collaborating with the City's Economic Development Unit to produced marketing collateral materials for retail attraction, specific plan areas and other industry sectors for completion in early 2015.

x. Oakland Brownfield Program

The City expended its final brownfield revolving loan funds in the form of a grant for the removal of asbestos in the historic California Hotel, now owned by East Bay Asian Local Development Corporation, for its redevelopment as a multi-family affordable housing center with ground floor office and retail. The City no longer administers the CalReUSE Loan program.

xi. Commercial Lending Program (CLP)

The City of Oakland's, Department of Housing and Development maintains its Commercial Lending Section (CLS) for the purpose of providing small business technical assistance and lending services for Oakland businesses unable to obtain credit through traditional resources. The Commercial Lending Section maintains a couple of professional service contracts with qualified service providers capable of delivering business technical support, strategic planning, and commercial lending services.

The commercial lending revolving loan funds previously established by the City and the former Redevelopment Agency continues to be available to Oakland's business community. The Commercial Lending (CL) Section oversees, either

directly or through contracted services, a loan portfolio of five loan programs. The administration of each loan program is guided by federal regulations, crafted to ensure targeted audiences receive program benefits.

Throughout the Enhanced Enterprise Community (EEC) grant period, staff has endeavored to make occasional program structure modifications to enable delivery of a program that is responsive to the community's need and also functions within federal standards for job creation and default rates.

For the current reporting period, July 1, 2013 – June 30, 2014, City staff and community partners have worked to improve existing services. During this review period, the Commercial Lending staff accomplished the following by utilizing City and federally funded commercial loan programs:

- Underwrote 24 new loans totaling \$2,265,300.
- Leveraged \$3,201,401 in private financing and investment capital.
- Created 65 job opportunities in Oakland.
- Retained 30 jobs within Oakland businesses.

xii.Neighborhood Economic Development Fund (NEDF)

Oakland Business Development Corporation (OBDC) will serve Commercial Loan Program clients requesting loans of less than \$249,500. OBDC will provide loan packaging assistance, and offer one-on-one management and technical assistance in connection with Neighborhood Economic Development Fund (NEDF) and HUD Section 108 loan funds. OBDC will also track and monitors jobs creation and retention for all City commercial loans.

xiii.Micro Loan Program

OBDC operates a micro-loan program (maximum loan amount of \$50,000) on behalf of the City. This loan program provides start-up and direct financing to Oakland-based businesses for working capital, inventory and equipment. These programs are unique in the ability to provide much needed capital to Oakland's underserved business communities. Loans are intended for micro-enterprises with fewer than 5 employees whose owners are low and moderate income.

xiv.Business Façade Improvement Program

In FY 2013-14, there were no façade improvement projects completed in NCR target areas.

**2. Table 1**  
**Economic Development Planned Actions, FY 2013-14**

<b>PROJECT/ACTIVITY NAME LOCATION</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>PROGRAMS &amp; RESOURCES</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Economic Development Project Delivery Costs</b>	Lead the City's efforts in economic development by working directly with business clients—providing assistance, referrals and problem-solving—and working with City leaders and partners to create a business-friendly environment in Oakland, thereby increasing the number of jobs available to Oaklanders, entrepreneurship and City revenue.	CDBG \$311,719	Continue to work directly with business clients, City leaders and partners to support and encourage business growth and prosperity, creating and retaining 750 jobs.	Oakland Business Assistance Center staff worked with approximately 2,946 businesses in FY 2013-14, focusing primarily on startup and micro businesses.  Focusing on more established retail, industrial and office businesses, sector specialist staff aided more than 100 businesses in FY 2013-14. More than 2,000 jobs were created or retained in these efforts.
<b>Creation of an Economic Development Strategy</b>	Create refined Economic Development Strategy; economic performance, market analysis, industry markets; creation of targeted action plans.	City of Oakland General Funds	Complete Economic Development Strategy, including Industry Action Plans to spur investment and job creation opportunities.  Maintain an Economic Indicators Dashboard to guide City Economic Development programming and actions.	Much progress made on Economic Development Strategy; expected completion in FY2014-15.  A quarterly Economic Indicators Dashboard template was completed with Second Quarter 2014 information submitted.

PROJECT/ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	PROGRAMS & RESOURCES	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Business Retention, Expansion &amp; Attraction</b>	Outreach to business for advice and retention services; outreach to growing business to aid with facilities, workforce training referrals, permits; attraction services of new businesses; collaboration with business development partners.	City of Oakland General Funds CDBG	Attract 35 new businesses; create and/or retain 1000 jobs.  Establish a stronger Business Ambassador Program to promote business expansion and assist with businesses early warning and retention response.	This measure was exceeded.  Continuing to develop interest in the Central Estuary industrial area to create a central Business Alert program. Staff continues to support Coliseum and West Oakland Business Alert programs.

PROJECT/ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	PROGRAMS & RESOURCES	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Business Assistance Center</b>	<p>Provide direct technical assistance to businesses; provide information and referral to Oakland Business Service Providers for technical assistance and provide assistance with City business requirements and issues.</p> <p>Facilitate meetings of the Oakland Business Service Providers Network to coordinate business services, including financing opportunities to Oakland businesses, especially small businesses and micro enterprises.</p>	City of Oakland General Funds	<p>Provide on-site or referral assistance services to at least 300 businesses per month.</p> <p>Facilitate 10 technical assistance workshops for business owners and start up enterprises.</p> <p>Host 3 meetings of the Business Service Providers Network.</p>	<p>Responded to 2,946 inquiries from phone calls, walk-ins and referrals, and 874 web-to-leads generated from the BAC website, an average of 318 per month altogether.</p> <p>Held over 18 technical assistance workshops.</p> <p>Hosted one meeting of the Business Service Providers Network.</p>

PROJECT/ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	PROGRAMS & RESOURCES	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Broadway Shuttle</b>	<p>Continue operation and explore possible expansion of the free business shuttle for Downtown Oakland Broadway Corridor commuters.</p> <p>Promote Shuttle as part of effort to attract and retain businesses to Oakland through this public/partnership funded program.</p>	Bay Area Air Quality Management District (BAAQMD), Alameda County Transportation Commission (ACTC), Metropolitan Transportation Commission (MTC), SF Bay Ferry, and private developers and property owners.	Continue providing service to at least 14,000 downtown workers, visitors and residents per week.	<p>Economic Development staff secured \$588,516 in public grants and private sponsorships to support the Broadway Shuttle in 2013/2014, including \$176,000 from the Alameda County Transportation Commission, \$219,516 from the Bay Area Air Quality Management District, \$132,000 from Jack London Square, \$36,000 from the Downtown/Uptown Community Benefit Districts, \$15,000 from Forest City Developers and \$10,000 from the SF Bay Ferry.</p> <p>The Broadway Shuttle served an all-time high 760,632 passengers in 2013. Roughly \$8.8 million was spent by these passengers at businesses along the route during the year.</p>
<b>Business Development Programs</b>	<p>Continue <u>Enterprise Zone Tax Credits</u> Program for Oakland &amp; expansion areas.</p> <p>Expand <u>Business Improvement District (BID)</u> (also known as <u>Community Benefit District</u>, or <u>CBD</u>) <u>Program</u> to strengthen commercial corridors and increase corridor potential for providing jobs, services and opportunities for business growth.</p>	Enterprise Zone Program Fees City of Oakland General Fund CDBG	<p>Administer the Enterprise Zone Program; serve a minimum of 400 Oakland businesses and create or retain 2,000 jobs throughout the full Enterprise Zone Program boundaries.</p> <p>Supporting existing nine Districts with business development needs; assist in formation of two new commercial districts, including one commercial-industrial district.</p>	<p>In FY 2013-14, the Enterprise Zone Program issued 6,326 vouchers and collected revenues of \$526,095. Over 800 businesses participated in the Program identifying new jobs for Oakland residents.</p> <p>One new CBD was created, one is in the pipeline, and two are being explored.</p>

PROJECT/ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	PROGRAMS & RESOURCES	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Business Loan Program</b> <b>(Program Delivery Costs)</b>  <i>Citywide</i>	Oakland Business Development Corporation (OBDC) will serve Commercial Loan Program clients requesting loans of less than \$249,500. OBDC will provide loan packaging assistance, and offer one-on-one management and technical assistance in connection with Neighborhood Economic Development Fund (NEDF) and Enhanced Enterprise Community (EEC) loan funds. OBDC will also service all City commercial loans.	CDBG \$192,427  CDBG and Expanded EEC Section 108 loan guaranty authority as authorized agent of the City.	10 NEDF loans and 3 Expanded EEC Section 108 loans. Technical and referral assistance to eligible clients.	The City continues their agreement with OBDC to underwrite loans up to \$249,500. OBDC was successful in marketing City loan programs and underwrote 8 Community Advantage (formerly ORA loans), 7 NEDF & 4 Expanded/EEC HUD 108 loans.
<b>Micro Loan Program</b>  <i>Citywide</i>	OBDC operates a micro-loan program (max. \$20,000) on behalf of the City. Loans are intended for micro-enterprises with fewer than 5 employees whose owners are low and moderate income.	CDBG funds as part of Business Loan Program.	5 micro loans. Technical and referral assistance to eligible clients	5 Micro loans

PROJECT/ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	PROGRAMS & RESOURCES	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Commercial Lending Program (CLP)</b>  <i>Citywide</i>	CLP provides owners of small businesses and entrepreneurs in Oakland with direct commercial business loans for the purpose of business expansion, attraction and retention with the goal of creating jobs for Oakland residents. To better impact the small business community, an expansion of the 3 EEC designated target areas has been extended through Oakland's Seven Community Development (CD) districts to support new business activity and job creation within the 7 CD districts.	CDBG \$ 55,154	Oversee lending and technical assistance provided to Oakland's small business community including loan underwriting, loan servicing, business plan technical assistance, employment monitoring, and collection of delinquent loan. Oversee the provision of technical assistance to approximately 500 clients; fund approximately \$1m of loans; increase employment opportunities and create approximately 100 new jobs for low-to-moderate income Oakland residents; oversight management of the Oakland Business Development Corporation.	The Commercial Lending office continues to offer the Oakland Small Business community core services through contract services with area non-profits. Commercial Lending Unit continues with its scope of services with the Oakland Business Development Corporation (OBDC) authorizing that organization to underwrite commercial loans up to \$249,500. In FY 2013-14 there were 24 loans underwritten totaling \$2,265,300, leveraging \$3,201,401 in private financing and investment capital and creating 65 job opportunities and assisting businesses to retain 30 jobs in Oakland.

### **3. Public Services and Infrastructure (Neighborhood Improvements)**

In keeping with the goals established by the Oakland City Council and the Five-Year Consolidated Plan Strategy, the City of Oakland allocated \$1,587,047 in FY13-14 funding for CDBG-eligible activities based on recommendations from the City's seven Community Development District Boards.

#### **d. Public Services**

Public Services activities were carried out through 14 subrecipient grant agreements with 13 private, nonprofit agencies that serve low- and moderate-income persons in the seven Community Development Districts of Oakland. In addition, 2 City-administered Public Services activities were funded. The activities are in the categories identified in the Strategic Plan for Non-Housing Community Development Needs contained in the Consolidated Plan for July 1, 2010 to June 30, 2015. The number of programs implemented by the subrecipients and the City are in the following by categories:

Crime Awareness/Prevention	1
Homeless	1
Microenterprise and Business Assistance	2
Senior Services	4
Tenant/Landlord Counseling	1
Youth Services	7

Funding for 3 additional programs that were to be carried out in FY13-15 by subrecipients were reprogrammed because the subrecipients were unable to fully comply with and complete contract development requirements. One subrecipient had been awarded funding for a Homeless program; the second was for a Youth program; and the third was for Microenterprise activity.

#### **e. Infrastructure (Neighborhood Improvements)**

FY13-14 funding was allocated for 13 capital improvement projects located in areas with predominantly low- and moderate-income residents. Three projects were awarded for implementation by three private, non-profit subrecipients, and ten for facility improvements administered by the City.

- Improvements to 10 City-owned facilities are underway: 1 branch library; and 9 parks and recreation facilities.
- One subrecipient was awarded funding for façade improvements to a community based multi-cultural arts center, and the work is underway. A second subrecipient received funding for improvements to an emergency housing shelter and the work has been completed.
- One subrecipient implemented a housing related improvement project that provided exterior/interior home repairs as well as safety and accessibility modifications for 39 housing units occupied by elderly and disabled homeowners.

### CDBG Infrastructure (Neighborhood Improvements) and Public Services

ACTIVITY NAME	DESCRIPTION OF ACTIVITY	ONE YEAR GOALS	ACCOMPLISHMENTS
<b><u>Anti-Crime</u></b> <ul style="list-style-type: none"> <li>Vietnamese American Community Center of the East Bay: Anti-Crime Project</li> </ul>	Multi-lingual outreach and education, informational publications, and crime reporting access	30 individuals	150 individuals
<b><u>Homeless</u></b> <ul style="list-style-type: none"> <li>Civicorps Schools: Academic &amp; Professional Pathway Program</li> <li>Society of St. Vincent de Paul of Alameda County: Job Training for the Re-Entry Population (<i>funding to be reprogrammed</i>)</li> </ul>	Case management and support services Academic instruction Job training and career counseling	40 individuals	9 individuals  The Society of St. Vincent de Paul was unable to implement the project that was to serve 35 clients.
<b><u>Housing Related Services</u></b> <ul style="list-style-type: none"> <li>Rebuilding Together Oakland: Home Repairs &amp; Safety/Accessibility Modifications Program</li> </ul>	Exterior/interior home repairs Safety, accessibility, and energy efficiency modifications	39 housing units	39 housing units
<b><u>Microenterprise and Business Assistance</u></b> <ul style="list-style-type: none"> <li>AnewAmerica Community Corporation: Green Microenterprise Fast Start</li> <li>Oakland Citizens' Committee for Urban Renewal: Heartlands Neighborhood Revitalization/Façade Improvement</li> <li>Women's Initiative for Self-Employment: Providing Economic Opportunity through Microenterprise Assistance (<i>funding was declined and reprogrammed</i>)</li> </ul>	Green business training, counseling and technical assistance Resource access Façade improvement referral Community revitalization coordination Marketing, promotion & outreach Leadership development & sustainability Resident/civic engagement Business management skills training Assistance in establishing microenterprises Support services	6028 individuals	12,239 individuals  Women's Initiative was unable to implement the project that was to serve 12 clients.

### CDBG Infrastructure (Neighborhood Improvements) and Public Services (cont'd)

ACTIVITY NAME	DESCRIPTION OF ACTIVITY	ONE YEAR GOALS	ACCOMPLISHMENTS
<b><u>Public Facilities and Infrastructure</u></b> <ul style="list-style-type: none"> <li>▪ EastSide Arts Alliance: Lighting &amp; Capital Improvements</li> <li>▪ East Oakland Community Project Crossroads Emergency Housing: Capital Improvements</li> <li>▪ City of Oakland/ Office of Parks &amp; Recreation</li> <li>▪ City of Oakland Public Library: Golden Gate Branch</li> </ul>	Rehabilitation of recreational facilities and parks Rehabilitation of neighborhood centers Installation of recreational equipment Creation of kitchen gardens Sod and play surface replacement Safety enhancements Planning of park play options Accessible park walkway	12 Facilities	12 Facilities <ul style="list-style-type: none"> <li>• 1 completed</li> <li>• 11 underway</li> </ul>
<b><u>Seniors</u></b> <ul style="list-style-type: none"> <li>▪ Alameda County Community Food Bank: Food Security Scholarships</li> <li>▪ Alzheimer's Services of the East Bay/Dementia Specific Adult Day Care</li> <li>▪ Lifelong Medical Care: LifeLong In-Home Health Access</li> <li>▪ Vietnamese Community Development, Inc.: Oakland Vietnamese Senior Project</li> </ul>	Information and referrals Case management and support services Food subsidies Distribution of nutritious food Nutritious meals Medical and psychosocial home visits Translation services Training and education Health services Adult day care Therapy English-as-a-Second- language classes Safety prevention Language and culturally appropriate social activities	69,123 individuals	85,427 individuals  The 25 clients reported for Alzheimer's Services of the East Bay in the Annual Action Plan was estimated before development of the grant agreement which reflects 20 clients. Because of a 4 month vacancy in the Program Director position, the ability to market the services and enroll income eligible seniors was affected and the agency only served 4 clients.

### CDBG Infrastructure (Neighborhood Improvements) and Public Services (cont'd)

ACTIVITY NAME	DESCRIPTION OF ACTIVITY	ONE YEAR GOALS	ACCOMPLISHMENTS
<b><u>Tenant/Landlord Counseling</u></b> <ul style="list-style-type: none"> <li>▪ East Bay Community Law Center: Housing Advocacy Project-Tenant Landlord &amp; Legal Services</li> </ul>	Outreach Information and referral Mediation & reconciliation services Legal assistance Direct legal representation Counseling	113 individuals	85 individuals  The 113 clients reported in the Annual Action Plan was estimated before development of the grant agreement which reflects 85 clients

## CDBG Infrastructure (Neighborhood Improvements) and Public Services (cont'd)

ACTIVITY NAME	DESCRIPTION OF ACTIVITY	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Youth</b> <ul style="list-style-type: none"> <li>▪ City of Oakland/Dept. of Human Services: Safe Walk to School Program</li> <li>▪ City of Oakland/Parks &amp; Recreation: STRIDE</li> <li>▪ EastSide Arts Alliance: Youth Arts Program</li> <li>▪ First Place for Youth: Steps to Success</li> <li>▪ Friends of Peralta Hacienda Historical Park: Youth Interns for Camp A.C.E.</li> <li>▪ OCCUR: Eastmont Technology Center Program</li> <li>▪ Project Re-Connect</li> <li>▪ Youth Alive!: Teens on Target (<i>funding declined and reprogrammed</i>)</li> </ul>	Assistance with completion of high school diplomas & GED certificates Academic tutoring Educational counseling and college application & enrollment Job skills and readiness training Job development and linkages Employment search and job retention training Monitoring of safe passage to school Supportive services Computer training Internet access and instruction Leadership skills training Substance abuse workshops Life skills training Energy conservation and recreation programming training Afterschool and summer arts workshops Training in community based arts productions and performances Training in event production and community organization Peer-led violence prevention workshops Recreational activities Career planning and development	2,215 Individuals	1,932 Individuals  Youth Alive! was unable to implement the project that was to serve 160 clients.  Friends of Peralta Hacienda projected to serve 250 youth but only served 72 because the enrollees in its summer program which was completed before July 2013 and could not be charged to FY13-14 funds.  OCCUR's Program Director for the Eastmont Technology Center resigned unexpectedly three months before the end of the program year, and the agency was not able to adequately sustain client enrollment levels that would have met the project goal of 500 clients. It served 305.



## **Narrative B: Fair Housing**

### **1. Summary of the Analysis of Impediments to Fair Housing**

The City of Oakland's Community and Economic Development Agency completed an updated Analysis of Impediments to Fair Housing (AI) in January 2011. Copies are available on the City's web site. The following narrative is a summary of the 2011 analysis:

Oakland is a City with considerable ethnic and racial diversity. It is also a City with a large number of minority and low income households who face particular problems securing decent housing, as do families with children and persons with disabilities. Patterns of racial clustering and segregation are readily identifiable, suggesting that discrimination continues to be a serious problem and an impediment to fair housing choice.

Information provided by fair housing organizations provides additional evidence of discrimination, as revealed by data related to complaints.

The most significant barrier to fair housing, however, is the lack of affordable housing. Because minorities are more likely than non-minorities to be low income, the housing problems of low income people are most acutely experienced by minority households. The lack of funding and suitable sites for the development of new affordable housing thus serves to limit fair housing choice.

Adding to the difficulty of providing affordable housing is neighborhood opposition to the development of new assisted rental housing. This opposition, while based on fears of safety, traffic congestion, and reduced property values, is often based on misperceptions of the type of housing that is proposed and by stereotyped impressions of the characteristics of the households who will occupy that housing. It should be noted that such opposition is found in minority and non-minority neighborhoods alike.

Discrimination in lending is also a problem, as revealed by analysis of rates of mortgage loan approvals and denials reported in annual data collected under the Home Mortgage Disclosure Act as well as several studies on current lending practices.

The foreclosure crisis has wiped out equity in communities of color and these communities are having difficulty rebounding due to the lack of access to loans. Many of those who have lost their homes are re-entering the rental market with poor credit scores and loss of assets. Some are at risk of homelessness. In addition, foreclosures cause blight and lower property values in neighborhoods with a history of redlining. This, in turn, threatens the remaining homeowners' ability to refinance homes or homebuyers to buy homes in these areas of minority concentration.

To some extent, City zoning and land use practices may also act as a barrier to housing choice for persons with disabilities.

The City is committed to the promotion of fair housing choice, and in an effort to affirmatively further fair housing will undertake a number of steps to eliminate barriers to fair housing, as

outlined in the conclusion of this report and in the City’s Annual Consolidated Plan for Housing and Community Development.

## **2. Actions Taken to Overcome Impediments to Fair Housing**

The table on the following pages lists the fair housing impediments described in the AI that were addressed by City programs in FY 2013-14. In addition the table specifies actions taken to remove those impediments and identifies City staff or City-funded programs that carried out those actions. Also included are the recommended activities from the AI and related accomplishments in the FY 2013-14 supported by the City of Oakland to address impediments to, and affirmatively further fair housing in the City.

### 3. Actions to Affirmatively Further Fair Housing

<b>IMPEDIMENT ACTION</b> <i>Responsible Organization</i>	<b>DESCRIPTION OF ACTIVITY</b>	<b>ACCOMPLISHMENTS FY 2013-14</b>
<p><b>Lack of Affordable Housing</b></p> <p><b>Support the development of affordable housing.</b></p> <p><i>City of Oakland, Department of Housing &amp; Community Development:</i></p> <ul style="list-style-type: none"> <li>○ <i>Housing Development Section</i></li> <li>○ <i>Housing Policy &amp; Programs Section</i></li> <li>• <i>Bureau of Planning</i> <ul style="list-style-type: none"> <li>○ <i>Strategic Planning Section</i></li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• Work with developers to identify and pursue all available funding for affordable housing.</li> <li>• Work to remove constraints to the development of housing as specified in the Housing Element.</li> <li>• In annual NOFA, give priority to following activities:               <ul style="list-style-type: none"> <li>○ Housing developments that include units for extremely low- and very low-income households.</li> <li>○ Encourages the siting of affordable housing in areas without concentrations of poverty.</li> </ul> </li> </ul>	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> <li>• Narrative C</li> <li>• Narrative E, #3Actions to address public policy barriers to affordable housing</li> </ul>

<b>IMPEDIMENT ACTION</b> <i>Responsible Organization</i>	<b>DESCRIPTION OF ACTIVITY</b>	<b>ACCOMPLISHMENTS FY 2013-14</b>
<p><b>Community Opposition to the Siting of Affordable Housing</b></p> <p><b>Participate in community outreach and education.</b></p> <p><i>City of Oakland, Department of Housing g &amp; Community Development:</i></p> <ul style="list-style-type: none"> <li>○ <i>Housing Development Section</i></li> <li>○ <i>Housing Policy &amp; Programs Section</i></li> <li>• <i>Bureau of Planning</i> <ul style="list-style-type: none"> <li>○ <i>Strategic Planning Section</i></li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• Encourage affordable housing developers to include a community outreach program as a part of their predevelopment process.</li> <li>• Participate in public information and education activities to highlight affordable housing accomplishments and their positive impacts in communities where they are located.</li> <li>• Conduct briefings and work sessions with the City Council to provide decision makers with information on the City's low income housing needs and the impact of past and current affordable housing developments and policies.</li> <li>• Encourage developers to assist in the formation of resident councils in affordable housing developments.</li> <li>• Monitor existing affordable housing to ensure that management and maintenance are of the highest quality.</li> </ul>	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> <li>• Narrative C, Affordable Housing Activities</li> <li>• Narrative E, #3Actions to address public policy barriers to affordable housing</li> </ul> <p>In addition,</p> <ul style="list-style-type: none"> <li>• The City provides assistance to East Bay Housing Organizations for its annual Affordable Housing Week, which includes tours, presentations and other activities that highlight the accomplishments of affordable housing developments in the City of Oakland.</li> <li>• There are five active Community Housing Development Organizations (CHDOs) in the City of Oakland that certify their annual activities that align with this action to reduce impediments to fair housing.</li> <li>• The City's Housing Policy &amp; Programs Section regularly consults with City Council members on their questions regarding affordable housing policy development.</li> <li>• The City's Housing Development Section's Asset Manager regularly monitors HOME funded developments to insure proper management and maintenance of these properties, including compliance with fair housing requirements.</li> </ul>

<b>IMPEDIMENT ACTION</b> <i>Responsible Organization</i>	<b>DESCRIPTION OF ACTIVITY</b>	<b>ACCOMPLISHMENTS FY 2013-14</b>
<p><b>Discrimination in the Sale or Rental of Housing</b></p> <p><b>Support counseling, education, and advocacy programs.</b></p> <p><i>Causa Justa :: Just Cause</i>  <i>Centro Legal de la Raza</i>  <i>East Bay Community Law Center</i>  <i>Eden Council on Hope and Opportunity</i>  <i>City of Oakland, Department of Housing &amp; Community Development:</i></p> <ul style="list-style-type: none"> <li><i>Housing &amp; Community Development Department</i> <ul style="list-style-type: none"> <li><i>Housing Development Section</i></li> <li><i>Housing Assistance Center</i></li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>Provide funding to nonprofit agencies to provide fair housing counseling, investigate complaints, and provide information and referrals.</li> <li>Provide outreach and information materials in other languages in order to reach out to underserved populations.</li> <li>Encourage owners and managers of affordable housing to provide translation assistance or referrals to community-based organizations that can assist with the translation for housing applicants.</li> </ul>	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> <li>Narrative C, Affordable Housing Activities, Objective #9: Removal of Impediments to Fair Housing</li> </ul> <p>In addition,</p> <ul style="list-style-type: none"> <li>The City requires that all projects that receive public assistance, whether funded with federal or non-federal funds, comply with the City's Affirmative Fair Marketing guidelines. A copy of these guidelines is included in each year's Consolidated Plan Action Plan, as part of the special submission requirements for the HOME Program.</li> </ul>
<p><b>Lack of Accessible Features in Housing</b></p> <p><b>Fund accessibility improvement grant program.</b></p> <p><i>City of Oakland, Department of Housing &amp; Community Development:</i></p> <ul style="list-style-type: none"> <li><i>Housing &amp; Community Development Department</i> <ul style="list-style-type: none"> <li><i>Residential Lending Section</i></li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>Provide Access Improvement Grants to existing homeowners and owners of rental developments</li> </ul>	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> <li>Narrative C, Affordable Housing Activities, Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs</li> </ul>

<b>IMPEDIMENT ACTION</b> <i>Responsible Organization</i>	<b>DESCRIPTION OF ACTIVITY</b>	<b>ACCOMPLISHMENTS FY 2013-14</b>
<p><b>Barriers to the Provision of Supportive Housing</b></p> <p><b>Participate in community outreach and education.</b></p> <p><i>City of Oakland:</i></p> <ul style="list-style-type: none"> <li>○ <i>Department of Human Services</i></li> </ul> <p><i>City of Oakland, Department of Housing &amp; Community Development:</i></p> <ul style="list-style-type: none"> <li>○ <i>Housing Development Section</i></li> <li>○ <i>Housing Policy &amp; Programs Section</i></li> </ul>	<ul style="list-style-type: none"> <li>• Work with PATH/Everyone Home partnerships to find sources for long-term services linked to housing, particularly for the homeless and those at-risk of being homeless.</li> <li>• Provide education and outreach regarding housing with supportive services.</li> </ul>	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> <li>• Narrative D, Prevention and Elimination of Homelessness</li> </ul> <p>In addition:</p> <ul style="list-style-type: none"> <li>• The City provides assistance to East Bay Housing Organizations for its annual Affordable Housing Week, which includes tours, presentations and other activities that highlight the accomplishments of affordable housing developments in the City of Oakland.</li> </ul>

<b>IMPEDIMENT ACTION</b> <i>Responsible Organization</i>	<b>DESCRIPTION OF ACTIVITY</b>	<b>ACCOMPLISHMENTS FY 2013-14</b>
<p><b>Discrimination in Mortgage Lending</b></p> <p><b>Increase private lending activity in minority areas through community reinvestment efforts.</b></p> <p><i>Eden Council on Hope and Opportunity The Unity Council City of Oakland, Department of Housing &amp; Community Development:</i></p> <ul style="list-style-type: none"> <li>○ <i>Housing Policy &amp; Programs Section</i></li> <li>○ <i>Homeownership Section</i></li> <li>○ <i>CDBG Section</i></li> </ul>	<ul style="list-style-type: none"> <li>• Monitor and assess HMDA data and Community Reinvestment Act lender evaluations.</li> <li>• Encourage financial institution participation in mortgage lending to low and moderate income individuals and in low and moderate income communities through joint efforts by the City and industry organizations to promote existing lending programs and create new lending programs.</li> <li>• Fund consumer counseling that includes financial literacy and credit counseling in particular for households considering lending choices.</li> </ul>	<p>Analysis of HMDA data was conducted for the research and writing of the Analysis of Impediments to Fair Housing, January 2011.</p> <p>The City continues to be an active participant in efforts to insure that lenders comply with their obligations under the Community Reinvestment Act.</p> <p>Regarding the City's Linked Banking Ordinance, in the Fall of 2011 the City surveyed 30 banks with branches in the City; 10 responded and 8 achieved their fair share banking goal and thus were considered "eligible depositories" in the City.</p> <p>Subsequently, the City's Linked-Banking Ordinance was updated in June 2012 (Resolution no. 83988 C.M.S). The general requirements of the ordinance limits the City's banking business to lending institutions that are meeting community credit needs. The updated ordinance added a requirement seeking a more comprehensive survey for banks interested in doing business with the City.</p> <p>Given the time and expense to submit and analyze the 2011 data, the City did not request updated survey data from the banks that were listed in 2011 as the City's eligible depositories. In early 2013 an additional bank submitted data and was ultimately added to the City's list of eligible depositories. The City's list now consists of 9 banks.</p> <p>In 2013, the City issued a Fiscal Services RFP. According to the June 2012 update to the Linked Banking Ordinance, eligible depositories should have preference in the Fiscal Services RFP. In January 2014, after an extensive review of the responses to the RFP by a committee assembled by the City's Fiscal Services Department, Chase Bank was approved as the new banking services vendor for the City of Oakland.</p>

<b>IMPEDIMENT ACTION</b> <i>Responsible Organization</i>	<b>DESCRIPTION OF ACTIVITY</b>	<b>ACCOMPLISHMENTS FY 2013-14</b>
<b>Foreclosures</b>  <b>Participate in community outreach and education.</b>  <b>Support the rehabilitation and resale of foreclosed homes.</b>  <i>The Unity Council</i> <i>Oakland Community Land Trust</i> <i>City of Oakland, Department of Housing &amp; Community Development:</i> <ul style="list-style-type: none"> <li>○ <i>Housing Development Section</i></li> <li>○ <i>Residential Lending Section</i></li> <li>○ <i>Strategic Initiatives Section</i></li> </ul>	<ul style="list-style-type: none"> <li>• Use NSP funds and other funding sources to acquire, rehabilitate and resell foreclosed homes to low income homebuyers.</li> <li>• Work with non-profit housing service providers to target programs to extremely low, low and moderate income homeowners at risk of losing their homes to foreclosure.</li> </ul>	Please refer to the following sections of this report for details on these activities: <ul style="list-style-type: none"> <li>• Narrative C, Affordable Housing Activities, Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods</li> </ul>
<b>Housing Conditions</b>  <b>Support the rehabilitation of owner- and renter-occupied housing stock.</b>  <i>City of Oakland, Department of Housing &amp; Community Development:</i> <ul style="list-style-type: none"> <li>○ <i>Residential Lending Section</i></li> </ul>	<ul style="list-style-type: none"> <li>• Fund housing rehabilitation both inside and outside areas of minority concentration.</li> </ul>	Please refer to the following sections of this report for details on these activities: <ul style="list-style-type: none"> <li>• Narrative C, Affordable Housing Activities, Objective #5: Improvement of the Existing Housing Stock</li> </ul>
<b>Access to Transportation</b>  <b>Support the development of transit oriented development.</b>  <i>City of Oakland, Department of Housing &amp; Community Development:</i> <ul style="list-style-type: none"> <li>○ <i>Housing Development Section</i></li> </ul>	<ul style="list-style-type: none"> <li>• Prioritize affordable housing developments near transit to provide better access to jobs and services.</li> </ul>	Please refer to the following sections of this report for details on these activities: <ul style="list-style-type: none"> <li>• Narrative C, Affordable Housing Activities, MacArthur Transit Village development description</li> </ul>

## Narrative C: Affordable Housing

This narrative describes actions taken to preserve, improve and expand the supply of affordable housing for low- and moderate-income households. It also includes information on actions undertaken to meet the needs of non-homeless persons needing supportive housing.

Information on actions to address homelessness may be found in Narrative D: Continuum of Care, and in the program-specific narrative for the Emergency Solutions Grant (ESG) program. Additional information on assistance to homeless and non-homeless persons with AIDS may be found in the program-specific narrative for the Housing Opportunities for Persons with AIDS (HOPWA) program.

### 1. Funds Made Available

The following is a listing of new Federal grant funds that were made available to the City in FY 2013-14, and how those funds were allocated among uses. Although these funds were allocated during the fiscal year, the commitments and expenditures that were made by the City included funds received and obligated in prior years.

A summary of *allocations* of housing funds made during the fiscal year for housing development and first-time homebuyer activities, using both Federal and non-Federal funds regardless of the year the funds were first made available, is included in Narrative F: Leveraging, Commitments, Match and Support for Other Applications. Narrative F also includes a listing of other funds (local government, private, and Federal funds made available to entities other than the City for housing activities) that were made available.

Detail on actual *commitments* and *expenditures* of Federal formula grant funds is contained in the IDIS system.

Listings of specific commitments made with HOME, Emergency Solutions Grant (ESG) funds and Housing Opportunities for Persons with AIDS (HOPWA) funds are included in the Program-Specific Narratives for each of those programs.

#### a. Community Development Block Grant (CDBG)

The City received a total of \$ 7,427,578 in 2013/14 CDBG funds. Program income in the amount of \$800,000 and reallocated prior-year funds in the the amount of \$220,231 was added to the 2013/14 CDBG allocation to fund the following uses:

Housing	\$4,077,290
Homeless Service	\$ 405,836
Economic Development	\$ 559,300
District Programs	\$1,741,690
Program Planning & Coordination	\$2,226,693
Section 108 Loan Repayments	\$ 237,000
<b>Total:</b>	<b>\$8,447,809</b>

**b. Neighborhood Stabilization Program (NSP)**

The City was awarded \$2,070,087 in funding from the NSP3 program for acquisition and rehabilitation of vacant and foreclosed single-family and multi-family housing. At least 25 percent of these funds will be used to benefit very low income households through the acquisition and rehabilitation of the California Hotel. Final allocation of the remaining funds has not yet been determined by the City Council.

**c. Rental Rehabilitation Program**

There were no funds received in program income (loan repayments) derived from loans made under the now-discontinued Federal Rental Rehabilitation Program. Repayments received in prior years were allocated primarily to provide additional funding for the Neighborhood Housing Revitalization Program (NHRP) and other rehabilitation programs to provide loans and grants for rehabilitation of owner-occupied homes. The NHRP provides financial assistance to owners of vacant and blighted residential properties of one to four units that are in need of extensive rehabilitation to correct code violations and to eliminate safety and health hazards.

**d. HOME**

The City received a total HOME grant of \$2,232,121. Funds were allocated to the following uses:

Housing Development	\$2,000,000
Program Administration/Monitoring	\$232,121
<b>TOTAL</b>	<b>\$2,231,121</b>

The City also received program income in the amount of \$198,913 in the form of repayment of loans made for first time homebuyer, home maintenance Improvement program and development activities. This program income was re-allocated for additional housing rehabilitation activities. Because Federal regulations require that program income be expended prior to drawdown of grant funds, on a cash flow basis the program income is applied to the first eligible HOME expenditures that are incurred by the City.

**e. Emergency Solutions Grant**

The City received \$529,210 in Emergency Solutions Grant Funds, of which \$39,691 was allocated to cover the City's program administration costs and \$489,519 allocated for rapid rehousing, homeless prevention, shelter and outreach services provided to the homeless and near homeless under the City's Permanent Access To Housing (PATH) Strategy. Priority of these funds are for Rapid Rehousing financial assistance and stabilization services to assist those closest to the streets.

**f. Supportive Housing Program**

The City received four Supportive Housing Program (SHP) grants for the 2013/14 fiscal year, supporting the following City programs: Matilda Cleveland Transitional Housing Program (\$264,765); Families In Transition/Scattered Sites Program (\$249,815), the Homeless Families Support Network (\$1,864,465), and the Oakland Homeless Youth Housing Collaborative (\$713,095). In total, 26,864,388 was awarded to the Alameda County Continuum of Care under the 2013 application through the HUD SuperNOFA process. Of the \$26.8 million awarded county-wide, \$3.1 million directly benefitted City of Oakland SHP programs.

Another \$1.2 million out of the \$26.8 million awarded under the SHP HUD SuperNOFA benefitted agencies based in Oakland or providing substantial direct benefit to persons residing in Oakland. Those programs include the following:

**Walker House, \$216,487**

Walker House in Oakland, operated by the Ark of Refuge, provides permanent supportive housing for 10 homeless, medically fragile dual and triply diagnosed adults living with disabling HIV disease and/or other disabilities who have critical need of care and supervision. Supportive services include attendant care by nursing attendants, meals, registered nurse case management, medication management (to assist with complex medical regimens), and on-site drug counseling as well as a 24-hour supervision for medical emergencies and crisis intervention.

**Rosa Parks House, \$167,162**

Building Opportunities for Self-Sufficiency operates Rosa Parks House, a transitional housing program in Oakland serving homeless individuals with mental disabilities and/or with HIV/AIDS. Rosa Parks provides transitional housing and supportive services (case management, mental health and recovery services and HIV/AIDS services) to 23 individuals. The program is designed to provide a structure for residents to achieve a level of personal and financial stability in order to move them along to a more permanent, independent living arrangement and to sustain that housing over time.

**Oakland PATH Rehousing Initiative Supportive Housing Program, \$474,829**

Abode Services, Inc. provides increased rapid rehousing opportunities to Oakland's shelters, expanding services provided under the City of Oakland's OPRI (Oakland PATH Rehousing Initiative) Program.

**Housing Stabilization Team, \$237,812**

Building Opportunities for Self-Sufficiency's Housing Stabilization Team provides two levels of service to homeless people with disabilities and special needs: (1) rental subsidies for up to 18 months with case management and services; and (2) services provided on-site in SROs to help residents who came from the streets or shelters stabilize in housing.

**Harrison Housing Family Services Program, \$117,187**

Provides transitional housing and comprehensive on-site services to approximately 40 parents and 60 children per year. Program provides adult and children's education, training, employment services, recovery support, housing advocacy, case management, and meals.

**g. Housing Opportunities for Persons with AIDS (HOPWA)**

The City received an award of \$2,673,899 under the 2012 HOPWA program. The City is the lead agency for the metropolitan area, and distributed funds to Alameda County and Contra Costa County based on the relative proportion of AIDS cases, as follows:

Alameda County	\$ 1,526,603
Contra Costa County	\$ 515,121
Grantee Administration (City)	<u>\$41,688</u>
<b>TOTAL</b>	<b>\$2,083,392</b>

## **2. Characteristics of Persons Assisted with Housing**

Information on the racial and income characteristics of persons assisted with housing financed with Federal grant funds is contained in the Integrated Disbursement and Information System (IDIS), a centralized database system maintained by HUD.

Summary information contained in the table included at the end of Section C includes persons assisted with HOME, CDBG, ESG, HOPWA and other federal funds, for projects and activities completed during the program year.

## **3. Geographic Distribution of Assistance**

Maps showing the geographic distribution of first-time homebuyer, housing rehabilitation, and housing development activities funded with HOME and CDBG funds are included at the end of this section. Maps are also provided for activities assisted with ESG and HOPWA funds. The accomplishment tables in this section provide more specific information on the location of housing activities, regardless of whether Federal or non-Federal funds were used.

## **4. Efforts to Meet “Worst-Case Needs”**

The City has undertaken efforts to assist persons with “worst-case needs.” These include:

- Persons with disabilities;
- Households living in substandard housing;
- Low-income households paying more than 50% of income for rent; and
- Households that have been involuntarily displaced by public action.

For persons with disabilities, a principal focus of the City's housing efforts has been the expansion of the supply of affordable housing for persons with AIDS. The City has used HOME and encumbered some remaining Low/Mod Housing Funds (formerly Redevelopment Agency funds prior to it being eliminated), in conjunction with funding from the Section 811 program, for new construction of such housing. Funding for new construction and rental assistance is also provided under the HOPWA program.

The City provides rehabilitation assistance for homeowners who are living in housing that is dilapidated or substandard. The City's code enforcement program is intended to encourage owners to bring their properties up to code. Continued violations of housing code requirements result in liens against the property, providing financial incentives for owners to complete the necessary work. In extreme cases, the City may order a property be closed and the tenants relocated. Under the City's Code Enforcement Relocation Ordinance, the City provides relocation assistance to these tenants and then places a lien against the substandard property for the cost of the relocation.

The City also seeks to expand assistance for low income persons with high cost burdens. City-assisted housing developments require that 15 percent of all units have rents equal to 30 percent of the monthly income of households at or below 30% of median income, in order to provide affordability to a broader range of low income persons, particularly those currently experiencing high cost burdens.

The City also uses project-based and tenant-based rental assistance to assist extremely low income households, including those with special supportive services needs. The City has worked closely with the Oakland Housing Authority (OHA) to expand the use of project-based Section 8 however due to funding constraints, OHA did not award any PBV units to new projects in FY 2014. By the close of FY 2013-14, OHA had a total of 3,094 project based vouchers (PBV) in use or formally committed to projects. At the end of FY 2013-14, a total of 1,653 PBV units were under a HAP contract and in use (rented). Under the existing regulations, Public Housing Authorities (PHA) are limited to project-basing up to 20 percent (20%) of the amount of budget authority allocated to the PHA by HUD in the PHA voucher program. In addition, PHAs are limited to project-basing up to 25 percent (25%) of units in a single development. Through the MTW program, OHA has received approval from HUD to remove the cap on the number of PBVs allocated to a single development, and to exceed the 20% cap on the budget authority allocated to the program. These flexibilities have allowed the commitment of more Project Based units in Oakland than would have otherwise been possible.

Affordable housing developments assisted by the City require that preference be given to persons who have been displaced by public action.

In 2006-07, the City Council adopted the currently active Permanent Access to Housing (PATH) plan, which brings together affordable housing developers and service providers to create and operate permanent supportive housing for homeless persons. An "Oakland Pipeline" has been established to coordinate financing and plan to expand the inventory of housing to serve homeless people who are among those with "worst-case needs."

## **5. Detailed Status of Housing Activities**

The tables on the following pages provide detailed information on all housing activities undertaken by the City, regardless of whether they were financed with federal funds. Information on actions to prevent and reduce homelessness is contained separately in Narrative D.

## Affordable Housing Planned Actions and Accomplishments, FY 2014-2015

### Objective #1: Expansion of the Supply of Affordable Rental Housing

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>11<sup>th</sup> and Jackson</b> 1110 Jackson Street  <i>Chinatown/Eastlake/San Antonio</i>	New construction of 71-unit family affordable housing (including 1 manager's unit)  17-1BR 29-2BR 25-3BR  5,000 sq. ft. ground floor commercial	30 – 60% Area Median Income (AMI):  22 units affordable at 30% AMI  18 units affordable at 50% AMI  30 units affordable at 60% AMI  Small and large families	HOME: \$2,626,000  CHDO Operating Funds: \$124,000  Total City Funds: \$2,750,000	N/A	Awarded NOFA allocation in FY 13-14.
<b>94<sup>th</sup> and International</b> 9400-9500 International Blvd.  <i>Elmhurst</i>	New Construction of 59-unit family affordable housing project (including 1 managers unit)  18-1 BR 23-2 BR 18-3 BR  2,999 sq. ft. commercial	30% to 50% AMI  6 units affordable at 30% Area Median Income  16 units affordable at 35% Area Median Income  36 units affordable at 50% Area Median Income	Low/Mod Housing Fund: \$5,597,000  General Purpose Fund (Affordable Housing): \$1,022,517  Low and Moderate Income Housing Asset Fund: 1,127,483  Total funding: \$7,747,000	Project applied multiple times for 9% tax credits and has applied again in March, 2013. If project receives tax credits, construction would begin in October, 2013.  Anticipated completion date: May 2015	Still working to secure financing. Applied for 9% tax credits.

## Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Brooklyn Basin (formerly Oak to 9<sup>th</sup>) Affordable Housing Parcels</b> Embarcadero (exact street addresses to be determined)  <i>Portions of Western Oakland and Chinatown/Eastlake</i>	Purchase property pursuant to 2006 Development Agreement (DA) for the development of 465 units of affordable housing according to the DA and Cooperation Agreement  DA also has provisions for separate parking and retail condos to be built by the affordable housing developer (and reimbursed by the master developer)	Per the 2006 Cooperation Agreement and DA: <ul style="list-style-type: none"> <li>465 units affordable to households earning between 25-60% AMI</li> <li>55 year affordability restrictions</li> <li>No more than 25% of units for senior housing</li> <li>At least 30% of units to be 3 BR units and 20% 2BR units</li> <li>Up to 77 units may be built off-site nearby (within the former Central City East Redevelopment Area, west of 27<sup>th</sup> Avenue).</li> <li>1.33 off-site units replace 1 on-site unit</li> </ul>	Redevelopment Agency (2011 Affordable Housing Set-Aside Bond): \$24,000,000 (designated for Site Purchase)  TBD (Unit Construction; \$45 million identified form possible future draws on Residual Property Transfer Tax)	Purchase parcels	Negotiations well underway to purchase affordable housing parcels.

**Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Cathedral Gardens</b> 688 21st Street  <i>Western Oakland</i>  See also Objective #7: Provision of Supportive Housing for Seniors and Other Persons with Special Needs	New construction and rehabilitation for former rectory building totaling 100 new units.  35 1-bdrm units 34 2-bdrm units 31 3-bdrm units  Services provided for developmentally disabled, persons with HIV/AIDS, and formerly homeless households with a mental disability	40 units for extremely low income households at or below 30% AMI.  30 units for low income households at or below 50% AMI  29 units for moderate income households at or below 60% AMI  69 units for small families  31 units for large families  5 units for homeless households  15 units for people with developmental disabilities or HIV/AIDS	Low/Mod Housing Fund: \$9,840,000  OHA: 40 Project-Based Section 8 Vouchers	Project started construction in July, 2012.  Anticipated completion date is June, 2014.	Started construction in July, 2012.  Anticipated construction completion date is October, 2014.

**Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Civic Center 14 TOD</b> 632 14 <sup>th</sup> Street  <i>Western Oakland</i>  See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs	New construction of 40 units family and individual special needs/homeless housing (including 1 manager's unit)  12-Studios 12-1BR 16-3BR  600 sq. ft. ground floor commercial	30 to 60% Area Median Income (AMI):  12 units affordable at 30% AMI  14 units affordable at 50% AMI  13 units affordable at 60% AMI  Small family/Large family  Homeless/Persons with special needs	Affordable Housing Trust Fund: \$1,085,509  General Purpose Fund (Affordable Housing): \$489,491	N/A	Awarded NOFA allocation in FY 13-14.
<b>Grove Park</b> 3801-3807 Martin Luther King Jr. Way  <i>North Oakland</i>	Purchase of 3801-3807 Martin Luther King Jr. Way for possible assembly with two adjacent parcels.	8 units must be kept affordable at 80% AMI for 45 years.	Low/Mod Housing Funds (via V-HARP): \$800,000	No one year goals. Developer legally abandoned project. New developer (LANDIS) is negotiating to buy construction lender's note. City affordability restrictions will remain in place.	No progress on this development in FY 2013-14.

**Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Housing Development Program</b>  <i>Citywide</i>	Funding for new construction of affordable housing. Specific projects will be selected through a competitive Notice of Funding Availability (NOFA) process during the program year.	<p>Low income renters with incomes between 30% and 60% of AMI.</p> <p>Homeowners with incomes up to 120% of median income may be assisted using Low/Mod Housing Funds.</p>	HOME: \$2,000,000	<p>Funding is significantly reduced due to dissolution of Redevelopment Agencies and resulting loss of the Low Moderate Income Housing set-aside funds.</p> <p>HOME and any other available funds will be awarded through a NOFA process to be published in September, 2013 with awards in March, 2014.</p>	A total of \$7,225,000 was awarded to three new construction projects in February 2013. Funds awarded included HOME and local Affordable Housing Trust Fund.
<b>Lion Creek Crossings (formerly Coliseum Gardens HOPE VI) New Senior Rental Housing, Phase V</b> 6710 – 6760 Lion Way  <i>Elmhurst / Central East Oakland</i>  See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs	<p>New construction of 127 senior rental units and one manager's unit (total of 128 new units).</p> <p>2-Studios 117-1BR units 9-2BR units (including one manager's unit)</p>	<p>70 units to serve extremely low-income households (0-30% Area Median Income)</p> <p>57 units to serve very low-income households (31-50% Area Median Income)</p> <p>1 unit set aside for an onsite manager and has no income restrictions</p>	HOME: \$2,000,000	<p>Loans closed Spring 2013. Construction to commence Summer 2013.</p> <p>Anticipated completion date September 2014.</p>	Project awarded City funds in Spring 2013. Funding was not accepted by the developer due to tight development timelines and relatively low amount of City funding awarded.

**Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>MacArthur Apartments</b> 9800 MacArthur Blvd  <i>Elmhurst</i>  See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs	New construction of 32 rental units  14 1-BR 8 2-BR 10 3-BR  1,000 sq. ft. commercial space  Supportive services for 6 MHSA units	14 units to serve tenants with extremely low incomes (0-30% Area Median Income)  16 units to serve tenants with very low incomes (31-50% Area Median Income)  1 unit to serve a tenant with low income (51- 80% Area Median Income)  Units are to serve small families (2-4 persons) and large families (5+ persons)  6 Special needs units for individuals with mental illness or emotional disturbance that are homeless or at-risk of homelessness	Low/Mod Housing Fund \$4,485,000	Project started construction in April, 2012  Anticipated completion date: September, 2013.	Construction completed and full lease up was achieved in November 2013.

**Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>MacArthur Transit Village (aka Mural Apartments)</b> 40th Street and Telegraph Ave.  North Oakland	New construction of 90 units  2 studio units 22 1-BR units 29 2- BR units 36 3- BR units 1 manager's unit	29 units for extremely low income households at or below 30% AMI.  60 units for low income households at or below 50% AMI.  ---  53 units for small families  36 units for large families	Low/Mod Housing Fund: \$17,200,000  OHA: 22 Project-Based Section 8 Vouchers	Project has been delayed due to delays in transit village infrastructure improvements. Project will submit for Bonds and Tax Credits in March, 2013.  Anticipate construction to start by August, 2013  Anticipated completion date is February, 2015.	Construction started in September 2013.  Anticipated completion date is May 2015.
<b>MLK/MacArthur</b> 3829 Martin Luther King Jr. Way  North Oakland	Site acquisition of a parcel for future housing.	25% of parcel purchased with these funds to be affordable to households earning not more than 80% AMI.	Low/Mod Housing Fund Site Acquisition Loan: \$52,000	Developer now bankrupt; City will eventually foreclose to get control of parcel and combine into Grove Park or similar plans. See Grove Park for further details.	No progress on this development in FY 2013-14.
<b>Saint Joseph's Affordable Family Apartments (aka Terraza Palmera)</b> 2647 International Blvd.  Fruitvale/San Antonio	New construction of 62 units:  15 1-BR units 27 2-BR units (inc. 1 manager's unit) 20 3-BR units	25 units for households at or below 35% AMI;  18 units for households at or below 50% AMI;  18 units for households at or below 60% AMI.	Low/Mod Housing Fund: \$6,427,656 HOME: \$3,850,344  Total City/Low/Mod Housing Funds: \$10,278,000	Project started construction January, 2012  Anticipated completion date: September, 2013	Notice of Completion: 2/15/14

**Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>The Savoy (Formerly: Jefferson Oaks Apartments or Oaks Hotel)</b> 587 15th Street and 1424 Jefferson Street  <i>Western Oakland</i>	Rehabilitation of 85 existing SRO units at the Oaks Hotel.  Adding an additional 31 units from the Jefferson Hotel (the adjacent property), for a total of 106 units (105 affordable units).	42 units at for households at or below 25% AMI;  63 units for households at or below 50% AMI.	Low/Mod Housing Fund: \$1,100,000  HOME: \$2,500,000  Total City/Agency Funds: \$3,600,000	Complete final phase of construction (old Oaks Hotel) by October 2012.	Construction completed in May 2013. Final project close-out September 2013.

**Objective #2: Preservation of the Supply of Affordable Rental Housing**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>C.L. Dellums Apartments</b> 644 14 <sup>th</sup> Street  <i>Western Oakland</i>	Rehabilitation and ownership interest transfer of existing 73 unit affordable rental development.  72 Studio units 1 Manager's unit	Seventy-two households earning up to 50% of Area Median Income	HOME: \$1,200,000	Anticipated completion: August 2014	Rehabilitation work substantially underway. Completion date moved to October 2014 due to unforeseen conditions uncovered during construction and delays related to elevator work (the elevator crew assigned to the building lost its equipment in a fire in Mission Bay, SF).
<b>California Hotel</b> 3501 San Pablo Avenue  <i>Western Oakland</i>  See also Objective #7: Provision of Supportive Housing for Seniors and Other Persons with Special Needs	Rehabilitation of 137 units:  4 2-BR 12 1-BR 119 Studios 2 manager's units	55 units for extremely low-income households (at or below 30% Area Median Income)  80 units for low-income households (between 31 – 50% Area Median Income)  25% of the units will be set aside for housing of formerly homeless people with special needs	Low/Mod Housing Fund: \$5,253,000  HOME: \$566,750  NSP1: \$750,000  NSP3: \$1,300,000  CA HCD LHTF: \$551,250  Total: \$8,421,000	Continue construction / rehabilitation.  Anticipated completion date: October 2013.	Completed construction and reached full occupancy in December 2013.

**Objective #2: Preservation of the Supply of Affordable Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Capital Needs Rehabilitation Program</b>  <i>Citywide</i>	Funding for rehabilitation and preservation of affordable housing. Specific projects will be selected through a competitive Notice of Funding Availability (NOFA) process during the program year.	Low income renters with incomes between 30% and 60% of AML.	A portion of the funds allocated for the affordable housing NOFA under "Objective 1: Expansion of Supply of Affordable Housing" may be used for this purpose.	<p>Funding is significantly reduced due to dissolution of Redevelopment Agencies and resulting loss of the Low Moderate Income Housing set-aside funds.</p> <p>HOME and any other available funds will be awarded through a NOFA process to be published in September, 2013 with awards in March, 2014. NOFA may include rehabilitation of existing affordable housing.</p>	A total of \$200,000 was awarded to one rehabilitation project in February 2013. Funds awarded included HOME and local Affordable Housing Trust Fund.

**Objective #2: Preservation of the Supply of Affordable Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Drasnin Manor</b> 2530 International Blvd  <i>Fruitvale/San Antonio</i>  See also Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods	Rehabilitation and ownership interest transfer of 26 existing affordable rental units  3 1-BR units 9 2-BR units (inc. manager's unit) 14 3-BR units  2,958 sq. ft. commercial	25 units targeted to Low-Income households below 50% of AMI. Project Based Section 8 vouchers on 25 units will push effective affordability levels much deeper.  Units are for small (2-4 persons) and large families (5+ persons). 1 Unit for mobility impaired	Low/Mod Housing Fund: \$1,800,000  Neighborhood Stabilization Program: \$1,159,031	Rehabilitation completed in FY13. In FY14, close-out financing, turn over to asset monitor. Project lease-up fully completed (building turned over vacant).	Development close-out completed. Project rental units are fully leased.
<b>Effie's House, Phase 2</b> 829 E 19th Street  <i>Chinatown/Eastlake/San Antonio</i>	Rehabilitation of 21 units (including 1 manager's unit)  11 studios 10 1-BR units	2 units for households at or below 35% AMI  2 units for households at or below 50% AMI  17 units for households at or below 60% AMI.	Low/Mod Housing Fund: \$1,260,000  Weatherization Assistance Program: \$32,914	Estimated Rehabilitation start date: TBD  Estimated Rehabilitation completion date: TBD	All the work from the contract is complete.  Sign-off date in Fall of 2014
<b>Kenneth Henry Court</b> 6455 Foothill Blvd.  <i>Central East Oakland</i>	Rehabilitation of 51-unit property (including 1 manager's unit)  8 1-BR 27 2-BR 13 3-BR 2 4-BR	20 units at 40% Area Median Income  23 units at 50% Area Median Income  7 units at 60% Area Median Income	Low/Mod Housing Fund: \$1,375,000	Rehabilitation completed in FY13-14. In FY14-15, close-out financing, turn over to asset monitor. Project lease-up of "hotel" and "storage" units from construction process completed.	Development close-out completed.

**Objective #2: Preservation of the Supply of Affordable Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Madison Park Apartments</b> 100 9 <sup>th</sup> Street  <i>Chinatown/East Lake/San Antonio</i>	Rehabilitation of 98 units (including 2 managers' units)  20 studios 69 1BR units 9 2BR units	21 units for households at or below 30% AMI  75 units for households at or below 50% AMI	Low/Mod Housing Fund: \$1,250,000  Weatherization Assistance Program: \$152,111	The construction start date is April 2013.  The estimated construction completion date: December 2013.	Notice of Completion Filed 12/23/2013
<b>Madrone Hotel</b> 477 8 <sup>th</sup> Street  <i>Western Oakland</i>	Rehabilitation of 31 Single Resident Occupancy units and one manager's unit.	All units are at or below 50% AMI	HOME: \$989,000	Rehabilitation project funded June 2012 and awarded additional funds in March 2014.  Applied for tax credits March 2014  Estimated Start Date: May 2014  Anticipated completion: August/September 2015	Proposed Loan Closing in August of 2014
<b>Marcus Garvey Commons</b> 721 Wood Street  <i>Western Oakland</i>	Rehabilitation of 21 units of affordable family housing.  4 1BR units 7 2BR units 8 3BR units 2 4 BR units 1 Manager's Unit	12 units reserved for very low-income households (30-50% Area Median Income)  9 units reserved for low income households (50-80% Area Median Income)	Low/Mod Housing Fund: \$352,000  HOME: \$382,000 (2012) \$200,000 (2014)  Total City/Agency Funds: \$934,000	Closed Redevelopment Agency Loan in March 2013.  <i>Estimated Construction Date: TBD due to NEPA-related delays.</i>	Resyndicating should be completed by the end of 2014  Construction to start in Spring 2015.

**Objective #2: Preservation of the Supply of Affordable Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>The Savoy (Formerly: Jefferson Oaks Apartments or Oaks Hotel) 587 15th Street and 1424 Jefferson Street</b>  <i>Western Oakland</i>	Rehabilitation of 85 existing SRO units at the Oaks Hotel.  Adding an additional 31 units from the Jefferson Hotel (the adjacent property), for a total of 106 units (105 affordable units).	42 units at for households at or below 25% AMI;  63 units for households at or below 50% AMI.	Low/Mod Housing Fund: \$1,100,000  HOME: \$2,500,000  Total City/Agency Funds: \$3,600,000	Complete final phase of construction (old Oaks Hotel) by August 2013.	Completed construction and reached full occupancy.

**Objective #3: Expansion of the Supply of Affordable Ownership Housing**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>1574 – 1590 7<sup>th</sup> Street (aka: Peralta Gardens or 7<sup>th</sup> and Peralta)</b>  <i>Western Oakland</i>	New construction of 5 3-bedroom ownership townhomes, 2 of which will be affordable.	2 households with incomes at or below 100% AMI.  Large families (two 3- bdrm units)	Low/Mod Housing Fund: \$127,327	Project stalled due to bankruptcy of project developer/owner.	No progress on this development in FY 2013- 14.
<b>3701 Martin Luther King Jr. Way</b>  <i>Western Oakland</i>	Site acquisition of a lot for future ownership housing.	Households with incomes at or below 80% AMI.	Low/Mod Housing Fund: \$109,510	Soil cleanup stalled.  Developer now bankrupt; City will eventually foreclose to gain control.  There is no anticipated completion date at this time.	No progress on this development in FY 2013- 14.

**Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>7<sup>th</sup> &amp; Campbell Properties (formerly Faith Housing)</b> Corner of 7 <sup>th</sup> and Campbell Streets  <i>Western Oakland</i>	Site acquisition/land assembly for proposed ownership housing development.  City to foreclose on housing successor agency note to assume ownership.	To be determined	Low/Mod Housing Fund: \$689,598  Low/Mod Housing Fund (Non-Housing): \$100,000	Project is essentially dead. The current owner of the properties is no longer a functioning organization. The Redevelopment Agency purchased the LISC lien (2 <sup>nd</sup> lien holder) in March 2010. The loans are considered Housing Assets in the post-Redevelopment era, but have significant liens. Staff is weighing our options for how to deal with these liens in order to decide how to handle property disposition.	Property foreclosure completed. Substantial liens remain an obstacle to redevelopment, but recent market gains in land value may have improved the salability of the parcels.

**Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Brookfield Court</b> 9507 Edes Avenue  <i>Elmhurst</i>	Acquisition and development of the currently owned City of Oakland property for the construction of 12 wood framed duet style family residences.  2-2 BR 8-3 BR 1-4 BR 1-4 BR—accessible unit	3 households with incomes between 31-50% Area Median Income (very low-income).  9 households with incomes between 51-80 % Area Median Income (low-income).	Predevelopment Loan (FY 2009-10): \$35,000  Low/Mod Housing Fund: \$1,867,000  City Land Donation: \$421,501	Construction commenced in August 2012 and completion is expected in August 2014.	Construction completed in May 3, 2014
<b>Byron Ave. Homes</b> 10211 Byron Ave.  <i>Elmhurst</i>	Site acquisition loan and predevelopment loan for future ownership housing units. Approximately 10 units.	4 households with incomes at or below 60% AMI;  4 households with incomes at or below 80% AMI;  2 households with incomes at or below 100% AMI.  Details will be renegotiated this year.	City: \$378,000 (Site Acq. Loan) \$29,200 (Predevelopment loan)	Accidentally omitted from Action Plan FY 13-14.	No progress on this development in FY 2013-14.

**Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Oakland Home Renovation Program</b> Habitat for Humanity East Bay  <i>Citywide</i>	Acquisition, rehabilitation, and re-sale of 3-5 single family residences	Predominately low and moderate income homebuyers at up to 100% of AMI	CDBG funds for Acquisition/rehab: \$750,000  City to provide first time homebuyer subsidies on re-sale	N/A	Awarded NOFA allocation in FY 13-14.
<b>Pacific Renaissance Plaza Below Market Rate Units</b> 989 Webster Street  <i>Chinatown/Eastlake/San Antonio</i>	Interim Plan: To address slow sales due to market concerns, Council approved to rent most of the units until the ownership market recovers, and refinance the property in order to make an interim partial payment to the City.  Long-Term Plan: Sale of 50 one, two, and three bedroom condominium units to moderate income homebuyers  Portion of proceeds to reimburse City litigation expenses incurred per a 2007 settlement agreement.	Interim Plan: Small Family, Moderate Income (<80% AMI) households  Long-Term Plan: Fifty households earning up to 100% AMI on initial sale of units.  Subsequent re-sale of the units are limited to households earning up to 120% AMI.  Affordability period is 45 years, enforced by agreement with East Bay Asian Local Development Corporation.	Reimbursement of City General Fund  Homebuyers may be eligible to utilize the City's First-Time Homebuyer Mortgage Assistance Program to purchase units	One year goals include renting the majority of the units, provide training/counseling to encourage renters to eventually purchase units if possible, and keep several units listed for sale to test the ownership market for signs of recovery.	Eight units sold to buyer households earning <100% AMI in FY13-14.  Twenty-one units remain to be sold; the majority of these are currently being rented to households earning <80% AMI.

**Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Redwood Hill (formerly Calaveras Townhomes)</b> 4862-4868 Calaveras  <i>Outside Community Development Districts</i>	New construction of 12 wood framed duet style family residence. Owner has agreed to sell to Habitat for Humanity East Bay once NOFA funds are in place.	Households with incomes at or below 110% AMI.	Low/Mod Housing Fund: \$1,310,000	Development of this site by Habitat for Humanity East Bay will depends on Habitat's decision to exercise their option by April 30, 2013. AT that point, development rights will revert back to Community Assets, Inc.	SAHA submitted a NOFA application for FY 2013- 2014. Project was not awarded funds.
<b>Sausal Creek Townhomes</b> 2464 26 <sup>th</sup> Avenue  <i>Fruitvale/San Antonio</i>	New construction of 17 ownership units.  9 2 BR units 8 3 BR units	Moderate Income households at or below 100% AMI. (Restrictions on subsequent re-sales are at or below 120% AMI.)  Units are for small to large families.	Low/Mod Housing Fund: \$2,329,000  Low/Mod Housing Fund 2006 Housing Bond: \$1,651,000	Construction completed in Spring 2008; Due to market conditions, unit sales have been slow. With additional funds provided in Spring 2009, sales prices have been lowered on remaining units.  One year goals include selling the remaining 2 units.	One unsold unit remaining as of June 2014.

**Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Wood Street Affordable Housing Parcel</b> Wood Street between 18 <sup>th</sup> and 20 <sup>th</sup> Streets  <i>Western Oakland</i>	New construction of between 140 and 170 affordable housing units.	Not yet determined	Low/Mod Housing Fund: \$8,000,000	RFP for developer currently on hold due to housing market conditions.  Anticipated completion date: Unknown at this time.	Staff preparing to re-examine feasibility of RFP for affordable housing (emphasizing affordable homeownership if possible) given recent market improvements.

**Objective #4: Expansion of Ownership Opportunities for First-Time Homebuyers**

<b>ACTIVITY NAME LOCATION</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Community Development District</b> <b>Down Payment Assistance Program for Public Safety Officers and Oakland Unified School District Teachers</b> <i>Citywide</i>	Assist first-time Oakland homebuyers employed by the Oakland Police Dept., Fire Services Agency, or OUSD teachers with deferred loans; 15% of the purchase price not to exceed \$50,000.	Public safety officers and OUSD teachers with incomes $\leq$ 120% of Area Median Income.	No new funding.	Accidentally omitted from Action Plan FY 2013-14	The DAP Loan Program was suspended in 2012 and has not been reactivated due to lack of funding.
<b>First-Time Homebuyers CalHOME Program</b> <i>Citywide</i>	Grant Funding provided by State Department of Housing and Community Development to assist first-time homebuyers with deferred loans – up to 30% of purchase price, not to exceed \$60,000.	First-time homebuyers with incomes $\leq$ 80% of Area Median Income.	State HCD: up to \$1,500,000	2013 NOFA application for additional CalHOME Program grant funds is in process as of March 2013. If awarded the grant, (est. Fall 2013) provide Down Payment Assistance loans to a minimum of 25 first time homebuyer families, or about 2 households per month on average if the grant is awarded.	<p>A 2013 CalHOME application was prepared and submitted to HCD however the City was not awarded funds.</p> <p>In FY 13-14 the program used \$122,000 of funds remaining from the 2010 CalHOME grant to assist 3 households. As of 6/30/14, all but \$451.00 the grant was expended.</p> <p>In spring 2014, a new CalHOME application was submitted to CA HCD requesting \$1 million in funding to replenish the program. In 2014, \$39,661 of CalHOME program income was appropriated into a spendable account to make new loans.</p>

**Objective #4: Expansion of Ownership Opportunities for First-Time Homebuyers (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>First-Time Homebuyers Mortgage Assistance Program (MAP)</b>  <i>Citywide</i>	<p>Assist first-time homebuyers with deferred loans.</p> <p>For low-income buyers, (<math>\leq 80\%</math> of AMI): 30% of purchase price not to exceed \$75,000;</p> <p>For moderate income buyers (81-100% AMI): 20% of purchase price not to exceed \$50,000.</p>	First-time homebuyers with incomes $\leq 100\%$ of Area Median Income.	No new funding.	Accidentally omitted from Action Plan FY 2013-14	MAP program income from MAP loan repayments totaling \$1,504,084 were appropriated in Spring 2014. The funds were placed into use in March 2014 to fund new MAP loans. Demand is strong, 16 applications were received and of those, 5 MAP loans totaling \$295,000 closed by 6/30/14 to assist 5 households.
<b>First-Time Homebuyers Mortgage Assistance Program funded with CA HCD BEGIN Program Funds (MAP-BEGIN)</b>  <i>Project-specific, available only at the Brookfield Court Affordable Housing Project – developed in collaboration with Habitat for Humanity East Bay/Silicon Valley. The project is located in CDD # Elmhurst.</i>	<p>Grant Funding provided by State Department of Housing and Community Development to assist first-time homebuyers with deferred loans.</p> <p>Up to 20% of purchase price, not to exceed \$40,000.</p>	First-time homebuyers with incomes $\leq 80\%$ of Area Median Income.	State HCD: up to \$470,000	New program in FY 13-14.	In 2011 the city was awarded the BEGIN Loan program grant. In 2013 the loan development began. By 06/30/14 the proposed BEGIN program was approved by CA HCD, a Standard Agreement was in place, and a \$427,850 first advance draw was prepared to fund the first 11 loans. The grant was extended one year through 6/30/15 to allow time to close all 12 escrows of the newly constructed units at the self-help project.

**Objective #4: Expansion of Ownership Opportunities for First-Time Homebuyers (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>First-Time Homebuyer Shared Appreciation Mortgage Program (SAM) (aka Local Housing Trust Fund)</b>  <i>Citywide</i>	Grant Funding provided by State Department of Housing and Community Development to assist first-time homebuyers with deferred loans. Up to 30% of purchase price, not to exceed \$60,000.	First-time homebuyers with incomes $\leq$ 80% of Area Median Income.	CA Dept. of Housing and Community Development Local Housing Trust Fund Grant:  \$1,023,750	Funds have been awarded. New SAM program is currently in development and anticipated to make the first loans in Spring 2013. Goal for 2013-2014 is to assist at least 17 families and expend the entire grant allocation by June 2014.	In Fall 2013 the LHTF "SAM" program development was finalized and \$1,023,750 of funds placed into use to make SAM loans. By Spring 2014 the City received 21 applications and funded 16 loans. As of 6/30/14, 2.3% or \$24,502 of SAM remained unspent. An amendment was processed to extend the contract thru 1/1/16. Replenishment funds for Homeownership Programs was not requested in our 2014 LHTF NOFA application.

**Objective #4: Expansion of Ownership Opportunities for First-Time Homebuyers (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Homeownership Education Program</b>  <i>Citywide</i>	Assist potential first-time homebuyers by offering certificated homebuyer workshops. The classes meet the educational requirements of the city's loan programs and educate buyers on other assistance programs offered by lender partners.	Potential first-time homebuyers.	Presented by Homeownership staff.	Offer monthly homebuyer-education classes to a total of 400-600 potential first-time homebuyers annually. Increase attendance pull-through in 2013-14 by applying enrollment technology and follow-up reminders. Develop a PowerPoint slide show to assist in streamlining the presentation and hopefully increasing comprehension.	In FY 2013-14 the City held 11 monthly classes. There were 518 people enrolled and awarded 275 completion certificates, a pull-through rate of 55%.

**Objective #5: Improvement of the Existing Housing Stock**

<b>ACTIVITY NAME LOCATION</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Community Development District Access Improvement Program</b>  <i>7 Community Development Districts</i>  See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs	Grants for accessibility modifications to one to four unit properties where owners or tenants have disabilities.	Physically challenged owners or tenants with incomes at or below 50% AMI.	CDBG: \$172,374	Complete accessibility modifications for 8 units in FY 2013-14	Applications Received: 30  Approved: 19 - \$380,400  Completed: 18 - \$389,555  Underway: 12 - \$201,372
<b>Emergency Home Repair Program</b>  <i>Citywide</i>	Emergency repair and rehabilitation financing (deferred loan). Minimum loan of \$2,500 and maximum of \$15,000.	Homeowners with incomes at or below 50% Area Median Income.	CDBG \$117,574	10 units will be assisted in FY 2013-14.	Applications Received: 22  Approved: 7 - \$86,000  Completed: 3 - \$45,000  Underway: 4 - \$51,075
<b>Housing Rehabilitation Loan Program (aka: Home Maintenance and Improvement Program)</b>  <i>7 Community Development Districts</i>	Housing rehabilitation financing (deferred loans at zero interest) of up to \$75,000 for rehabilitation of 1- to 4-unit owner-occupied properties.  This program also funds all the costs for work write-ups, underwriting, construction monitoring and loan servicing for the entire housing rehabilitation program.	Homeowners with incomes at or below 80% Area Median Income.	CDBG \$996,069  Other program income from prior years will be used to supplement these funds.	20 units will be assisted in FY 2013-14.	Applications Received: 64  Approved: 29 - \$2,130,686  Completed: 20 - \$1,331,437  Underway: 19 - \$1,341,130

**Objective #5: Improvement of the Existing Housing Stock (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Lead Safe Housing Program</b>  <i>7 Community Development Districts</i>	Grants for seniors, disabled and some families for exterior painting and lead hazard remediation.	Senior and disabled homeowners with incomes at or below 50% AMI and homeowners with children under 6 years of age with incomes at or below 80% AMI.	CDBG: \$178,691	50 units will be repainted after lead hazards are removed or contained in FY 2013-14.	Applications Received: 37  Approved: 25 – \$339,178  Completed: 24 - \$332,355 Underway: 6 - \$78,000
<b>Minor Home Repair Program</b>  <i>Citywide</i>	Grants to seniors or disabled homeowners for minor home repairs up to \$2,499. Administered by Alameda County.	Senior and disabled homeowners with incomes at or below 50% AMI.	CDBG: \$201,632	90 units will be assisted in FY 2013-14.	Applications Received: 130  Approved: 130 - \$219,695.  Completed: 130 - \$219,695
<b>Neighborhood Housing Revitalization Program</b>  <i>7 Community Development Districts</i>	Provides financial assistance to owners of vacant and blighted residential properties of one to four units that are in need of extensive rehabilitation to correct code violations and to eliminate safety and health hazards. Maximum loan amount is \$150,000 at 10% deferred interest for 2 years.	All interest is waived if the property is sold to a first-time homebuyer with household income not exceeding 120% AMI	Rental Rehabilitation Program Income : \$1,000,641	The number of units assisted within the Consolidated Plan Period FY 2013-14 is to be determined.	There were no applications received for this program in FY 13-14.

**Objective #5: Improvement of the Existing Housing Stock (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Rebuilding Together Oakland: Home Repairs and Safety/Accessibility Modifications for Senior Citizens</b>  <i>Eastlake/San Antonio/Chinatown, Western Oakland, Central Oakland</i>	Repairs that address safety, comfort and accessibility for low-income, senior or disabled homeowners	Low income seniors (at or below 80% Area Median Income) and /or disabled homeowners	CDBG: \$134,463	39 Housing Units 4 in District 2 24 in District 3 11 in District 4	39 Housing Units 4 in District 2 24 in District 3 11 in District 4

**Objective #5: Improvement of the Existing Housing Stock (cont'd)**

<b>ACTIVITY NAME LOCATION</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Community Development District</b> <b>Residential Receivership Program</b>  <i>Citywide</i>	A program designed to facilitate the rehabilitation of vacant and/or blighted substandard properties. A third party "Receiver" is appointed by the courts to obtain the financing, design and construction services necessary to rehabilitate blighted properties throughout the City of Oakland.	Receiver costs, existing City liens, City evaluation/analysis costs, and Attorney's fees are repaid on sale. The balance of sales proceeds are released to the owner.	Receiverships are financed by the Receiver.	The number of units assisted within the Consolidated Plan Period FY 2013-14 is to be determined.	<p>In FY 2013-14 a total of 64 properties were identified and inspected as new Receivership candidates.</p> <p>These properties were added to the blighted vacant lot database that has been maintained since 2012 containing over 4,000 properties that are potential Receivership candidates.</p> <p>Multiple properties were forwarded to City Attorneys Office and Code Enforcement for Receivership.</p> <p>92 Properties were either sold and a Compliance Plan implemented or the blighted substandard conditions were addressed.</p> <p>4 Requests for Information pertaining to potential Receivership properties from outside Developers were received.</p> <p>Implementation of Receivership inter-departmental transmittal and tracking was also instituted.</p>

**Objective #5: Improvement of the Existing Housing Stock (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Weatherization and Energy Retrofit Program</b>  <i>Citywide</i>	Loans to owner-occupied low-income and moderate-income households to provide weatherization and baseline energy efficiency upgrades. Minimum loan of \$6,500 maximum loan of \$30,000 Deferred loans @ 0% interest.	Homeowners with income at or below 80% Area Median Income	CDBG-R: \$880,863  (Total project budget: \$1,450,121.)	Complete energy retrofits and efficiency modifications for 20 units within the Consolidated Plan Period FY 2013-14.	Applications Received: 49  Approved: 29 - \$811,880  Completed: 23 – 603,100  Underway: 14 - \$479,180

**Objective #5: Improvement of the Existing Housing Stock (cont'd)**

The following table provides additional information regarding loan applications and their status for the housing rehabilitation programs offered by the Community and Economic Development Agency.

(NOTE: Programs with dark grey shading have been discontinued due to the dissolution of the Redevelopment Agency.)

HOUSING REHABILITATION PROGRAM	APPLICATIONS RECIEVED	APPLICATIONS APPROVED	CONSTRUCTION STARTED (UNITS) 7/1/13 – 6/30/14	CONSTRUCTION COMPLETED (UNITS) 7/1/13 – 6/30/14		UNITS UNDERWAY AS OF 6/30/14
				Goal	Actual	
Access Improvement Program	30	19	14	8	18	12
Emergency Home Repair Program	22	7	4	10	3	4
Housing Rehabilitation Loan Program (aka: Home Maintenance and Improvement Program)	64	29	25	20	20	19
Lead Safe Housing Program	37	25	16	50	24	7
Minor Home Repair Program	130	130	130	90	130	
Neighborhood Housing Revitalization Program	0	0	0	0	0	0
Weatherization and Energy Retrofit Program	49	29	22	20	23	14

**Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Family Unification Section 8 Rental Assistance</b>  <i>Citywide</i>	Rental assistance to families and individuals, including eligible emancipated Foster Youth.	Eligible Family Unification Program (FUP) households that are involved with the Alameda County Child and Family Services (CFS) department who lack adequate housing and have incomes $\leq$ 50% AMI.	<p>FUP program vouchers are funded from OHA's existing tenant-based voucher allocation. OHA reserves 50 vouchers for FUP eligible families and youth.</p> <p>Due to Federal funding uncertainty and sequestration, OHA cannot guarantee future funding levels for this program.</p>	Each year the program experiences turnover of approximately 5-10 families. In FY 2013-2014, OHA anticipates that it will admit 10 new FUP participants, 5 families and 5 youths, to maintain 100% lease-up, based on current program size.	<p>OHA did not receive new funding in FY 2013-14.</p> <p>Six FUP participants leased up in FY 2014.</p>
<b>Local Housing Assistance Program (LHAP)</b>  <i>Citywide</i>	Alternate form of rental assistance for residents impacted by OHA administered public housing disposition.	Current Public Housing participants, $\leq$ 30% to above 80% of AMI in units approved for disposition.	<p>0 new Section 8 vouchers. OHA will fund Local Housing Assistance Programs (LHAP) assisted units from the Authority's MTW block grant.</p> <p>Due to Federal funding uncertainty and sequestration, OHA cannot guarantee future funding levels for this program.</p>	OHA provides LHAP assistance to current Public Housing participants who are not eligible or who would be negatively impacted by the conversion to Section 8, as a result of the disposition and permanent removal of the unit they currently occupy from the public housing inventory. OHA does not anticipate a need to assist additional families under LHAP in FY 2013-14 and estimates that 35 to 40 families will continue as LHAP participants in FY2013.	Currently OHA has 33 Local Program participants. OHA has had participants who have graduated from the program and others that have converted to Section 8 vouchers as they became eligible. 7 of these LHAP are part of the MOMS program.

**Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Project-Based Rental Assistance</b>  <i>Citywide</i>	Rental assistance to families and individuals.	Renter households with incomes at $\leq$ 50% AMI.	Project-Based Vouchers (PBV) are funded from OHA's existing tenant-based voucher allocation.  OHA has set aside 2,650 units of voucher funding for the Project Based Voucher (PBV) Program.  Due to Federal funding uncertainty and sequestration, OHA cannot guarantee future funding levels for this program.	At the end of FY 2013-14, OHA anticipates that it will have approx. 1,360 units under PBV program HAP contracts.	No new funding was received in FY 2014.  By the end of FY 2014 OHA had 1,653 PBV units.
<b>Section 8 Mainstream Program</b>  <i>Citywide</i>	Rental assistance for disabled families and individuals.	Disabled renters with incomes at $\leq$ 50% AMI.	OHA is budgeted for 175 Mainstream Vouchers  Due to Federal funding uncertainty and sequestration, OHA cannot guarantee future funding levels for this program.	No new funding is anticipated. OHA will seek to achieve 100% lease-up based on allocated funding.	No new funding was received in the Mainstream program. Mainstream maintained a 68% leased rate.

**Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Section 8 Rental Assistance Program</b>  <i>Citywide</i>	Rental assistance to families and individuals.	Renters with incomes $\leq$ 50% AMI.	OHA is budgeted for 12,687 Section 8 Rental Assistance Vouchers  Due to Federal funding uncertainty and sequestration, OHA cannot guarantee future funding levels for this program.	No new funding is anticipated. OHA will seek to maximize utilization of available funding.	OHA was budgeted for 12,805 Housing Choice Vouchers. By the end of the period, OHA had a 96% utilization rate and began issuing voucher and absorbing port in families, activities that were frozen for most of the year due to Federal funding cuts, a Federal government shutdown, and sequestration.
<b>Shelter Plus Care Rental Assistance</b>  <i>Citywide</i>	Rental assistance to families and individuals.	Formerly homeless renters with disabilities and incomes at $\leq$ 50% AMI.	OHA is budgeted for 296 Shelter Plus Care Rental Assistance Vouchers.  Due to Federal funding uncertainty and sequestration, OHA cannot guarantee future funding levels for this program.	Alameda County is the lead agency in applying for Shelter Plus Care Vouchers. The OHA will continue to support Alameda County to renew existing vouchers. No increase in the amount of program funding is anticipated for FY 2013-14.	No new funding received in FY 2013-2014.

**Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)**

<b>ACTIVITY NAME LOCATION</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Community Development District</b> <b>Sponsor Based Housing Assistance Program</b> <i>Citywide</i>	Align OHA's programs to address community need by leveraging new resources and expertise to serve traditionally underserved populations.	Individuals and families that do not normally benefit from OHA's programs because they need services to successfully maintain housing. Income requirements consistent with Section 8 rules ≤50% of AMI	0 new Section 8 vouchers. OHA will fund assisted units from the Authority's MTW block grant.  Due to funding uncertainty and sequestration, OHA cannot guarantee future funding levels for this program.	No new funding is anticipated for SBHAP in FY 2013-14.	Roughly 120 individuals received housing assistance through the program at fiscal year-end. The households served came from homeless encampments, were formerly incarcerated or emancipated foster youth.  This program is severely impacted by Federal Funding cuts and sequestration.
<b>Tenant Protection Vouchers</b> <i>Citywide</i>	Section 8 rental assistance for residents at public housing scattered sites units to be converted to project based voucher assistance.	Low income households at or below 80% of AMI	OHA received 109 Section 8 Tenant Protection Vouchers (TPV) in FY 2012-13  The TPV's were received to assist for residents of an expiring HUD 202 program contract, Westlake Christian Terrace Apartments.  Due to Federal funding uncertainty and sequestration, OHA cannot guarantee future funding levels for this program.	No new funding is anticipated in FY 2013-14. Upon receipt of funding, OHA will issue Tenant Protection Vouchers to eligible occupants of expiring Moderate Rehabilitation Program, HUD Multi-family program opt-outs, or new increments received for public housing units approved for disposition.	No new funding was received during the fiscal year. No projects were awarded TPVs in 2013-2014.

**Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Veterans Affairs Supportive Housing (VASH)</b>  <i>City-wide</i>	Rental assistance for homeless veterans.	Homeless veterans with incomes at $\leq 50\%$ AMI.	<p>OHA is budgeted for 205 Veterans Administration Supportive Housing (VASH) program vouchers.</p> <p>Due to Federal funding uncertainty and sequestration, OHA cannot guarantee future funding levels for this program.</p>	No new funding is anticipated. OHA will work to achieve 100% lease-up of the 205 allocated vouchers.	Received approval for 60 additional VASH vouchers. We are currently about 70% leased.

## Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>1701 Martin Luther King Jr. Way</b> 1701 Martin Luther King Jr. Way  <i>Western Oakland</i>  See also Objective #1: Expansion of the Supply of Affordable Rental Housing	New construction of 26 units for formerly homeless or households with special needs  25 1 BR 1 2BR (mgr unit)	Households between 20% and 50% Area Median Income  7 units at 20% AMI 7 units at 30% AMI 11 units at 50% AMI	HOME: \$1,960,000	Secure 9% tax credits and continue with other predevelopment activities.	Closed loan in June, 2014. Construction started in July, 2014.  Anticipated Completion Fall 2015
<b>Access Improvement Program</b>  7 Community Development Districts  See also Objective #5: Improvement of the Existing Housing Stock	Grants for accessibility modifications to one to four unit properties where owners or tenants have disabilities.	Physically challenged owners or tenants with incomes at or below 50% AMI.	CDBG: \$172,374	Complete accessibility modifications for 8 units in FY 2013-14	Applications Received: 30  Approved: 19 - \$380,400  Completed: 18 - \$389,555  Underway: 12 - \$201,372
<b>California Hotel</b> 3501 San Pablo Avenue  <i>Western Oakland</i>  See also Objective #2: Preservation of the Supply of Affordable Rental Housing	Rehabilitation of 137 units:  4 2-BR 12 1-BR 119 Studios 2 manager's units	55 units for extremely low-income households (at or below 30% Area Median Income)  80 units for low-income households (between 31 – 50% Area Median Income)  25% of the units will be set aside for housing of formerly homeless people with special needs	Low/Mod Housing Fund: \$5,253,000  HOME: \$566,750  NSP1: \$750,000  NSP3: \$1,300,000  CA HCD LHFTF: \$551,250  Total: \$8,421,000	Continue construction/rehabilitation.  Anticipated completion date: December 2013.	Completed construction and reached full occupancy in December 2013.

**Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Cathedral Gardens</b> 688 21 <sup>st</sup> Street  <i>Western Oakland</i>  See also Objective #1: Expansion of the Supply of Affordable Rental Housing	New construction and rehabilitation for former rectory building totaling 100 new units.  35 1-bdrm units 34 2-bdrm units 31 3-bdrm units  Services provided for developmentally disabled, persons with HIV/AIDS, and formerly homeless households with a mental disability	40 units for extremely low income households at or below 30% AMI.  30 units for low income households at or below 50% AMI  29 units for moderate income households at or below 60% AMI  69 units for small families  31 units for large families  5 units for homeless households  15 units for people with developmental disabilities or HIV/AIDS	Low/Mod Housing Fund: \$9,840,000  OHA: 40 Project-Based Section 8 Vouchers	Project started construction in July, 2012.  Anticipated completion date is June, 2014.	Started construction in July, 2012.  Anticipated construction completion date is October, 2014.
<b>Emancipation Village</b> 3800 Coolidge Avenue  <i>Outside Community Development  Districts</i>	New construction of 32 units (including 2 manager units) for emancipated foster youth/foster youth nearing emancipation	30 emancipated young adults between 18-24 at or below 35% AMI	Low/Mod Housing Fund: \$1,652,000	Project completed in Spring 2013; closeout and lease-up to be completed in FY14- 15.	Development close-out completed. Project is fully leased.

**Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs (cont'd)**

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Housing Opportunities for Persons With AIDS (HOPWA)</b>  <i>Alameda County &amp; Contra Costa County</i>	<p>Housing and continued services for individuals and family members of individuals living with HIV/AIDS.</p> <p>Acquisitions of housing units</p> <p>New construction Of permanent housing for persons with HIV/AIDS.</p>	<p>Persons with HIV/AIDS and incomes at 30-50% AMI, and their families.</p>	<p><b>HOPWA</b>  <b>\$2,673,899</b>  Alameda County:  \$1,962,291   Contra Costa County:  \$658,130   City Administration:  \$53,478</p>	<p>Assist approximately 370 persons with HIV/AIDS and their families with housing assistance (tenant based rental assistance, permanent supportive housing, and other housing).</p> <p>Between 60-90 households will gain access to stable permanent housing.</p> <p>Provide information and referral for HIV/AIDS services and housing to at least 370 individuals or households.</p> <p>Maintain capacity of existing housing inventory and support services.  Complete acquisition, rehabilitation and/or development of 15-18 set-aside HIV/AIDS living units.  Continue acquisition, rehabilitation and/or development of additional set-aside of 20 HIV/AIDS living units.</p>	<p>Approximately 155persons with HIV/AIDS and their families received housing assistance during the fiscal year.</p> <p>Ninety-eight persons with HIV/AIDS obtained permanent housing.</p> <p>Approximately persons 700 with HIV/AIDS and their families received housing resource information and services during the fiscal year.</p> <p>During the operating year an additional 15 HOPWA units were completed for persons with HIV/AIDS.</p> <p>Alameda County and Contra Costa have several units in the development which will result in 27 HOPWA units.</p>

## Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Board Up/Clean Up</b>  <i>Citywide</i>	Board up and clean up vacant foreclosed properties	Vacant properties	CDBG: \$124,500	Board up 30 vacant foreclosed properties	18 properties were cleaned-up using these funds.
<b>Community Buying Program</b> Hello Housing  <i>Portions of Western Oakland, Central East Oakland, and Elmhurst (NSP Areas)</i>	Transform abandoned and/or foreclosed properties into new affordable ownership or rental housing	Low to moderate homeowners and tenants	CDBG funds--\$25,000  City facilitated access to National Fair Housing Alliance's Wells Fargo Settlement Agreement on fair housing violations funds--\$50,000	New Program in FY 14-15	1 completed transaction, successful negotiation on 34 properties, and pending transactions on 16 additional properties.
<b>Door-to-Door Foreclosure Prevention Outreach</b> Causa Justa::Just Cause Family Bridges OCCUR Martin Luther King Jr. Freedom Center  <i>Portions of Western Oakland, Central East Oakland, and Elmhurst (NSP Areas)</i>	Door to door outreach on foreclosure prevention services and information to households in foreclosure	Homeowners and tenants in defaulted and foreclosed properties.	Code Enforcement foreclosed properties penalty funds--\$50,000  City facilitated access to National Fair Housing Alliance's Wells Fargo Settlement Agreement on fair housing violations funds--\$100,000	Reach 3,500 households annually	Reached over 4,000 households annually
<b>Foreclosed Properties Blight Abatement</b>  <i>Portions of Western Oakland, Central East Oakland, and Elmhurst (NSP Areas)</i>	Enforce proactive maintenance requirements on lenders of foreclosed properties and City registration requirements	Low- to moderate-income neighborhoods impacted by foreclosures	Code Enforcement Foreclosed Properties Registration Program fees and other charges \$800,000	Banks to proactively maintain and register properties, about 1,500 properties annually	Banks registered over 1,200 properties, City Code Enforcement spot inspected 449 properties, City directly collected over \$1.4 million in fees and penalties. Blighted properties rate was 3% compared to 50% in 2012.

**Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Foreclosure Counseling and Prevention</b>  Community Housing Development Corporation of North Richmond Housing and Economic Rights Advocates  <i>Citywide</i>	Legal services, counseling and advocacy for homeowners going through or at risk of foreclosure	Oakland homeowners facing foreclosures	City blight penalty funds: \$100,000	In depth legal advocacy and case-specific technical assistance: 100 clients  Consumer education workshops: 120 homeowners  Administer homeowner foreclosure defense hotline  Train City staff, outreach workers and HUD-certified housing counselors on foreclosure-related information	Served 159 homeowners.
<b>Housing Assistance Center (Strategic Initiatives)</b> City of Oakland  <i>Citywide</i>	Provide one stop housing services and referrals, including to accessing affordable housing & homeless shelter placements	Any Oakland family with housing distress	CDBG: \$390,000	Serve about 2,500 households annually	Served over 4,000 households

**Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>International Boulevard Community Revitalization Without Displacement Initiative</b> City of Oakland, Alameda County Public Health Dept, TransForm, East Bay Housing Organization, other community based groups  <i>International Blvd Corridor</i>	Improve transportation, housing, economic development, health & public safety along Int'l Blvd Corridor, including implementation of key parts of the Int'l Blvd TOD plan, while developing anti- displacement strategies.	Low to moderate income households & small businesses	California Sustainable Communities Planning Grant <p align="center">\$999,996</p> California Endowment <p align="center">\$750,000</p>	Develop concrete housing & community development implementation plans	Developed leadership of over 20 diverse residents on planning and development.  Organized planning process with over 60 different agencies and 30 residents to successfully develop unified priorities for community development.  Developed the Business Sustainability Plan for the Bus Rapid Transit project to prevent potential displacement of businesses including \$5 million in funding from AC Transit and City of Oakland, pending Fall approvals.  Secured highly competitive national& state infrastructure (\$2.5 million) and cultural arts (\$450,000) grants to implement community development priorities.

**Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Investor Owned Properties Program</b> City of Oakland  <i>Citywide</i>	Enforce new City ordinance requiring investors who purchase properties with foreclosure history to register & allow for City interior inspection to address habitability issues	Low to moderate income tenants	Registration fees and other charges \$100,000	About 50 properties annually	Over 90 properties were registered by inspectors, 74 properties inspected by City Code Enforcement, and \$73,000 in fees and penalties directly collected.
<b>Neighborhood Stabilization Program - Owner</b>  <i>Portions of Western Oakland, Central East Oakland, and Elmhurst</i>	See individual program descriptions below				
<b>Oakland Community Land Trust</b>  <i>Portions of Western Oakland, Central East Oakland, and Elmhurst</i>	Rehabilitation of foreclosed single family residential units. Properties will be held in a Community Land Trust to provide permanently affordable homeownership.	Households between 50% and 80% of area median income.	Neighborhood Stabilization Program: Sales proceeds from properties purchased with the original \$5,025,000 will be used fund the rehabilitation of additional properties.	Rehabilitate and sell at least 5 homes for owner occupancy.	No new acquisitions or rehabilitations in FY 2013-14. Of their current portfolio of 17 acquired foreclosed homes, 10 homes sold in FY 2013-14 (for a total of 13 homes sold since inception). Three homes remain to be sold and the use of one vacant lot (where house was demolished due to property conditions) yet to be determined by OCLT.

**Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Pre and Post Purchase Counseling</b> The Unity Council  <i>Portions of Western Oakland, Central East Oakland, and Elmhurst</i>  See also the table in Narrative D: Prevention and Reduction of Homelessness	Pre and post-purchase counseling for purchasers of land trust units, including counseling on specific requirements of a land trust form of ownership.	Potential low/mod homeowners interested in Land Trust properties.	CDBG: \$83,000	20 Households	Planned funding for this program was not expended in FY 2013-14.
<b>Neighborhood Stabilization Program - Rental</b>  <i>Portions of Western Oakland, Central East Oakland, and Elmhurst</i>	See individual projects listed below.				
<b>Drasnin Manor</b> 2530 International Blvd  <i>Fruitvale/San Antonio</i>  See also Objective #2: Preservation of the Supply of Affordable Housing	Rehabilitation and ownership interest transfer of 26 existing affordable rental units  3 1-BR units 9 2-BR units (inc. manager's unit) 14 3-BR units  2,958 sq. ft. commercial	25 units targeted to Low-Income households below 50% of AMI. Project Based Section 8 vouchers on 25 units will push effective affordability levels much deeper.  Units are for small (2-4 persons) and large families (5+ persons). 1 Unit for mobility impaired	Low/Mod Housing Fund: \$1,800,000  Neighborhood Stabilization Program: \$1,159,031	Rehabilitation completed in FY13. In FY14, close-out financing, turn over to asset monitor. Project lease-up fully completed (building turned over vacant).	Development close-out completed. Project rental units are fully leased.

**Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>ROOT Loan Fund Program</b> Community Housing Development Corporation of North Richmond  <i>Portions of Western Oakland, Central                      East Oakland, and Elmhurst (NSP                      Areas)</i>	Develop foreclosure mitigation pilot loan fund program to assist eligible homeowners preserve ownership	Homeowners or prior homeowners 120% AMI or below in primarily NSP areas	NSP 3 \$900,000  CDBG: \$200,000	Accidentally omitted from FY 2013-14 Action Plan.	The NSP funds were used to leverage additional funds to support home preservation for 3 long-time Oakland homeowners, all of whom are elderly, African American, and residing in areas that were once bastions of African American homeownership.

### Objective #9: Removal of Impediments to Fair Housing

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>Fair Housing Services</b> <b>Master Contract with East Bay</b> <b>Community Law Center</b>  <i>Citywide</i>		Individuals and Families with incomes at or below 80% Area Median Income	CDBG Fair Housing: \$241,806  CDBG District Funding: District #1: \$7,500 <u>District #4: \$12,170</u> \$19,670  Total CDBG Funding: \$261,476		
<b>East Bay Community Law Center</b>	Limited scope legal representation.  Direct legal representation to selected clients.		CDBG Fair Housing: \$48,611  District #1: \$7,500  District #2: \$12,170  Blight Abatement Funds: \$18,914  Total Grant Amount: \$87,195	Limited-scope legal representation: 240 clients  Direct legal representation to selected clients: 72 clients  Limited-scope legal representation District #1: 48 clients  Limited-scope legal representation District #4: 80 clients  Direct legal representation to selected clients: 5 clients	Limited-scope legal representation: 241 clients  Direct legal representation to selected clients: 73 clients  Limited-scope legal representation District #1: 48 clients  Limited-scope legal representation District #4: 58 clients  Direct legal representation to selected clients: 5 clients

**Objective #9: Removal of Impediments to Fair Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Causa Justa :: Just Cause</b>	Information and referral on housing related issues.  Tenant/Landlord rights and responsibility counseling.  Tenant/Landlord conciliation and meditation.		CDBG Fair Housing: \$62,010	Information and Referral on housing related issues: 600 clients  Tenant/Landlord rights and responsibilities counseling: 540 clients  Tenant/Landlord Case Management: 140 clients	Information and Referral on housing related issues: 569 clients  Tenant/Landlord rights and responsibilities counseling: 546 clients  Tenant/Landlord Case Management: 133 clients
<b>Centro Legal de la Raza</b>	Limited English Proficiency (Spanish) limited-scope legal assistance.  Limited English Proficiency (Spanish) direct legal representation.		CDBG Fair Housing: \$44,935	Limited-scope legal representation: 270 clients  Direct legal representation to selected clients: 20 clients	Limited-scope legal representation: 481 clients  Direct legal representation to selected clients: 21 clients

**Objective #9: Removal of Impediments to Fair Housing (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Eden Council for Hope and Opportunity (ECHO)</b>	Housing discrimination case management.  Fair Housing outreach and training.		CDBG:  \$86,250	Housing discrimination intake, assessment, and counseling: 120 clients  Fair Housing Investigation: 42 complaints  Of those complaints: 13 will have their issues resolved through mediation or conciliation  21 will be resolved via counseling and education of non- compliant housing providers  20 cases will be tested for allegations of Fair Housing discrimination	Housing discrimination intake, assessment, and counseling: 121 clients  Fair Housing Investigation: 58 complaints  Of those complaints: 3 had their issues resolved through mediation or conciliation  14 were resolved via counseling and education of non-compliant housing providers  36 cases were tested for allegations of Fair Housing discrimination

## 6. Households Assisted with Housing Using Federal Funds

Priority Need Category	Households
<b><i>Renters<sup>a</sup></i></b>	
0 – 30% of MFI	699
31 – 50% of MFI	232
51 – 80% of MFI	63
<b>TOTAL</b>	<b>994</b>
<b><i>Owners</i></b>	
0 – 30% of MFI	6
31 – 50% of MFI	9
51 – 80% of MFI	5
<b>TOTAL</b>	<b>20</b>
<b><i>Homeless</i></b>	
Single Individuals	651
Families	112
<b>TOTAL</b>	<b>763</b>
<b><i>Non-Homeless Special Needs</i></b>	157
<b>TOTAL</b>	<b>157</b>
<b>TOTAL Housing</b>	<b>1,934</b>
<b>Total 215 Housing</b>	<b>156</b>

See following pages for definitions of “Section 215” Housing.

Racial/Ethnic Breakdown	Non-Hispanic	Hispanic	Total Racial/Ethnic
<b>Single Race</b>			
White	180	30	210
Black/African American	795	7	802
American Indian/Alaskan Native	19	3	22
Asian	30	0	32
Native Hawaiian/Other Pacific Islander	8		8
<b>Multi-Race</b>			
American Indian/Alaskan Native & White	2	0	2
Asian & White	14	0	15
Black/African American & White	1	1	1
American Indian/Alaskan Native & Black/African American	11	0	11
Other Multi-Racial	18	6	24
<b>TOTAL</b>	<b>1,078</b>	<b>47</b>	<b>1,125</b>

Assisted households must meet all 3 of the following conditions:

1. Construction/rehabilitation work was completed and the unit was occupied; or  
A first-time homebuyer moved into a housing unit; or  
Rental assistance was provided for an existing housing unit.
2. The assistance must have resulted in a unit meeting Housing Quality Standards. Minor repairs (exterior paint, etc.) are not included. Emergency shelter is not included.
3. Only activities that were assisted with Federal Funds (HOME, CDBG, HOPWA, etc.) are included. Housing assisted with non-Federal funds, such as Oakland Redevelopment Agency funding, are not included pursuant to HUD instructions.

## **Income, Rent and Sales Price Limits for Housing Meeting Section 215 Guidelines as Affordable Housing**

Owner-occupied housing must be occupied by households with incomes less than eighty percent of median income, with values less than shown on the following schedule.

Renter-occupied housing must be occupied by households with incomes less than sixty percent of median income, with rents less than shown on the following schedule.

### **FY 2013-14 Income Limits** **(For Units First Occupied from July 1, 2013 – June 30, 2014)**

Income Level	Household Size							
	1	2	3	4	5	6	7	8 or more
0-30%	\$18,750	\$21,400	\$24,100	\$26,750	\$28,900	\$32,050	\$33,200	\$35,350
31-50%	\$31,250	\$35,700	\$40,150	\$44,600	\$48,200	\$51,750	\$55,350	\$58,900
51-80%	\$45,100	\$51,550	\$58,000	\$64,400	\$69,600	\$74,750	\$79,900	\$85,050

### **Qualification as Section 215 Housing**

**Maximum Rents (\$), including tenant-paid utilities (at time of initial occupancy):**  
**(Based on HOME High Rents – the lower of rents for 65% AMI or Fair Market Rents)**

<b>Unit Size</b>	<b>First Occupied 7/1/13 – 6/30/14</b>
SRO	\$735
Studio	\$892
1 Bedroom	\$1,082
2 Bedroom	\$1,347
3 Bedroom	\$1,547
4 Bedroom	\$1,706
5 Bedroom	\$1,864

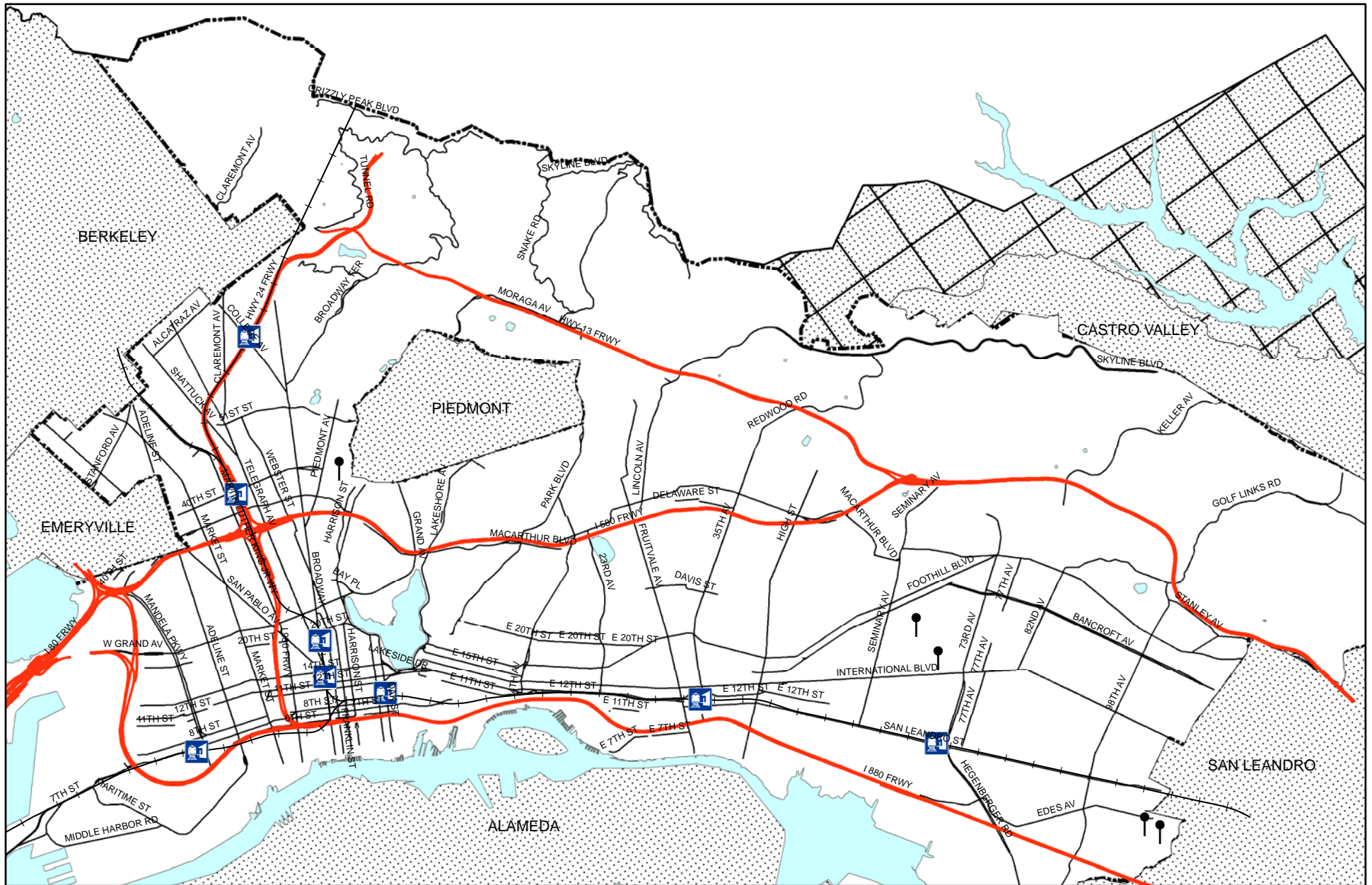
**Maximum After Rehab Appraised Value:**  
Effective July 1, 2013 to June 30, 2014: \$503,500

**Maximum Home Sale Price:**  
Effective July 1, 2013 to June 30, 2014:  
\$450,000 for single family home  
\$450,000 for condominium

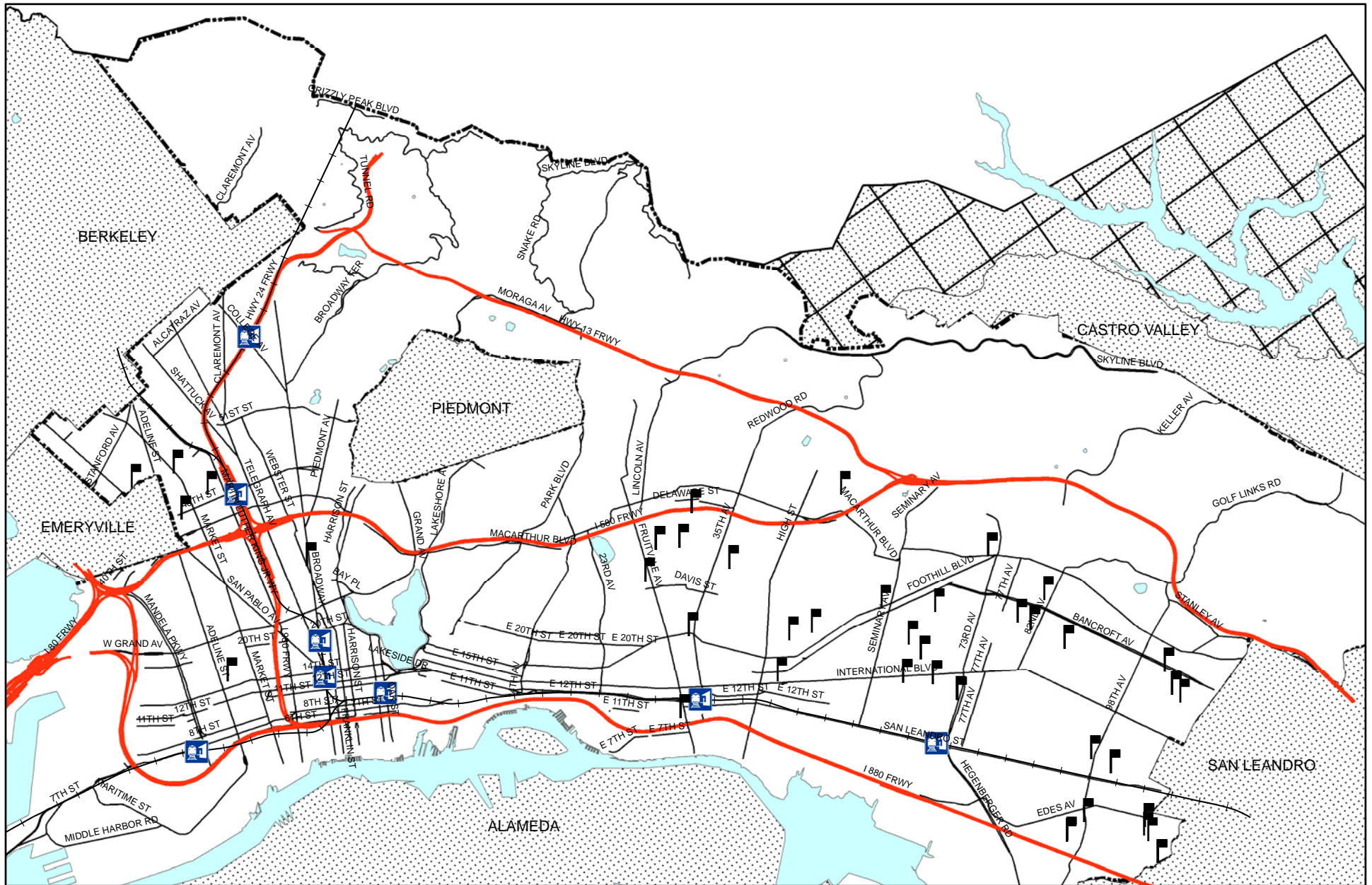
## **7. Maps**

# First Time Homebuyer Loans

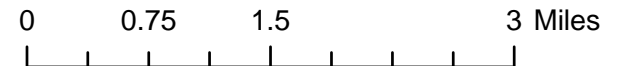
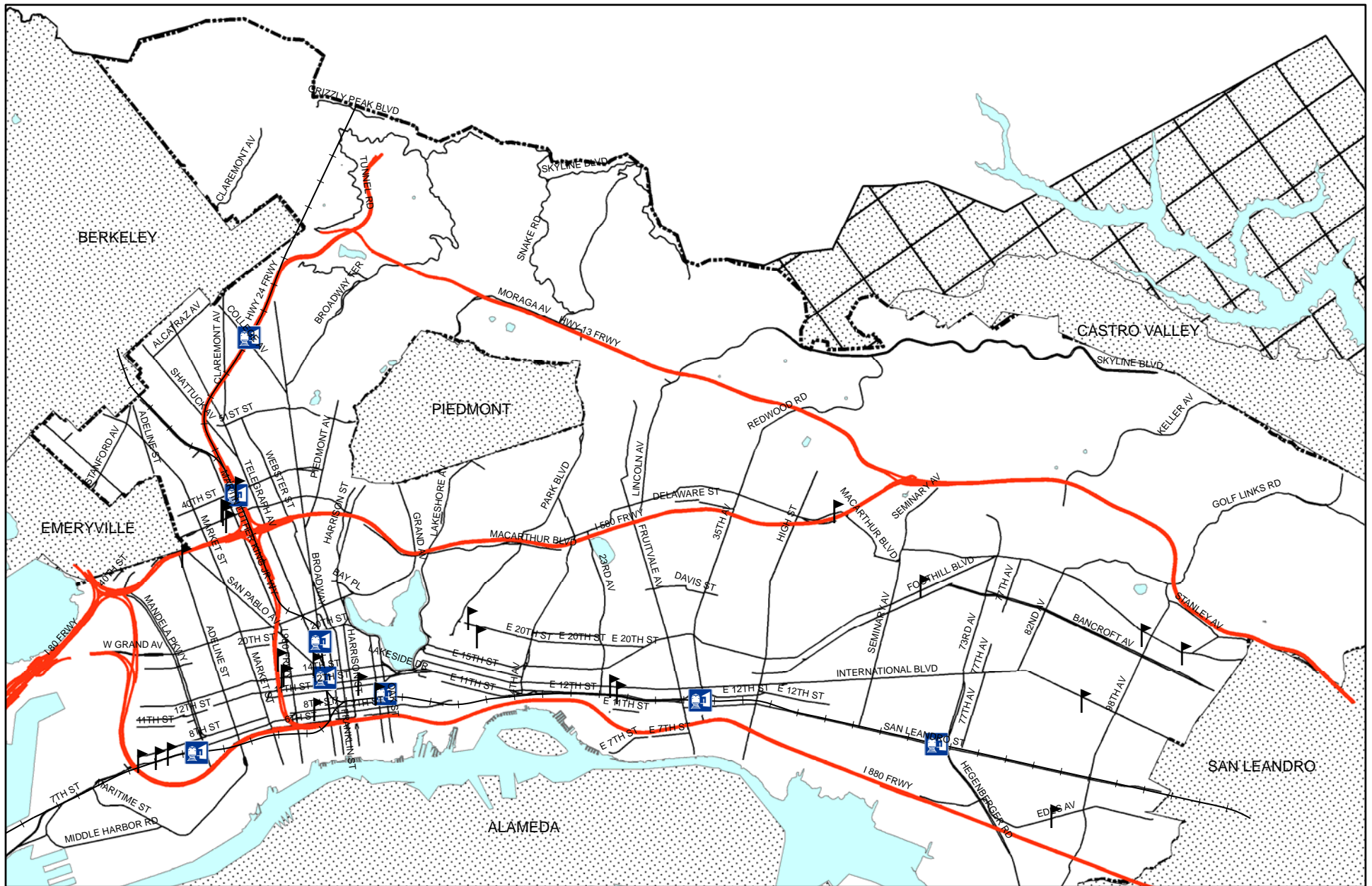
## July 2013 to June 2014



# Rehabilitation Loan Activity July 2013 to June 2014



# Active Housing Development Projects July 2013 to June 2014



Data Source: City of Oakland, Housing & Community Development Department

## **Narrative D: Prevention and Elimination of Homelessness**

March 31, 2006, Oakland City Council adopted the City of Oakland's Permanent Access To Housing (PATH) Strategy (finalized on May 8, 2007), a companion to the Alameda County EveryOne Home Plan to end homelessness in the next fifteen years. The PATH Strategy required an adoption from a continuum of care approach to ending homeless, to a housing first model. As a result, shifting of resources and services in Oakland relative to the delivery of services to the homeless had to be made.

PATH is the City of Oakland's response to the Alameda County EveryOne Home Plan, a plan that represents the culmination of over a year of discussion, analysis, and planning among City and County agencies, community-based providers, consumers and advocates. The Plan was sponsored by the County's Community Development Agency (Housing and Community Development), Health Care Services Agency (Behavioral Health Care Services and Public Health Department Office of AIDS Administration), and Social Services Agency, along with the City of Oakland's Department of Human Services, Community and Economic Development Agency, and the City of Berkeley's Housing Department and Public Health Department, and the Countywide Homeless Continuum of Care Council. PATH's approach, combining three service systems (Homeless, HIV/AIDS, and Mental Health) being sponsored by nine agencies, is the first such strategy in the nation. PATH'S Goals grew out of the recognition that, while we have a history of innovative and successful programs to address homeless and special needs housing, simply continuing with these approaches will not end homelessness. The goal of the planning process was to develop a plan to end chronic homelessness and ensure that low-income people with mental illness, HIV/AIDS, and/or those at-risk of homelessness have affordable housing with the support services they need. To achieve this goal will require a re-orientation of housing and service systems and the dedication of approximately 15,000 units of housing to the Plan's target populations. PATH serves as a strategy to end chronic homelessness within Oakland and throughout Alameda County in ten years, with other goals extending the remainder of the fifteen year implementation period.

With a great deal of planning, strategizing, training, and informing, the City of Oakland implemented the first phase of the PATH Strategy in FY 2008-09, creating a means to provide housing and supportive services to homeless people in Oakland and to those people living with serious mental health illnesses, chemical dependencies, HIV/AIDS and other disabling conditions. Top priorities established in FY 2013/14. The priorities are as follows:

1. Rapid Re-Housing: Expand the inventory of appropriate housing opportunities as a direct exit from homelessness and reduce the length of time that an individual is homeless.
  2. Preventing Homelessness: Support activities that prevent people from becoming homeless.
  3. Support Services to increase Housing Retention among target population
- The Principle of Service philosophy of the PATH Strategy employs the following:

**Housing First:** Homeless people will fare best when placed directly into housing and provided with an appropriate level of services to assist them in stabilizing.

## **Prevention and Reduction of Homelessness (cont'd)**

Every homeless person entering services shall be treated with dignity and shall be directed toward the highest level of housing and economic independence possible.

Service provision will be characterized by flexibility and versatility to meet the diverse and changing needs of consumers.

Permanent housing programs are characterized by voluntary services components, with the emphasis on user-friendly services driven by tenant needs and individual goals.

Services aim to help people reduce the harm caused by their special needs, such as substance abuse, mental illness or health-related complications.

Services focus on helping tenants obtain housing or stay housed by assisting with the management of problems that interfere with their ability to meet the obligations of tenancy.

For services to homeless people to be effective, they must be directed toward and linked to permanent housing placements.

In order to be effective, service providers must work to maximize the use of additional resources, both mainstream and community-based, for their clients.

Providers are expected to work with the City to maximize funding opportunities and leverage City dollars.

In response to HUD's 2013 "SuperNOFA" funding process, the EveryOne Home Partnership submitted a coordinated application for activities throughout the county, including activities within the City of Oakland.

From this consolidated application, the United States Department of Housing & Urban Development (HUD) awarded approximately \$25.6 million to support 52 new and existing programs serving homeless people in Alameda County. These grants will sustain current programs countywide, including permanent and transitional housing and provide additional support services to more than 3,000 homeless families and individuals throughout the County.

Alameda County Cities: The County and community based-organizations have received Best Practices recognition from HUD for coordinated planning to address homelessness.

Out of the \$25.5 million awarded under the SuperNOFA, approximately \$1.2 million benefits Oakland-based agencies or agencies serving people in Oakland.

Through these awards the following services are made possible: rental subsidies, case management, youth housing and services, permanent supportive housing for medically fragile adults with HIV/AIDS, transitional housing for families and women, transitional housing and support services for homeless runaway and/or at-risk youth, comprehensive training and employment services, drug/alcohol abuse assistance, and welfare-to-work training.

### **Prevention and Reduction of Homelessness (cont'd)**

The 2013 HUD SuperNOFA award granted under the Alameda County application included four Supportive Housing Program grants awarded directly to the City for over \$3.01 million. This funding supported the City's FY 2013/14 Homeless Families Support Network (HFSN), Matilda Cleveland Transitional Housing (MCTHP), Oakland Homeless Youth Housing Collaborative (OHYHC) and the City's Scattered Sites Transitional Housing Program/Families in Transition (THP/FIT).

## Prevention and Reduction of Homelessness

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
<b>East Oakland Community Project/Crossroads</b> 7515 International Boulevard	State-of-the-art-green emergency shelter facility offering 125 beds with comprehensive support services for homeless persons seeking a better life.	Homeless	<b>CDBG \$158,445</b>	Funds will support the operation of the shelter serving approximately 400 homeless persons with support services and bednights, substance abuse counseling, HIV/AIDS services and other services.	Crossroads served 459 unduplicated clients and provided a total of 45,625 bed nights during the fiscal year
<b>HEARTH Emergency Solutions Grant Program</b> Funds the City's Permanent Access To Housing Strategy (PATH) <i>Citywide</i>	Housing and services leading to Permanent Access To Housing: 1)Rapid-Rehousing Services 2)Homeless Prevention 3) Support Services in Housing 4)Outreach 5)Shelter 6)Housing Resources	Homeless families, individuals and seniors with incomes at 30-50% AML.	<b>ESG: \$656,315</b> (PATH Strategy Providers- \$607,092) City Administration: \$49,223)  <b>General Fund: \$115,000</b> (Emergency Housing Program) <b>General Fund: \$179,310</b> (Homeless Mobile Outreach Program)  <b>Community Housing Services Staff Cost: \$25,855</b>  <b>Community Development Block Grant: \$336,150</b>	Assist approximately 600 persons with access to permanent housing through rapid rehousing and homeless prevention (legal assistance and financial assistance). Provide temporary shelter, hotel vouchers, outreach, homeless encampment services and/or other support services to approximately individuals experiencing homelessness.	In FY 2013/14, 669 individuals who were homeless or at risk of homelessness received access to permanent housing or maintained permanent housing; and approximately 6,723 shelter bednights were provided to 528 clients.

**Prevention and Reduction of Homelessness (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Home Equity Conversion Counseling for Senior Citizens</b> Eden Council for Hope and Opportunity  <i>Citywide</i>  See also Objective #7: Provision of Supportive Housing for Seniors and Other Persons with Special Needs	Counsel home-owning senior citizens on reverse mortgages to enable them to remain in their homes.	Home owners at least 62 years old.	CDBG: \$17,430	Provide information and referrals to 42 low-income senior citizens;  Provide in-depth counseling regarding the risks and benefits of reverse mortgages to 25 low-income senior citizens;  Conduct outreach regarding the Home Equity Conversion Program.	Information and referrals to low-income seniors: 28  In-depth counseling regarding the risks and benefits of reverse mortgages to low-income senior citizens: 16  Outreach to low-income senior citizens regarding the Home Equity Conversion Program (via informational sessions and flyers to targeted locations): 2,664
<b>Matilda Cleveland Transitional Housing Program (MCTHP)</b> 8314 MacArthur Blvd.  <i>Elmhurst</i>	Temporary housing for homeless families attempting to stabilize their lives to obtain permanent housing: 5 Studio 3 1-bdrm units 3 2-bdrm units 3 3-bdrm units	Homeless families with incomes at 30-50% AMI.	<b>Supportive Housing Program \$259,824</b> (MCTHP \$253,640 City Admin. \$6,184)  CPPSO \$50,000 Contractor Match \$20,000 Tenant Rents \$25,250	Assist approximately 18 families with Transitional Housing & support services to further assist families into permanent housing.	Assisted 28 families (81 individuals) with transitional housing and support services. 13 families exited to some form of permanent housing..

**Prevention and Reduction of Homelessness (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Oakland Homeless Youth Collaborative (OHYC)</b> 1) Covenant House – 2001 Harrison Street 2) East Oakland Community Project - 1024 101 <sup>st</sup> Street 3) First Place for Youth – Scattered Sites	24-29 transitional housing beds for homeless youth.	Homeless Youth ages 18-24.	<b>OHYC</b> <b>\$699,770</b> (OHYHC Contractors: \$666,461 City Admin. \$33,309)  <b>Project Sponsor Match</b> Covenant House <b>\$110,000</b> East Oakland Community Project <b>\$36,000</b> First Place For Youth <b>\$22,700</b>	Assist 21 – 40 young adults with transitional housing and support services.	Provided transitional housing to 67 single youth and 8 youth head families. Of the clients served, 40 exited the program entering permanent housing.
<b>Pre and Post Purchase Counseling</b> The Unity Council  <i>Citywide</i>  See also Narrative C, Table “Affordable Housing Planned Actions and Accomplishments,” Objective# 8: Prevention of Foreclosure and Stabilization of Neighborhoods.	Pre and post-purchase counseling for purchasers of land trust units, including counseling on specific requirements of a land trust form of ownership.	Potential low/mod homeowners interested in Land Trust properties.	CDBG: \$83,000	20 Households	Planned funding for this program was not expended in FY 2013-14.

**Prevention and Reduction of Homelessness (cont'd)**

<b>ACTIVITY NAME LOCATION Community Development District</b>	<b>DESCRIPTION OF ACTIVITY</b>	<b>CATEGORIES OF RESIDENTS TO BE ASSISTED</b>	<b>FUNDING PROGRAMS AND RESOURCES BUDGETTED</b>	<b>ONE YEAR GOALS</b>	<b>ACCOMPLISHMENTS</b>
<b>Supportive Housing Program (SHP)-Homeless Families Support Network (HSFN)</b> Anka Behavioral Health, Inc./ <i>At HRMSC</i> 559-16 <sup>th</sup> Street <i>Oakland, CA 94612</i>  <i>Chinatown/East Lake/San Antonio</i>	Provide a continuum of services, shelter and transitional housing (54 units) to homeless families. Assist families to permanent housing.	Families with incomes at 30-50% AMI.	<b>SHP</b> <b>\$1,826,618</b> (HSFN \$1,786,073 City Admin. \$43,474)  Alameda County: \$250,000  Excess Cash Value of Monthly Lease: \$122,558	Assist between 54-60 families with transitional housing and support services and approximately 6-8 people with emergency shelter.	SHP provided 37 families with transitional housing support and services at HRMSC. Of the 37 families, 29 exited to permanent housing..
<b>Transitional Housing Program (THP)</b> 1) 173 Hunter Ave. 2) 1936 84 <sup>th</sup> Ave. 3) 5239-A&B; 5241 Bancroft Ave. 4) 2400 Church St. 5) 6850 Halliday Ave. 6) 3501 Adeline St. 7) 3824 West St.  <i>Elmhurst / Central East Oakland / Western Oakland / North Oakland</i>	Temporary housing for homeless families attempting to stabilize their lives to obtain permanent housing.  4 1-bdrm units 5 2-bdrm units 1 3-bdrm units	Homeless families with incomes at 30-50% AMI	<b>THP</b> <b>\$245,420</b> (THP/FIT \$238,738 City Admin. \$6,682)  General Fund \$133,000 Tenant Rents \$21,000	Assist 9-12 families with transitional housing.	Assisted approximately 18 families with transitional housing. 17 families exited program entering some form of permanent housing.

## **Narrative E: Other Actions**

The 2013-2014 Consolidated Plan Action Plan identified a number of other actions that the City and other entities would undertake to further the goals and objectives of the Consolidated Plan. This section lists those actions and provides information on actual accomplishments. The original goals and objectives are in normal text; all accomplishments appear in *italicized* text.

### **1. Obstacles to serving underserved needs**

The most significant under-served needs in the City are households with incomes less than 30 percent of area median income who experience housing cost burdens of over 50 percent of income or live in substandard or overcrowded conditions.

The HOME Program and other capital subsidy programs are not in themselves sufficient to serve these needs, because even if all capital costs are subsidized (by financing housing development with grants or deferred loans), the operating costs of rental housing exceed the ability of these households to pay. As a result, the needs of these households cannot readily be met without “deep subsidy” programs as Section 8 and public housing, which ensure that households pay no more than 30 percent of their income for housing.

### **2. Actions to foster and maintain affordable housing**

*The City pursued a wide variety of activities to foster and maintain affordable housing. These are detailed in Narrative C.*

*The City continues to provide financing and technical support to preserve existing assisted housing projects to ensure that rent and income restrictions are maintained and extended. In FY 2013-2014, the City issued its sixth Preservation and Rehabilitation of Existing Affordable Rental Housing Program Notice of Funding Availability (aka Rehab NOFA) to provide funding for capital improvements and modernization for older assisted housing developments. Unfortunately due to the dissolution of Redevelopment, funding for these activities is severely curtailed and only one project was awarded funds for the rehabilitation of existing affordable housing in need of capital improvements. The NOFA was issued and applications were received to maintain an understanding of the needs of these older housing units and in case new funding becomes available to support the rehabilitation of those properties.*

### **3. Actions to address public policy barriers to affordable housing**

The following actions will be undertaken to address some of the public policy barriers to affordable housing that were identified in the Five Year Strategy:

The City of Oakland’s Strategic Planning Division will continue to work on the following specific and area plan efforts - the Broadway Valdez District Specific Plan, Lake Merritt Station Area Plan, West Oakland Specific Plan, Central Estuary Area Plan, and Coliseum City Specific Plan. These planning efforts seek to further define land use and urban design goals with the ultimate result of streamlined planning approvals for those areas.

*In Fiscal Year 2013-2014, the City continued to encourage new commercial, retail and housing opportunities in areas well-served by transit by continuing to produce four Specific Plans and their Environmental Impact Reports: 1) Broadway Valdez District Specific Plan; 2) Lake Merritt BART Station Area Plan; 3) West Oakland Specific Plan; and 4) Coliseum Area Specific Plan. Each of these plans is being developed with extensive public participation and review and each is expected to be adopted in FY 2014-2015. In total, these four plans are projected to facilitate the construction of approximately 17,000 new units of housing, with a percentage targeted as affordable to low income households. In addition to these four ongoing specific plans, in FY 2013-14, the City completed or was nearing completion on: 1) an updated density bonus program for affordable and senior housing; 2) a Reasonable Accommodation Ordinance, to ensure flexibility in the application of zoning regulations when necessary to eliminate barriers to housing opportunities for individuals with a disability; and 3) an Emergency Shelter Ordinance, to designate zoning districts where such facilities are allowed by right.*

The City will continue to work to develop a broader community consensus on the need for affordable housing developments, in order to overcome problems of neighborhood resistance to affordable housing projects. City staff will continue to work on these issues with the Non-Profit Housing Association of Northern California (NPH) and East Bay Housing Organizations (EBHO).

*The City continues to support and participate in these efforts.*

The City will continue its ongoing efforts to streamline its processes for the issuance of zoning and building permits, including development of new automated systems and internet-based information and application systems.

*In FY 2013-14, the City continued its contract with Accela, Inc., the makers of the largest software program of land management computer programs for governments, to install the Accela Automation program on the City's systems. Accela Automation went live in January 2014 and has replaced the DOS-based Permit Tracking System (PTS), which has been in use by the City for 30 years. The system has streamlined the City's processes for reviewing and issuing zoning and building permits. Over the next year, the City will work with Accela to allow applications to be made over the internet.*

#### **4. Institutional Structure for Providing Affordable Housing**

During the next year covered by the Annual Plan, the City will continuously monitor the housing delivery system and work with local associations of housing organizations, private institutions, and other local public agencies to improve housing delivery. Specific activities that the City intends to carry out to improve this system are listed below.

## **1) Increasing Available Resources**

Continue attempts to expand the amount of resources available. In particular, the City will actively lobby for increases in State and Federal funding for housing.

*As stated in the FY 2012-13 CAPER, legislation was adopted that terminated redevelopment agencies throughout the State that resulted in a dramatic loss of funding for the City of Oakland's housing programs. Redevelopment tax increment funds set aside for affordable housing had been the principal source of funding for both the first-time homebuyer and housing development programs, as well as some housing rehabilitation programs, vastly outstripping the amounts the City receives in HOME and CDBG grants. In an effort to reinstate lost funding, in July 2013 the City adopted an ordinance that set aside 25% of funds distributed to the City as a taxing entity under the redevelopment dissolution law to the Affordable Housing Trust Fund for use toward housing programs. This funding will result in approximately \$4-5 million dollars a year starting in FY 2015-16.*

*Additionally, in the spring of 2014, Governor Brown signed into law SB 862. This legislation created the Affordable Housing and Sustainable Communities Program that will allocate proceeds from the State's Cap and Trade program and that will, among other things, preserve and construct new housing affordable to lower income households.*

Encourage and support the attempts of local non-profit developers and service organizations to secure funds for service delivery and organizational operations from governmental and non-governmental sources.

*City of Oakland's Department of Human Services has made great strides in encouraging collaborative work between the City of Oakland surrounding jurisdictions, service organizations and developers to not only secure funding for service delivery to the homeless, but also to create greater efficiency of available resources to best serve the community. The City continues to follow the Oakland Permanent Access To Housing (PATH) Strategy in the effort of identifying and securing new sources of funding for supportive services in housing.*

## **2) Coordinating Resources**

Improve processes between City Departments: Housing and Community Development and Planning, Building & Neighborhood Preservation to facilitate housing service delivery. As in the past, HCD will continue to streamline its internal and external processes to facilitate program utilization and department efficiency.

*As stated in FY 2012-13, given the legislation that dissolved the ability for the City's Redevelopment Agency to collect tax-increment, there was a City-wide*

*reorganization. The Community and Economic Development Agency was dissolved. The City created the Department of Housing and Community Development. Given these changes, DHCD staff have worked to redesign its organizational capacity to work on these objectives.*

The City will continue to work with the Oakland Housing Authority to implement a program to “project base” Section 8 assistance (commitments would be tied to specific housing units) to facilitate preservation and rehabilitation of existing housing, including SRO hotels.

*The Oakland Housing Authority had as an approved activity in its Making Transitions Work Plan for FY 2013-14 the allocation of project-based vouchers through the City NOFA or via other avenues. OHA did not award any PBVs in FY 2013-14 due to funding limitations.*

Oakland is working with other East Bay HOME Participating Jurisdictions (Alameda County, Contra Costa County, Berkeley and Richmond) to compare and coordinate monitoring of HOME-assisted projects. With technical assistance from HUD, this East Bay HOME Collaborative is working to (a) ensure that all HOME requirements for compliance and monitoring are being met, and (b) develop a set of more standardized reporting forms and procedures so that developers/owners working in multiple jurisdictions will have a common set of requirements and procedures across all jurisdictions where they work.

*The City was instrumental in convening meetings of the East Bay HOME Participating Jurisdictions to compare and coordinate monitoring of HOME assisted projects. There were a number of technical assistance trainings attended by City staff and non-profit developers and asset managers to ensure a common understanding of HOME requirements.*

The Department of Housing & Community Development (formerly a division in the Community and Economic Development Agency) along with The Human Services Department continue in their effort of coordinating an “Oakland Pipeline” process to bring together the North County jurisdictions along with main stream Alameda County government agencies to further the implementation of the City’s Permanent Access to Housing (PATH) Strategy. PATH provides a housing-first model to address homelessness through development and provision of permanent housing with supportive services as a principal strategy for addressing the needs of homeless families and individuals.

*The Department of Housing & Community Development and the Department of Human Services continue in coordinating the “Oakland Pipeline Process” to bring together the City, nonprofit housing developers, homeless service providers, and County social services agencies, to continue the strategic implementation of Permanent Access to Housing (PATH) Strategy target outcomes in rapid*

*rehousing and other services to clients that promotes the employment of the housing-first model of ending homelessness in Oakland.*

### **3) Capacity and Character of Non-profit Housing Organizations**

Develop mechanisms to increase the capacity of non-profit affordable housing developers and actively encourage non-profit developers to take advantage of training programs offered by HUD and by various nonprofit intermediaries.

*The City continues to encourage and refer nonprofit organizations to technical assistance resources provided by HUD and other entities.*

The City will consider continuing the use of HOME funding (CHDO Operating Support) to support non-profit capacity building, but may choose to reallocate these funds for housing development activities.

*The City has not allocated any new funds for this purpose as there is adequate funding from prior years set aside for this purpose. No new CHDO Operating Support was provided during the program year. The City is considering spending some of the allocated CHDO operating support to City-certified CHDOs.*

Encourage developers to increase the representation of low and moderate income persons in their decision making processes and thereby to become eligible for receipt of HOME funds targeted to CHDO organizations.

*The City continues to require that certified CHDOs maintain and active program to increase the representation of low and moderate income persons in their decision making processes.*

Provide training and technical assistance as requested by homeless service providers in shelter and transitional housing.

*Technical assistance and training was provided to ESG, HOPWA and SHP subgrantees upon request throughout the program year.*

### **4) Participation of For-Profit Organizations**

Attempt to overcome housing discrimination by encouraging financial institutions to participate in mortgage lending to low and moderate income individuals and in low and moderate income communities, largely through joint efforts by the City, the California Reinvestment Coalition, banking institutions and industry partners to promote existing lending programs and create new programs.

*Due to staffing limitations, there has been no activity by City staff to participate in California Reinvestment Coalition (CRC) activities.*

Continue to implement community reinvestment programs. The City will encourage private, for-profit lending and investment practices that meet the needs of all Oakland households and neighborhoods and discourage discrimination in lending and in the provision of insurance. One program is the City's Linked Banking Services Ordinance that requires the City to only deposit its funds with banks that are meeting their "fair share" of the City's community credit needs, as determined by a bi-annual estimate of the citywide community credit demand. The City works with other jurisdictions and organizations to strengthen state legislation.

*The City's Linked-Banking Ordinance was updated in June 2012 (Resolution no. 83988 C.M.S). The general requirements of the ordinance limits the City's banking business to lending institutions that are meeting community credit needs. The updated ordinance added a requirement seeking a more comprehensive survey for banks interested in doing business with the City.*

*Given the time and expense to submit and analyze the 2011 data, the City did not request updated survey data from the banks that were listed in 2011 as the City's eligible depositories. In early 2013 an additional bank submitted data and was ultimately added to the City's list of eligible depositories. The City's list now consists of 9 banks.*

*In 2013, the City issued a Fiscal Services RFP. According to the June 2012 update to the Linked Banking Ordinance, eligible depositories should have preference in the Fiscal Services RFP. In January 2014, after an extensive review of the responses to the RFP by a committee assembled by the City's Fiscal Services Department, Chase Bank was approved as the new banking services vendor for the City of Oakland.*

In order to overcome housing discrimination by landlords, the City will continue during the coming year to fund fair housing counseling and education agencies which counsel individuals and train landlords and tenants regarding their rights and responsibilities under the law.

*The City provided CDBG funds to several organizations to address fair housing and housing related services to address housing discrimination in the City. See Narrative B (Fair Housing), that details the City's activities and accomplishments related to overcoming housing discrimination and other barriers to fair housing.*

Work with banks to make foreclosed properties available for acquisition/rehabilitation for the Federal Neighborhood Stabilization Program.

*The City has developed a comprehensive program to address foreclosures in the jurisdiction. This program augments efforts to address foreclosures using the Federal Neighborhood Stabilization Program funding. See the program summary in Narrative C: Affordable Housing, Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods.*

## **5. Public Housing Improvements**

### **1) Management Improvements for Public Housing**

Specific plans for improving the management and operation of public housing include the following:

Property Operations - OHA has implemented property-based asset management structure. Using MTW authority, OHA has increased funding to the traditional public housing units we manage, which is resulting in improved customer services, unit upgrades and better maintained properties for the residents. OHA's ability to sustain this may be negatively impacted by sequestration and Federal budget authorizations.

*In accordance with the annual MTW Plan, OHA utilized its flexibility under the MTW program to support the operation and long-term capital needs of its remaining public housing units.*

Community Relations - Partnerships with nonprofit community-based organizations, Neighborhood Associations and the Service Delivery System (SDS) teams, have supported the Authority's transition to a more proactive property management organization with stronger lines of communication to its clients and community stakeholders.

*The Oakland Housing Authority continued its partnership with the City by sponsoring the Sponsor-Based Housing Assistance Program. OHA also refined the Family Education and Achievement Project (FEAP) pilot and supported Parent Ambassadors in partnership with the Oakland Unified School District.*

Curb Appeal – OHA has achieved property curb appeal improvements through proactive site monitoring by property management staff, maintenance crews, and the OHA Police Department, as well as enhancements of landscaping, painting of scattered site properties, and the transition from large dumpster bins to individual household canisters at smaller properties, which has deterred illegal dumping. The graffiti abatement program has resulted in a reduced occurrence of property defacement by removing graffiti at OHA owned or managed sites within two business days.

*Curb appeal improvements and maintenance and graffiti abatement efforts are ongoing.*

Customer Service – OHA’s Customer Assistance Center (CAC) provides access for clients and stakeholders to all programs, services, and information offered by OHA or its affiliated nonprofits. Customer service staff are trained regularly on updated customer service methods and all OHA program changes. Customers with inquiries can ask questions and provide comments or concerns by speaking directly with the CAC staff by phone or through an email submission. The CAC phone number is posted at all OHA managed or owned properties and on the Authority’s web site. OHA conducts periodic customer service surveys to determine resident and community satisfaction of all OHA programs and services.

*The number of telephone calls significantly decreased in recent years. During the year, the Customer Service Center handled only 43 calls related to property management, less than four calls per month.*

## **2) Improvement of the Living Environment**

- i. Utilizing the flexibility of funding authorized under the Moving to Work program, OHA continues its success at improving the physical and social problems that exist around housing authority sites. These efforts have included an interior unit restoration program and a program designed to provide specific upgrades of building exteriors and interiors to address deferred maintenance and improve the physical appeal and safety of the buildings.

*OHA used its MTW authority to rehabilitate over rental 100 units.*

- ii. Public Housing Disposition

On December 22, 2010, OHA submitted an application to HUD for the disposition of 383 senior public housing units on five scattered sites. The Authority came to this conclusion based on the costs associated with operating and managing this portfolio, as well as, the enormous backlog of deferred maintenance at the sites created by the lack of adequate subsidy in the Public Housing program over a sustained period of time. If the disposition is approved by HUD and the subsequent request to HUD for Tenant Protection Vouchers is granted, the Authority will transfer the control of the properties to a nonprofit corporation created by OHA for this purpose. The nonprofit corporation will maintain and manage the units using conventional financing and management strategies to address the physical needs of the properties and ensure their continued operation as affordable senior housing in the City of Oakland. Disposition of these units will be contingent upon the future receipt of the Section 8 resources.

The Authority is committed to maintaining the affordability of these scattered senior site units to low-income seniors earning at or below 60% of AMI for 55 years. After disposition, the senior units will be project-based to maintain their affordability at current levels, subject to compliance with HUD requirements.

Residents who choose to move may request a Tenant-Based Voucher. Any proceeds from increased operating income will be utilized to improve the existing units and properties, or used to support the Public Housing program. OHA intends to continue to make progress in our efforts toward meeting our capital improvement and quality of life goals for all our households, including our senior households, by providing healthier, greener units and greater housing choice. OHA has determined that this is the most effective way to accomplish these goals.

*There is no update to this section at this time.*

### iii. HOPE VI Development Activities

OHA has received several grants under the HOPE VI program to renovate and redevelop some of its larger public housing developments. OHA and the City are working in partnership to improve these developments and the surrounding neighborhoods.

In 2000, OHA received \$34.5 million to revitalize the 178-unit public housing project known as Coliseum Gardens. All (178) existing units were demolished and will be replaced on a one-for-one basis. The Lion Creek Crossings and Foothill Family Apartments are providing replacement units for the former Coliseum Gardens development.

Because of the concentration of public housing units in the Oakland Coliseum area, twenty-one (21) of the (178) public housing units were placed off site in a new 65-unit development known as Foothill Family Apartments located on Foothill Boulevard adjacent to Eastmont Mall. OHA purchased the land and the general partner for this development is Oakland Housing Initiatives, Inc. (OHI). No City funds were utilized in this development.

The original or “primary site,” now called Lion Creek Crossings, is being developed as a mix of housing types by OHA and a developer partnership with East Bay Asian Local Development Corporation (EBALDC) and the Related Companies. The site development proposal included (157) public housing units, (282) additional tax credit units, and (28) affordable for-sale units. Because of the size of the development, the rental component was divided into four rental phases. The original plan included a homeownership component but due to current market conditions the development team is now planning a fifth rental development phase of 128 affordable senior housing units.

Phases I, II, III and IV of the Lion Creek Crossings development are complete. These four phases include (157) replacement public housing units.

Coliseum Gardens Park, which is part of the primary site, has been reconfigured and rebuilt by the OHA. Portions of Lion Creek as it flows through the park will be restored by the City of Oakland.

*Construction on Phase 5 of Lion Creek Crossing continued and the waitlist was opened to solicit new residents during 2013-2014 for the 128-unit senior housing development.*

iv. HOPE VI Social Services for Public Housing Residents

The OHA HOPE VI activities also include social services carried out in partnership with public, private and nonprofit agencies working through the limited partnerships and management companies at the redeveloped sites.

*Social Services were offered to Public Housing residents at the HOPE VI sites year round by partner agencies.*

v. Public Safety

Funded through the MTW Program flexibility, the Oakland Housing Authority's Police Department and property management staff, OHA has continued to offer crime prevention education activities, and enforcement. The activities include a bi-annual resident survey, on-site education activities, access to community involvement activities, youth camping and fishing trips, and support of the National Night Out. OHA continues to partner with community-based organizations, and the Neighborhood Crime Prevention Councils and various other groups to provide services to residents. Crime prevention efforts are coordinated with the Oakland Police Department as well as the Alameda County Sheriff's Office.

*OHA dedicated funding to the Oakland Housing Authority Police Department using MTW flexibilities, continued to fund youth summer activities, and hosted National Night Out events throughout the City.*

## **6. Public Housing Resident Initiatives**

### **1) Resident Participation in Management**

OHA solicits resident input into OHA's Annual MTW Plan. Resident input into the plan is largely acquired through the Resident Advisory Board (RAB), which includes public housing and Section 8 residents appointed annually to the RAB by the OHA's Board of Commissioners.

*The annual MTW Plan was adopted by the Board of Commissioners on March 24, 2014 and approved by HUD on August 6, 2014. The public was invited to submit comments on the Plan during the 30-day public comment period and the Plan was discussed at two separate RAB meetings prior to adoption.*

## **2) Resident Opportunities for Homeownership**

OHA continues to provide opportunities for homeownership to its residents through collaboration with the City of Oakland, nonprofit agencies, local banks and credit unions, and other homeownership program stakeholders. Participants of OHA's homeownership program receive pre- and post-homeownership education and counseling through OHA and its partners.

### **i. Hope VI Homeownership Program**

Through the HOPE VI program at Lion Creek Crossings (LCC), OHA planned to include 28 affordable homeownership units, which would have been available to public housing residents, Section 8 participants, and other low-income residents in the City. As a result of the down-turn in the home ownership market and limited access to credit, the development of new for-sale units is now considered infeasible, even with subsidy. In collaboration with the development partners EBALDC and The Related Companies, OHA is now finishing the construction of 128 units of affordable senior housing development on the former LCC homeownership parcel.

*128 unit affordable senior housing project began construction in June of 2013, and is expected to be completed in Fall 2014.*

### **ii. Section 8 Homeownership Program**

OHA operates a Section 8 Homeownership Program that aids residents by subsidizing a portion of their monthly mortgage payments. OHA developed the Section 8 Homeownership Program in consultation with the support of community groups, Fannie Mae, local lenders, realtors, and developers. OHA continues to identify homeownership opportunities for Section 8 participants. We collaborate with the City of Oakland, non-profit agencies and other community groups to encourage Section 8 renters to become homeowners. Since 2004, OHA has assisted 97 families with purchasing homes in the City of Oakland, 6 in this fiscal year.

*Forty-two (42) OHA residents are participating in the homeownership preparation process. Ninety-seven (97) participants have purchase a home since the inception of the program.*

## **7. Lead-Based Paint Hazard Reduction**

The City's residential rehabilitation loan programs have included lead-based paint hazard education within the initial phase of the loan application process, since 1992. During the financial interview, homeowners are given a copy of the booklet "Protect Your Family From Lead in Your Home". As a part of the disclosure process, homeowners must complete and sign a written acknowledgement of the receipt of this booklet. In addition, the loan application includes

the age of the property and the age of children living at the property. The mandatory Request for Consultation and Lead Hazard Evaluation is part of the loan application and additionally identifies children who frequently visit the property.

The Rehabilitation Advisors who have direct advisory responsibility to the homeowner during the actual rehabilitation construction work have all received a minimum of 40 hours training in identification, testing and available remediation methodologies for lead paint hazards. Also, all Contractor agreements incorporate work descriptions to address compliance with lead paint regulations and safe work practices.

In compliance with Federal regulatory changes implemented in 2000, all Home Maintenance Improvement Program properties must be referred for a lead hazard risk assessment and rehabilitation work must include full abatement resulting in passing lead hazard clearance testing. The City's Residential Lending and Housing Rehabilitation Services department is independently contracting for these services.

The required lead hazard consultant services include: lead-based paint pre-rehabilitation inspections, project design assistance, abatement/remediation cost estimating, project plan and specifications preparation, laboratory services and clearance testing. Cost estimates range from \$700 to \$2,000 per unit, depending on the size and condition of the property.

For the period February 7, 2012 through December 31, 2014, there is a partnership agreement with the Alameda County Lead Poisoning and Prevention Program (ACLPPP) for funding assistance with lead hazard reduction and abatement. Residential Lending and Rehabilitation staff identify properties where there were children under age six or expectant mothers. Qualifying properties are referred to Alameda County for lead hazard risk assessments. Work descriptions prepared by Rehabilitation Advisors included detailed specifications for lead hazard reduction and upon completion of all rehabilitation work including lead abatement, costs were billed to ACLPPP for reimbursement at an average of \$5,000 per property.

In addition, the County provides an In-Home Consultation (IHC) service on a referral basis. This service involves a home site visit to inspect for possible lead hazards and education of the owner regarding lead hazards and treatment options. To date the rehabilitation program has referred 36 homeowners to this service.

The City's residential rehabilitation loans range from \$15,000-\$75,000. Because most of these homes were built prior to 1978, it can be concluded that lead paint hazards will exist and require remediation. The cost of lead hazard reduction often averages \$9,000 per unit. With the ACLPPP partnership agreement, the reimbursements help to reduce the impact of reduced funding allocations and assist with future lead hazard abatement projects.

**Table 2**  
**Lead-based Paint Hazard Reduction Goals**

<b>Action</b>	<b>Goal</b>	<b>A</b>
Distribution of lead hazard literature to rental property owners where families with young children may live.	1,675	1,172
Provide In-Home Consultation referrals to the County program where hazards appear significant and encourage rehab program applicants to have children tested for "elevated blood" condition.	120	117
Coordination of homeowner awareness events.	20	39
Coordination of lead safety trainings and classes.	6	8
Provision of information and referral services to information line callers.	335	285
Provision of information and referral services to Website visitors.	9,000	64,683 (total # of visitors to website)
Provision of training and certification referrals for local contractors and their employees.	5	4

## **8. Anti-Poverty Actions**

According to the 2000 Census, over 19 percent of Oakland's residents are living in households with incomes below the poverty line. The City is engaged in a variety of efforts to address this problem, including in particular a variety of initiatives aimed at reducing the level of unemployment in the City. Significant parts of the City have been designated as a State Enterprise Zone as part of a strategy to attract new businesses and expand employment opportunities for Oakland residents. The City has also been designated by HUD as an Enhanced Enterprise Community.

### **1) Local Hiring Goals on City-funded Projects**

#### **i. Local Employment Program**

On February 25, 1993, the City of Oakland established a revised Local Employment Program (LEP) for the City of Oakland and Redevelopment Agency construction projects. The LEP (revised June 2003) establishes an employment goal of 50% of the total project workforce hours on a craft-by-craft basis be performed by Oakland residents and minimum of 50% of all new hires to be performed by Oakland residents on a craft-by-craft basis. The first new hire must be an Oakland resident and every other new hire thereafter. To implement the goals for the LEP, the City created the Local Construction Employment Referral Program (LCERP).

The LCERP partners with 35 Community Based Organizations, (CBO) who refers a continuous pool of construction workers to the City. This pool of workers is maintained in a referral data bank. With a 3-day notice, the City may refer Oakland workers in response to a request.

Because CBOs serve a variety of clients, the employer has access to qualified individuals of all races, languages, skill levels and physical abilities.

*See below for combined response on both the Local Employment Program (LEP) and the 15% Apprenticeship Program.*

**ii. 15% Apprenticeship Program**

On January 26, 1999, the City established a 15% Apprenticeship Program in order to increase Oakland resident participation as apprentices, the policy provides for a 15% apprenticeship hiring goal that is based on total hours worked and on a craft-by-craft basis. The entire 15% resident apprentice hiring goal may be achieved entirely on the City of Oakland funded project; or split on a 50/50 basis (minimum 7.5% on city funded project and maximum 7.5% on non-city funded projects).

*For FY 2013-14, the application of the City's Local Employment Program and 15% Apprenticeship Program on City monitored projects resulted in the employment of nine-hundred-sixty-two (962) Oakland Residents, which includes the new hiring of three hundred-forty-nine (349) Oakland residents by contractors performing work on City monitored projects, and of these new hires, one-hundred-forty-four (144) were Oakland apprentices. Total wages paid to Oakland residents on City monitored projects totaled \$ 11,903,611.78.*

*Both of these programs remain in effect and apply to City assisted housing development projects.*

**2) Living Wage Ordinance**

The City adopted a "Living Wage" Ordinance that requires the payment of a "living wage" which as of July 2013 is \$11.96 per hour with health benefits per hour with health benefits or \$13.75 per hour without health benefits to employees of business under a City contract or receive financial assistance from the City. Living Wage rates are subject to annual cost-of-living adjustments. The ordinance applies to contractors who provide services to the City in an amount equal to or greater than \$25,000. It also applies to entities that receive financial assistance with a net value of \$100,000 or more in a 12 month period. The legislation is intended to ensure that City funded contractors employ people at wages above the poverty level.

*Effective July 1, 2014 the new living wage rate is \$12.27 per hour with health benefits and \$14.10 per hour without benefits.*

**3) Provision of Supportive Services in Assisted Housing for the Homeless**

Many City-sponsored housing projects, particularly in Single Room Occupancy housing and in housing targeted to the homeless, include a planned service

component. The planned service component aims, in part, at assisting very low-income persons to develop the necessary employment and job search skills required to allow such persons to enter or return to paid employment and an ability to live independently. Program activities within the City's PATH Strategy contracts addressed assisting homeless persons in need of job assistance and employment search skills, assisting not less 669 individuals

City of Oakland has worked with Anka, contractor for the City's OPRI Program to support employment and job search services for OPRI (Oakland PATH Rehousing Initiative) clients such that clients placed in housing are then supported with the needed assistance to obtain and stabilize employment. In addition, many PATH and OPRI providers access other employment and job skills training and/or assistance resources to best serve clients seeking such assistance.

#### **4) Laney College, City of Oakland, Oakland Rotary Endowment Partnership for Construction Training**

Through a partnership with the Oakland Rotary Club and Laney Community College, the City makes available vacant lots, or assists in the acquisition of vacant houses to be rehabilitated by Laney's construction training programs. The program provides students with "hands-on" training to develop and refine the skills necessary to enter the construction trades.

The program enrolls approximately 40 students per semester in a combination classroom and hands-on construction project program. The students and instructors provide the labor for the project and the end product is a one or two unit residential dwelling, made available for occupancy by low to moderate-income families. Upon completion of a project, the property is sold for cost and all proceeds are used to fund subsequent projects.

*City Council approved Ordinance No. 12949 CMS authorizing the Sale at no cost of one City owned Single Family Dwelling at 453 Ashton Avenue. The property will be developed as affordable housing through a partnership between the City, the Rotary Club Inc. and Laney College as a construction training project. Construction began October 2013. Construction is approximately 60% complete. Students are incorporating energy efficient repairs. Once finished the energy use will be reduced by approximately 70%. The anticipated completion date is spring 2015.*

## **5) Job Training and Employment Programs in Public Housing**

OHA will continue to partner with HUD, the Oakland Workforce Investment Board, and locally funded programs that provide OHA residents with job training and employment opportunities. As part of HUD's Section 3 requirements and in accordance with 135.5 of 24 CFR Part 135, OHA's Board of Commissioners has established a policy that sets priority hiring goals for all companies who contract with OHA and have a need for additional employees. This priority establishes that "to the greatest extent possible" the contractor must consider OHA residents from Public Housing and Project Based Section 8 properties or other low income residents from the Oakland metropolitan area for their available positions.

*OHA sponsored summer educational activities and employment to promote career development opportunities for youth. In FY 2013-14, OHA's partnership with the City of Oakland provided about 300 youth employment opportunities through the Mayor's Summer Youth Employment Program.*

## **6) Section 8 Family Self-Sufficiency Program**

The Oakland Housing Authority's Family Self-Sufficiency Program (FSS) links participants to appropriate supportive services that aid increased employment and wages through education, job training, and counseling. Eliminating participants' dependence on cash aid to achieve self-sufficiency is achieved by establishing specific goals through an FSS Action Plan. Approximately (152) Section 8 and Project Based households currently participate in the program. OHA regularly hosts workshops and orientations in an effort to encourage additional families to enroll. Through the FSS program, residents establish savings accounts opened when their income increases. Upon graduation from the program they may use their saving accounts for purposes such as educational expenses, starting a business, and homeownership.

Two hundred twenty (229) families have successfully graduated from the FSS program since 1998. The average escrow amount among graduates in 2012 was approximately \$10,000. Among current participants, approximately 52% have escrow savings accounts.

The City will continue to work with the Oakland Housing Authority to implement a program to "project base" Section 8 assistance (commitments would be tied to specific housing units) to facilitate preservation and rehabilitation of existing housing, including SRO hotels.

*Approximately 186 households participated in the FSS program during the fiscal year.*

## 7) Youthbuild (Training and Employment)

The City may apply and/or will support applications by other entities for assistance under Department of Labor's Youthbuild Program, which provides low income youth with education and job skills in conjunction with housing activities.

*No Youthbuild applications were submitted.*

## 8) Workforce Development Program

Oakland's Workforce Development Unit will continue to align its efforts with the City's Economic and Business Development initiatives. Specific to its FY 2013-14 budget, the Workforce Development Unit accomplished the following goals: 1) Placed 295 adults, 136 dislocated workers, and 67 youth into unsubsidized employment; 2) Shifted to a neighborhood-based service delivery model with three Neighborhood One-Stop Career Centers, including a new Neighborhood One-Stop in West Oakland ; 3) Created a new West Oakland Job Resource Center focused on the construction industry and the Oakland Army Base project; 4) Developed a Five Year Strategic Local Plan; 5) Exceeded goals for the Mayor's Summer Job Program and 6) Established a new position to expand year-round youth services.

## 9) Department of Human Services Programs

Since 1971, the City of Oakland has been designated as a Community Action Agency, established under the Economic Opportunity Act of 1964 charged with developing and implementing anti-poverty programs for the low-income community. In November 2011, the California State Department of Community Services and Development (CSD) expanded the agency's territory to include the surrounding Alameda County, (excluding the City of Berkeley). The agency, now known as the **Alameda County – Oakland Community Action Partnership (AC-OCAP)**, has as its overarching purpose to focus on leveraging private, local, State, and Federal resources toward empowering low-income families and individuals to attain the skills, knowledge, and motivation required to move them out from the life of poverty and onto the path that leads to self-sufficiency. The unique structure of the Alameda County – Oakland CAP is that the process involves local low-income citizens, elected officials, and the private sector in its effort to address specific barriers to achieving self-sufficiency. Through the annual community needs assessment and the biennial community action plan, the agency is able to identify the best opportunities to assist all members of the community in becoming self-sufficient and productive members of society. The Alameda County - Oakland CAP focuses its funding priorities in the area of education, training, and employment; community and economic development; supportive services; community engagement; and advocacy. In partnership with the Community Development Block Grant (CDBG) program, the Alameda County - Oakland CAP is able to leverage funds in support the annual Earned Income Tax Credit Campaign, the United Way SparkPoint place based initiative, Families Building Wealth IDA program, and Bank on Oakland. The Alameda County - Oakland CAP has been actively "fighting the war on poverty" for over 42 years.

*In 2013, the Alameda County-Oakland Community Action Partnership (AC-OCAP) received \$1,242,906 in federal Community Services Block Grant (CSBG) funding and supported 17 anti-poverty organizations and events totaling \$826,000 in grants and community support. As a result, Oakland and the surrounding Alameda County low-income population received job readiness, employment and entrepreneur training; mental health services; culinary training; access to free legal services; eviction prevention assistance; match savings accounts (city-wide Individual Development Account (IDA) program); financial literacy training; free tax preparation; housing and job placement assistance to formerly incarcerated individuals and transitional aged homeless/foster youth; and civic engagement at the 8<sup>th</sup> Annual Walk to End Poverty event.*

*AC-OCAP Highlights:*

- *In 2013, AC-OCAP helped 27,573 low-income Alameda County residents (16,176 Oakland and 11,397 Alameda County (excluding Oakland and Berkeley).*
- *The 2013 Oakland Earned Income Tax Credit (EITC) Campaign processed 10,133 (19,976 Countywide) free tax returns capturing \$12 million (\$22,878,701 Countywide) in total refunds for low-income workers.*
- *The 2013 Bank on Oakland Initiative opened 5,050 previously “unbanked” accounts with a total of 18,000 since 2009.*
- *The Oakland Families Building Wealth Individual Development Account (IDA) Program helped 100 low-income wage earners reach their goal of saving \$2,000 to receive a match of \$4,000 to help them achieve their asset of starting a new business (78), pursuing their post-secondary education (11), or purchasing their first home (11).*

## **Narrative F: Leveraging, Commitments, Match and Support for Other Applications**

### **1. Leveraging**

The City was successful in leveraging other funds in conjunction with Federal grant funds. Other funds made available include:

- Non-local financing awards for housing development include assistance for the following projects:
  - 11<sup>th</sup> and Jackson received a land donation from the Oakland Housing Authority in the amount of \$5,353,560.
  - 1701 Martin Luther King Jr. Way received \$10,585,394 in Tax Credit Equity from Bank of America and a HOPWA allocation in the amount of \$1,800,000.
  - Civic Center 14 TOD received an Infill Infrastructure grant in the amount of \$1,846,704 and a Transit Oriented Development (TOD) grant in the amount of \$1,000,000--both from the California Department of Housing and Community Development.
- The Oakland Housing Authority received the following awards for the Federal Department of Housing and Urban Development:
  - Public Housing Operating Subsidy received \$6,427,398
  - Capital Fund Program \$19,863,017
  - Housing Choice Voucher Program received \$187,069,004
  - Family Self Sufficiency (FSS) Program received \$139,373
  - Veterans Affairs Supporting Housing (HUD-VASH) \$1,563,731
- Apart from federal funding under the Supportive Housing Program and the HUD SuperNOFA, a number of homeless housing & support service activities were funded from other local, State and Federal sources:
  - The Henry Robinson Multi-Service Center received \$250,000 from Alameda County.
  - The Temporary Winter Shelter received \$85,758 from General Purpose Funds and \$62,500 from Alameda County.
  - The Hunger Program received \$100,000 in General Purpose Funds.
  - Oakland PATH Rehousing Initiative (OPRI) supported with \$1,290,576, from Oakland Housing Authority to provide rental housing subsidies and related expenses for homeless and re-entry populations that are also receiving social and supportive services funded separately by the City.
  - The Homeless Mobile Outreach Program received \$60,000 from Public Works Department.

## **2. Commitments and Expenditures**

Specific information on commitments and expenditures of federal grant funds is contained in HUD's Integrated Disbursement and Information System (IDIS). The information in IDIS reflects the date on which activities were "funded" and funds were drawn down. Actual commitment and expenditure dates are often earlier than the dates recorded by IDIS.

The table on page 137 represents all housing funding approved for specific programs and projects by the City Council during the program year.

Some of these funding commitments are contingent on the projects securing additional funding from non-City sources, including bank loans and tax credits, and therefore firm commitments have not yet been signed.

## **3. Matching funds**

### **1) HOME**

Information on HOME matching funds is in the narrative for the HOME program.

### **2) HEARTH 2013 Emergency Solutions Grant**

The 2013/14 HEARTH<sup>1</sup> Emergency Solutions Grant (ESG), a HUD formula grant provides funding for outreach, shelter, homeless prevention, rapid rehousing, homeless management information system, and administration costs. The HEARTH ESG mandates that the grantee (the City) to provide 100% matching funds from any combination local, non-Federal sources, Federal and non-cash resources as long as the funds identified as match are not Emergency Solutions Grant funds or other funds identified as match to other funding sources. The City intends to meet this match requirement with the following allocations from the City's General Purpose Fund and Community Development Block Grant as follows:

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<sup>1</sup> Homeless Emergency Assistance and Rapid Transition to Housing

<b>Match Fund Source</b>	<b>Match Amount</b>
Emergency Housing Program- Oakland General Purpose Funds	\$115,000
Homeless Mobile Outreach Program – Oakland General Purpose Funds	\$179,310
Community Housing Services Staff Costs- Oakland General Purpose Funds	\$25,855
Community Development Block Grant – Federal Funds	\$192,552
Community Development Block Grant – Federal Funds	2,289
General Purpose Fund – Staff Cost	40,059
<b>Total Match</b>	<b>\$529,210</b>

### **3) Families In Transition/Scattered Sites Transitional Housing Program**

For the 2013/14 fiscal year, the City was awarded \$249,815 from HUD under the Supportive Housing Program for the City's Families In Transition (FIT)/Transitional Housing Program (THP). This HUD grant requires not less than a 25% fund match for operations costs and for support services costs. The total match requirement of \$157,278 (\$95,612 for Support Services and \$61,666 for Operations) was met with the funding sources listed below:

<b>Purpose</b>	<b>Match Amount</b>
Emergency Housing Program – Oakland General Purpose Fund	\$133,000
THP/FIT Tenant Rents	\$24,248
<b>Total Match</b>	<b>\$157,278</b>

### **4) Homeless Families Support Network/Supportive Housing Program**

The City is administering the seventeenth year (2013/14) of the Homeless Families Support Network/Supportive Housing Program (HFSN). This program requires a 25% match of funds for operations costs and for support services costs awarded by HUD. The City was awarded \$1,864,465. The total match requirement for this grant is \$555,187 (\$303,739 for support services and \$251,448 for operations). Match funds are not required for leasing, homeless management information system, and administration budget line item costs. Match requirements and gap funding for the program were met as follows:

<b>Purpose</b>	<b>Match Amount</b>
Funds Awarded to the City by Alameda County	\$264,000
Excess Cash Value of Monthly Lease of the Touraine Hotel	\$291,187
<b>Total Match</b>	<b>\$555,187</b>

#### 5) Matilda Cleveland Transitional Housing Program

For the 2013/14 program year, the City was awarded \$264,765 under the Supportive Housing Program grant for the Matilda Cleveland Transitional Housing Program (MCTHP). This program requires a 25% match of funds for operations costs and for support services costs awarded by HUD. Match funds are not required for leasing and administration costs. Match requirements (total of \$75,250) and program gap funding requirements were satisfied through the following fund sources:

<b>Purpose</b>	<b>Match Amount</b>
Community Promotions Program for Service Organizations (General Purpose Funds)	\$50,000
MCTHP Tenant Rents	\$25,250
<b>Total Match</b>	<b>\$72,250</b>

#### 6) Oakland Homeless Youth Collaborative

For the 2013/14 program year, the City was awarded \$713,095 to fund the Oakland Homeless Youth Housing Collaborative, maintaining Oakland's inventory of youth housing and services, providing coordinated housing preparation, transitional housing, and supportive services that help homeless young adults, ages 18-24, move toward self-sufficiency and permanent housing. This program requires a 25% match of funds for operations costs and for support services costs awarded by HUD (approximate OHYHC match fund requirement \$234,803). Match funds are not required for leasing and administration costs. Matching funds in the amount of \$234,803 were provided through the Grant Project Sponsors as follows:

<b>Grant Project Sponsor</b>	<b>Match Amount</b>
Covenant House	\$134,094
East Oakland Community Project	\$36,840
First Place Fund For Youth	\$63,773
<b>Total Match</b>	<b>\$234,803</b>



**FY 2013-2014 Awards of Locally-Controlled Funds for Housing Activities**

<b>Project</b>	<b>Type</b>	<b>Date</b>	<b>CDBG</b>	<b>HOME</b>	<b>CHDO Operating</b>	<b>Low/Mod Hsg Asset Fund</b>	<b>Other City</b>
Marcus Garvey Affordable Rental	Rental Rehabilitation	3/4/2014		\$200,000			
Civic Center 14 TOD	Rental New Construction	3/4/2014					\$1,575,000
Oakland Home Renovation Program	Ownership Rehabilitation	3/4/2014	\$750,000 (FY 14-15)				
11 <sup>th</sup> and Jackson Street	Rental New Construction	3/4/2014		\$2,626,000	\$124,000		
94 <sup>th</sup> and International	Rental New Construction	3/4/2014				\$1,127,483	\$1,022,517
<b>TOTAL</b>			<b>\$750,000</b>	<b>\$2,826,000</b>	<b>\$124,000</b>	<b>\$1,127,483</b>	<b>\$2,597,517</b>

#### 4. Support for other applications

Any entity, public, private or nonprofit, that seeks federal funds for housing activities, must secure a Certificate of Consistency from the City, indicating that the proposed activities are consistent with the Consolidated Plan. This requires that the proposed activity address an identified need, that it is in a geographic area targeted for investment, and that it is generally consistent with the City's overall strategy. If the City does not provide a certification, it must indicate the reasons for not doing so.

The City issued Certificates of Consistency for all activities for which a certificate was requested. The following is a list of those applications:

<b>Federal Program</b>	<b>Project/Activity</b>	<b>Sponsor</b>
Supportive Housing Program/Shelter Plus Care	Walker House	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	STAY Well Housing Project	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Families in Transition Project	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Housing Stabilization Team	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Matilda Cleveland Transitional Housing Program	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Southern Alameda County Housing/Jobs Linkages Program	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Rosa Parks House	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Oakland Homeless Youth Housing Collaborative	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Harrison Housing Family Services Program	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	InHOUSE (Homeless Management Information System—HMIS)	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Alameda County Health, Housing, and Integrated Services	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Self-Sufficiency Project	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Alameda County Shelter Plus Care Program – HOST	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Alameda County Shelter Plus Care Program – FACT	CA 502 – Oakland/Alameda Continuum of Care

<b>Federal Program</b>	<b>Project/Activity</b>	<b>Sponsor</b>
Supportive Housing Program/Shelter Plus Care	Alameda County Impact Project	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Alameda County Shelter Plus Care Program – HOPE Housing	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Alameda County Shelter Plus Care Program – SRA	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Oakland PATH Rehousing Initiative	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Alameda County Shelter Plus Care Program – SRO	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Housing Faast Support Network	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Welcome Home	CA 502 - Oakland /Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	North County Family Rapid Rehousing Collaborative	CA 502 – Oakland/Alameda Continuum of Care
Supportive Housing Program/Shelter Plus Care	Alameda County Shelter Plus Care Program - TRA	CA 502 – Oakland/Alameda Continuum of Care

## **Narrative G: Citizen Participation and Public Comments**

The CAPER was prepared by staff in the City's Department of Housing and Community Development (DHCD), which is the lead agency for administration of the HUD formula grants. In preparing the report, the City consulted with other departments within the City, other public agencies, private and nonprofit housing and social service providers, and private and public funding agencies.

The draft report was published on September 15, 2014. A notice of publication and summary of the report was printed in the Oakland Tribune, a newspaper of general circulation serving Oakland. Copies of the draft report were available at no charge at the offices of the Department of Housing and Community Development, 250 Frank Ogawa Plaza, 5<sup>th</sup> Floor, between the hours of 8:30 a.m. and 4:30 p.m. Also, copies of the draft CAPER were made available in the Office of the City Clerk and the Oakland Main Library. The public comment period ran from September 15, 2014 through September 26, 2014.

A public hearing to consider past performance (including this CAPER) and current and future housing and community development needs will be held before the City Council in the late Fall 2013. Notices of the hearing will be distributed in the same manner as described above. The public hearing is one of two required public hearings conducted by the City to satisfy HUD requirements for citizen participation. The second hearing is conducted during the public comment period on the draft Consolidated Plan Annual Action Plan, usually in mid-April of each year.

### **1. Information Available in IDIS Reports**

The City uses HUD's Integrated Disbursement and Information System (IDIS), a computerized database maintained by HUD to track commitments and expenditures of federal funds and accomplishments in meeting the goals of the Consolidated Plan. Most of the performance reports are now incorporated into IDIS.

*Project information continued to be entered during the comment period and was made available with the final report. Much of the project information is summarized in table format in the report.*

### **2. Public Comments**

*There are no public comments to be provided in the final CAPER on September 30, 2014.*

## **Narrative H: Self-Evaluation**

The City was successful in addressing the majority of the goals and objectives addressed in the Annual Action Plan. The City continues to work to improve the delivery of the restructured Citizen Participation and Evaluation process.

All CDBG funds were used to carry out activities that benefit low- and moderate-income persons. Funds were used to fulfill identified housing, economic development, public service and neighborhood improvement needs. Some of the categories that were assisted include youth services, senior services, blight/healthy environment, and capital improvements of community facilities and home repairs for seniors. These are consistent with the services, target population and needs as identified by the seven community development districts. These activities and strategies have made a positive impact on the identified needs and provided services that might have gone unmet. As in the past, needs continue to exceed the resources available. Major goals are on target.

An evaluation of progress in meeting housing goals appears below. Evaluation of progress for the ESG and HOPWA programs are contained in the sections of the CAPER dealing with program-specific activities for those programs.

### **1. Assessment of Housing Activities and Accomplishments**

#### **1) Progress in Meeting Annual and Five-Year Numeric Goals**

The table on the following pages shows the five-year and one-year goals for housing and homeless activities established in the City's Consolidated Plan, and the cumulative accomplishments for both the one-year Action plan and the entire five-year Consolidated Plan.

The City has made substantial progress toward the slated five-year housing production and preservation goals. Unfortunately, those five-year goals were established prior to the dissolution of the City's Redevelopment Agency and the elimination of expected funding for FY 2011-12 and beyond. This has significantly curtailed the ability to continue the pace of accomplishments toward the City's five-year Consolidated Plan goals.

Additionally, the City's First-time Homebuyer Program's ability to meet its five-year Consolidated Plan goals is significantly curtailed due to the dissolution of the Redevelopment Agency and related loss of tax increment funds. Those funds were the main source of funds for the City's first-time homebuyer program. Due to this the City dramatically reduced its one-year Action Plan goals. The City did meet those goals and exceeded its one-year goals for State-funded First-time Homebuyer Programs in FY 2013-14.

The City's program for rehabilitation of owner-occupied housing has maintained a high level of activity in recent years. With the infusion of funding from new programs

such as NSP, CDBG-R and the Weatherization Assistance Program. Unfortunately, the dissolution of the City's Redevelopment Agency and related funding lead to the cancelation of 5 programs of the residential rehabilitation division in Fiscal Year 2011-12.

## **2) Barriers to meeting goals and objectives**

The most significant barrier for the City of Oakland in Fiscal Year 2012-13 has been the dissolution of the Redevelopment Agency and its related funding.

State bond funds for affordable housing, authorized by the voters in 2006, have largely been exhausted. Although the financial health of the State has had a turnaround, it is unlikely that new bond measures will be adopted in the near future.

Substantial reductions in the grant amounts for CDBG and HOME (45% for HOME) have also impeded the City's ability to meet its housing and community development goals.

## **3) Status of Grant Commitments and Disbursements**

The City has met all required deadlines for commitment and drawdown of its grant funds. The City is required to disburse grant funds in a timely matter after drawing them down from HUD. As a rule, the City advances funds to developers and subrecipients and then draws down HUD funds as reimbursement. As a result, all disbursements of Federal funds are timely. For the HOME program, the City has also met or exceeded all requirements for set-asides to Community Housing Development Organizations and for use of expiring funds.

## Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015) Goal	YTD	Current Year (FY 2013-2014) Goal	Actual
<b>Objective 1: Expansion of the Supply of Affordable Rental Housing</b>				
Rental Housing New Construction: Units Built	403 Units Built/Underway	275	86	86
Rental Housing New Construction: Units Underway				344
<b>Objective 2: Preservation of the Supply of Affordable Rental Housing</b>				
Rental Housing Preservation: Units Built	650 Units Built/Underway	941	303	303
Rental Housing Preservation: Units Underway				145
Public Housing Reconstruction: Units Built				0
Public Housing Reconstruction: Units Underway				0
<b>Objective 3: Expansion of the Supply of Affordable Ownership Housing</b>				
Ownership Housing Construction: Units Built	52 Units Built/Underway	20	0	12
Ownership Housing Construction: Units Underway				3 to 5
<b>Objective 4: Expansion of Ownership Opportunities for First-Time Homebuyers</b>				
Mortgage and Down Payment Assistance	165 Households	113	0	21
Housing Counseling	Prepare Residents	1,341	0	275
Mortgage Credit Certificates	100 Households	26	0	0
Cal Home Program	15 Households	50	0	3
<b>Objective 5: Improvement of Existing Housing Stock</b>				
Owner-Occupied Housing Rehabilitation: Units Completed	1,365 Housing Units	2,022	210	331
Owner-Occupied Housing Rehabilitation: Units Underway				44

## Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015)		Current Year (FY 2013-2014)
	Goal	YTD	Goal Actual
<b>Objective 6: Provision of Rental Assistance for Extremely Low- and Very Low-Income Families</b>			
Tenant Based Rental Assistance	Maintain Current Level of Assistance	1,000	45 219
<b>Objective 7: Provision of Supportive Housing for Seniors and Persons with Special Needs</b>			
Senior Housing Development: Units Built	300 Units Built/Underway	299	0 0
Senior Housing Development: Units Underway			0
Special Needs Housing Development: Units Built		68	60 40
Special Needs Housing Development: Units Underway			45
Access Improvement: Units Completed	40 Housing Units	72	8 18
Access Improvement: Units Underway			12
<b>Objective 8: Prevention of Foreclosures and Stabilization of Neighborhoods</b>			
Ownership Housing: Acquisition and Rehabilitation	150 Units Built/Underway	22	0 5
Rental Housing: Acquisition and Rehabilitation	58 Housing Units	84	0 25
Board Up/Clean Up Program	30 Households	162	0 18
Foreclosure Counseling	1000 Households	3,200	0 159
Land Trust Pre- and Post-Purchase Counseling	200 Households	6	0 0
<b>Objective 9: Removal of Impediments to Fair Housing</b>			
Referral, Information, and Counseling to Residents w/Disabilities <sup>6</sup>		6,246	0 0
Referral, Information, and Counseling to Residents	7500 Households	9,441	0 2,063
Discrimination Education and Investigation	300 Households	1,012	0 179

<sup>6</sup> The Action Plan FY 2010-11 incorrectly stated that this line item for Referral, Information, and Counseling to Residents with Disabilities was to be a subset of all information and referral clients served by these various grants. This is a stand-alone figure of all Oakland residents with a disability served by the contracted agency.

## Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015) Goal	YTD	Current Year (FY 2013-2014) Goal	Actual
<b>Prevention and Reduction of Homelessness and Elimination of Chronic Homelessness</b>				
Homeless Reduction	4,653 Ind/HH			
Outreach	2,800 Individuals	<b>1,833</b>	125	<b>1,200</b>
Winter Shelter	2,700 Individuals	<b>724</b>	170	<b>306</b>
Year-round Shelter and Services	3,500 Individuals	<b>8097</b>	630	<b>6,723</b>
HIV/AIDS Housing and Services	2,500 Individuals	<b>1,811</b>	400	<b>554</b>
Rapid Rehousing			300	<b>722</b>
Elimination of Homelessness	4,427 Ind/HH			
Development & Maintenance of Existing Permanent & Supportive Housing	761 Beds	<b>482</b>	138	<b>179</b>
Support Collaboratives to Assist Chronically Homeless in Enrolling in Appropriate Public Benefits Programs	10 Agencies	<b>10</b>	10	<b>10</b>
Integrated Planning Activities thru the Continuum of Care Council	20 Agencies	<b>20</b>	20	<b>20</b>
Raising Awareness about Chronic Homelessness in the Community			NA	<b>N/A</b>
Homelessness Prevention	4,563 Households	<b>2,998</b>	250	519
Special Needs Objectives				
HIV/AIDS Housing & Development	216 Bedroom Units	<b>25</b>	27	<b>12</b>

**PART II:**

**PROGRAM-SPECIFIC NARRATIVES**

## **COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)**

### **1. Assessment of the Relationship of the Use of CDBG Funds to the Priorities, Needs, Goals, and Specific Objectives Identified in the Consolidated Plan**

The City received an allocation of \$7,254,122 in CDBG funding. The percentage allocations to various program areas were approved by the City Council in FY 2012--2013. Housing Programs received 38% of the CDBG grant allocation, Infrastructure/Neighborhood Improvements and Public Services Programs received 23%, Economic Development Programs received 11%, HUD Loan Repayment received 10% and 18% was allocated for administration of the grant program. All the CDBG funds have been used to address the City's identified priorities under each of the program areas as stated in the approved Consolidated Plan and are reported in each program section. All activities benefited low-and moderate-income persons.

### **2. Assessment of Efforts in Carrying Out the Planned Actions Described in the Action Plan**

Funded programs and services have been consistent with actions described in the five-year strategy and the annual action plans.

The City pursued all resources that were in the HUD-approved Consolidated Plan. The City did not willfully hinder implementation of the Consolidated Plan by action or inaction.

### **3. Evaluation of the Extent to Which CDBG Funds Were Used for Activities Benefiting Low and Moderate Income Persons**

Funds were used exclusively for activities that met the criteria of the three national objectives. All CDBG funds were used to carry out activities benefiting low and moderate income persons. The funds have provided:

- housing
- economic development activities including technical assistance
- assistance with childcare
- services to prevent citizens from becoming homeless
- food, social, legal, senior and youth services
- education and job training that provides an opportunity for upward mobility

### **4. Displacement as a Result of Acquisition, Rehabilitation or Demolition of Occupied Real Property**

No displacement occurred as a result of CDBG-assisted activities during this reporting period.

## **5. Economic Development Activities and Low/Mod Job Creation; Limited Clientele Activities; Float Loans, Program Income, Loan Repayments and Lump Sum Drawdowns; Rehabilitation Activities; Neighborhood Revitalization Strategies.**

### **Economic development and low/mod job creation**

All jobs were made available to low- or moderate-income persons and were taken by low- or moderate-income persons.

#### **i. Limited clientele activities**

All activities undertaken during the program year that served limited clientele fell within the categories of presumed low and moderate income benefit (for example, persons with disabilities).

### **Float loans, program income and loan repayments**

The Home Maintenance and Improvement Program (HMIP) is a revolving loan program that provides deferred and amortized loans to low and moderate income homeowners to correct deficiencies and abate health and safety hazards. The Oakland Business Development Corporation, a non-profit benefit corporation, provides technical assistance and loan packaging to small businesses that also result in job creation.

### **Rehabilitation Activities**

See Narrative “C”: Affordable Housing Accomplishments, for all Residential Housing Rehabilitation Activities performed.

## **6. Actions to Ensure Compliance with Program and Comprehensive Planning Requirements**

### **1) General Monitoring Procedures**

The City actively monitored all subrecipients and projects to ensure compliance with program, fiscal and planning requirements. Monitoring included review of monthly invoices and client reports, annual on-site monitoring of financial records and client files. The district coordinator conducted site visits on the majority of the projects and on-site program monitoring was done for most projects. Findings from the Fiscal Services monitoring were researched by the Program Accountant. Service providers completed monthly reports for the Project Administrators on the units of service provided, the cost of providing the service, who the service was provided to and any problems encountered during the month. A public hearing is held each year on the

CDBG, HOME, HOPWA and ESG Programs performance. This provides an opportunity for the public to comment on funded services and programs.

## **2) Construction Requirements**

The Contract Compliance Unit, under the City Administrator's Office, reviewed construction contracts for compliance with L/SLBE (Local/Small Local Business Enterprise) goals and payment of prevailing wages.

## **3) Environmental Requirements**

The Department of Housing and Community Development (DHCD) is certified by the U.S. Department of Housing and Urban Development (HUD). DHCD conducts the National Environmental Policy Act (NEPA) environment assessments on all projects receiving federal funds. In addition, DHCD is in compliance with all requirements under the California Environmental Quality Act (CEQA) for environmental assessments.

Projects included in the Consolidated Plan for July 1, 2010 through June 30, 2015 Development were reviewed to determine the projects that required detailed analysis under HUD's Environmental Review Procedures prior to release of HUD funds. The coded review determinations are on the chart on the following pages. Projects coded "58.34" or "58.35" required no further environmental review before expending HUD funds.

If a project requires a detailed review under HUD's Environmental Review Procedures prior to release of HUD funds, detailed project information must be provided to DHCD's environmental review staff for preparation of an Environmental Assessment, publication of the required notices, and submission to HUD of a Request for Release of Funds.

## FY 2013-14 Consolidated Plan Projects Environmental Review Requirements

SPONSOR	PROGRAM	PROJECT ID NUMBER	CDBG Funding Amount	NEPA CODE
<b>03C Homeless Facilities</b>				
East Oakland Community Project	Crossroads Emergency Housing Capital Improvement	19	\$20,747	58.34
<b>03E Neighborhood Facilities</b>				
City of Oakland Golden Gate Library	Golden Gate Branch Window Repair Project – Capital Improvement	38	\$25,000	58.35
Eastside Arts Alliance	Lighting & Capital Improvement Project	21	\$15,000	58.35
Spanish Speaking Citizens Foundation	Spanish Speaking Citizens Foundation Facility Capital Improvements	53	\$154,643	58.35
<b>03F Parks, Recreation Facilities</b>				
City of Oakland/Office of Parks and Recreation	Brookfield Park Play Area Renovation Capital Improvements	39	\$39,772	58.35
City of Oakland/Office of Parks and Recreation	DeFremery House Rehabilitation Project	40	\$96,681	58.35
City of Oakland/Office of Parks and Recreation	Franklin Recreation Center Wall Replacement Capital Improvement	41	\$30,000	58.35
City of Oakland/Office of Parks and Recreation	Ira Jinkins Recreation Center Capital Improvement Project	42	\$46,000	58.35
City of Oakland/Office of Parks and Recreation	Josie De La Cruz Park Improvements Capital Improvement	43	\$96,500	58.35
City of Oakland/Office of Parks and Recreation	Linden Park Field Project Capital Improvement	44	39,951	58.35
City of Oakland/Office of Parks and Recreation	Manzanita Recreation Center Kitchen Renovation Capital Improvement Project	45	\$92,026	58.35
City of Oakland/Office of Parks and Recreation	San Antonio Park & Recreation Center Capital Improvement Project	47	\$111,800	58.35
City of Oakland/Office of Parks and Recreation	Tassaforonga Recreation Center Kitchen Renovation Capital Improvement Project	48	\$84,000	58.35

SPONSOR	PROGRAM	PROJECT ID NUMBER	CDBG Funding Amount	NEPA CODE
<b>03T Operating cost of Homeless/AIDS Patients Programs</b>				
City of Oakland/Department of Human Services	East Oakland Community Project/Crossroads	20	\$158,445	58.34
City of Oakland/ Department of Human Services	PATH Operating Expenses	13	\$247,391	58.34
Civicorps Schools	Academic & Professional Pathway Program Homeless Services	7	15,000	58.34
<b>05 Public Services (General)</b>				
City of Oakland/ Dept. of Housing & Community Development	Housing Development Home Ownership Program	30	479,091	58.34
OCCUR	Eastmont Technology Learning Center	35	\$103,200	58.34
Unity Council	Foreclosure Counseling	54	78,850	58.34
<b>05A Senior Services</b>				
Alameda County Community Food Bank	Food Security Scholarship Program		\$20,000	58.34
Alzheimer's Services of the East Bay	Dementia Specific Adult Day Care	5	\$25,200	58.34
LifeLong Medical Care	LifeLong In-Home Health Access Project	34	\$20,000	58.34
Vietnamese Community Development, Inc.	Oakland Vietnamese Senior Project	56	\$35,000	58.34
<b>05D Youth Services</b>				
City of Oakland/Office of Parks & Recreation	STRIDE Program Anti-Crime Youth Services	46	\$33,000	58.34
City of Oakland/ Dept. of Human Services/Aging Health & Human Services	Safe Walk to School	9	\$28,192	58.34
Eastside Arts Alliance	Youth Arts Program	22	\$15,000	58.34
First Place for Youth	Steps to Success	24	\$40,618	58.34
Friends of Peralta Hacienda Historical Park	Youth Interns for Camp ACE	25	\$35,650	58.34
Project Re-Connect	Project Re-Connect Anti-Crime Youth Services Program	50	\$61,320	58.34
<b>05H Employment Training</b>				
Society of St. Vincent de Paul of Alameda County	Job Training for the Reentry Population Homeless	52	\$38,000	58.34

SPONSOR	PROGRAM	PROJECT ID NUMBER	CDBG Funding Amount	NEPA CODE
	Services			
<b>05I – Crime Awareness/Prevention</b>				
Vietnamese American Community Center of the East Bay	Anti-Crime Project	55	\$25,000	58.34
<b>05K Tenant Landlord Counseling</b>				
East Bay Community Law Center	Housing Advocacy Project/Tenant Landlord & Legal Services	11	\$19,670	58.34
<b>08 Relocation</b>				
City of Oakland/Department of Housing & Community Development	Code Enforcement/Relocation Program	26	\$118,275	58.34
<b>14A Rehab: Single-Unit Residential</b>				
Alameda County Housing and Community Development	Minor Home Repair Program	1	\$159,200	58.35
City of Oakland/Department of Housing & Community Development	Access Improvement Program	15	\$136,099	58.35
City of Oakland/Department of Housing & Community Development	Emergency Home Repair Program	17	\$92,832	58.35
City of Oakland/Department of Housing & Community Development	Home Maintenance & Improvement Program	18	\$786,455	58.35
Rebuilding Together Oakland	Home Repairs & Safety/Accessibility Modifications	51	134,463	58.35
<b>14I Lead-Based/Lead Hazard Testing/Abatement</b>				
City of Oakland/Department of Housing & Community Development	Lead Safe Housing Paint Program	31	\$41,196	58.34
<b>15 Code Enforcement</b>				
City of Oakland/Planning & Zoning	Blighted Property Board Up & Clean Up	49	\$118,275	58.34
<b>18B Economic Development Technical Assistance</b>				
City of Oakland/Department of Housing & Community Development	Economic Development Program Delivery Costs	23	\$311,719	58.34
City of Oakland/Department of Housing & Community Development	Commercial Lending	16	\$55,154	58.34
Oakland Business Development Corporation	Business Loan Program	37	\$192,427	58.34
OCCUR	Heartlands Neighborhood Revitalization & Façade Improvement	36	\$176,470	58.34

SPONSOR	PROGRAM	PROJECT ID NUMBER	CDBG Funding Amount	NEPA CODE
<b>18C Micro Enterprise Assistance</b>				
AnewAmerica Community Corp.	Green Business Program	6	\$159,200	58.34
<b>19F Repayments of Section 108 Loans</b>				
City of Oakland/Department of Housing & Community Development	Section 108 Loan Repayments	33	\$237,000	58.34
<b>21A General Program Activities</b>				
City of Oakland/Department of Housing & Community Development	CDBG Program Monitoring/Administration	14	\$1,669,487	58.34
City of Oakland/Department of Housing & Community Development	Residential Lending	32	\$1,458,804	58.34
City of Oakland/Department of Housing & Community Development	Housing Homeownership	29	123,613	58.34
<b>21D Fair Housing Activities</b>				
East Bay Community Law Center	Fair Housing Services	60	\$241,806	58.34

## **7. Financial Summary Report**

The following pages contain the required Financial Summary Reports for the CDBG and CDBG-R programs. This information was not generated by IDIS and has been prepared manually.

**Financial Summary****Grantee Performance Report****Community Development Block Program****July 1, 2013 to June 30, 2014****U.S. Department of Housing and Urban Development****Office of Community Planning and Development****OMB Approval NO. 2506-0006 (Exp. 3/31/93)**

1 Unexpended CDBG funds at end of previous period:		\$ (15,075,143.78)
2 Entitlement Grant from HUD (Grant Agreement):		7,427,578.00
3 Surplus Urban Renewal Funds		
4 Section 108 Guaranteed Loan Funds (Principal Amount)		
5 Program Income		
a) Non Revolving Funds	\$ 2,069,104.30	
b) Revolving Funds	-	
Total Program Income (Sum of a and b above)		2,069,104.30
6 Prior Period Adjustments (If negative, enclose in brackets)		-
7 Total CDBG funds available for this report period		<u>(5,578,461.48)</u>
8 Total Expenditures reported on activity summary		(10,192,525.74)
9 Planning & Administration	\$ 1,913,138.41	
10 Low/Mod Benefit Calculation	<u>8,279,387.33</u>	
11 CDBG funds used for Section 108 Principal & Interest		-
12 Total Expenditures		<u>(10,192,525.74)</u>
13 Unexpended balance		<u>(15,770,987.22)</u>
14 Total low/mod credit for multi-unit housing expenditures	\$ -	
15 Total from all other activities qualifying as low/mod expenditures		<u>8,279,387.33</u>
16 Total (Line 14 + Line 15)	\$	<u>8,279,387.33</u>
17 Percent benefit to low/mod persons (line 16 divided by line 10 this reporting period)		<u>1.00</u>
Program Years (PY) covered in certification PY99 PY00 PY01		
18 Cumulative net expenditures subject to program benefit calculation	\$	<u>32,255,451.81</u>
19 Cumulative expenditures benefiting low/mod persons	\$	<u>32,255,451.81</u>
20 Percent benefit to low/mod persons (line 19 divided by line 18)		<u>1.00</u>

Line 18 Calculation:	FFY 11	\$ 10,553,187.35	Line 19 Calculation:	FFY 11	\$ 10,553,187.35
	FFY 12	13,422,877.13		FFY 12	13,422,877.13
	FFY 13	8,279,387.33		FFY 13	8,279,387.33
	Total	<u>\$ 32,255,451.81</u>		Total	<u>\$ 32,255,451.81</u>

**EXHIBIT - A**

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21 Total PS expenditures from column h, form HUD 4949.2a	\$ 183,006.41
22 Total PS unliquidated obligations from column r, form HUD 4949.2a	-
23 Sum of line 21 and line 22	<u>\$ 183,006.41</u>
24 Total PS unliquidated reported at the end of the previous reporting period	\$ -
25 Net obligations for public services (line 23 minus line 24)	<u>\$ 183,006.41</u>
26 Amount of program income received in the preceding program year	<u>\$ 2,069,104.30</u>
27 Entitlement grant amount (from line 2)	
28 Sum of lines 26 and 27	<u>\$ 2,069,104.30</u>
29 Percent funds obligated for Public Service Activities (line 25 divided by line 28)	0.09
30 Amount subject to planning and administrative cap (grant amount from line 2 plus line 5)	\$ 9,496,682.30
31 Amount expended for Planning & Administration (from line 9 above)	\$ 1,913,138.41
32 Percent funds expended (line 31 divided by line 30)	0.20

**Program Income Narrative**

<b>A - Non Revolving Funds</b>			
1 Economic Development	(D2499.9100)	\$ 234,779.09	
2 Float Funded Activities			
	(D2499.9401)	-	
olk	(D2499.9800)	-	
	(D2499.9600)	-	
3 Other Loan Repayments		1,834,325.21	
Housing Rehabilitation Loans	(D2499.9500)		
	(D2499.9501)	-	
	(D2499.9200)	-	
4 Income Received From Sale Of Property N/A			
Other income		-	
5 Interest Income			
Money Market Account	(D2499.9900)	-	
	(D2499.9700)	-	
<b>Total Non-Revolving</b>		<u>2,069,104.30</u>	
<b>B - Revolving Funds</b>			
1 OBDC - NEDF & Micro Loans		-	
<b>Total Program Income</b>		<u>2,069,104.30</u>	

**1 Outstanding Float-Funded Activities**

\$ -

**TOTAL**

\$ -

**2 Loans and Other Receivables**

a. **Outstanding Loans**

i **Housing Rehabilitation Loans**

Loans Outstanding

1095

Principal Balance

\$ 30,764,038.00

ii **CDBG Loans**

Principal Balance

0

\$ -

iii. **Economic Development Loans**

Loans Outstanding

59

Principal Balance

2,441,426.44

b. **Deferred Forgivable Loans**

Housing Rehabilitation Loans

Loans Outstanding

0

Principal Balance

\$ -

**3 Loans Written Off During This Period**

\$ -

**4 Parcels Acquired Or Improved With CDBG Funds That  
Are Available For Sale As Of End Of Reporting Period.**

N/A

**5 Lump Sum Drawdown Agreement**

N/A

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Amount of funds available during reporting period  
(from line 7 of HUD-4949.3)

(5,578,461.48)

ADD: Income expected but not yet realized

-

LESS: Total budgeted amount on HUD-4949.2/2a

6,536,462.60

UNPROGRAMMED BALANCE:

\$ 958,001.12

**D. RECONCILIATION OF LINE(S) OF CREDIT (LOC) AND CASH BALANCES  
TO UNEXPENDED BALANCE OF CDBG FUNDS SHOWN ON GPR**

Complete the following worksheet and submit with the attachment:

UNEXPENDED BALANCE SHOWN ON GPR  
(Line 13 of HUD 4949.3)

\$ (15,770,987.22)

**ADD:**

LOC balance(s) as of GPR date:

\$ 9,703,485.28

**Cash on hand:**

Grantee Program Account

2,069,104.30

Subrecipients Program Account

-

Revolving Fund Cash Balances

-

Section 108 Accounts (in contract)

-

**SUBTRACT:**

Grantee CDBG Program Liabilities (include any reimbursements  
due to the Grantee from program funds)

-

Subrecipient CDBG Program Liabilities (same instructions  
as above)

-

TOTAL RECONCILING BALANCE:

11,772,589.58

UNRECONCILED DIFFERENCE:

\$ 3,998,397.64

*When grantees or subrecipients operate their programs on a  
reimbursement basis, any amounts due to the grantees or  
subrecipients should be included in the Program Liabilities.*

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Name of Grantee  
City of Oakland

Grant Number  
B13-MC-06-0013

Program Year  
From 7/01/13 to 6/30/14

All grantees must submit this form,  
whether or not they have CDBG funded  
rehabilitation programs.

Single-Unit  
Activities  
(1 Unit)

Multi-Unit  
Activities  
(2+ Units)

1 Check box only if grantee has no CDBG rehabilitation activities

2 Staffing: Number of Staff-Years  
(FTE staff-years to tenths)

3 Current Program Year Expenditures:

Activity delivery costs from CDBG funds

a. Staff costs: Amount expended in 2 above

b. Other direct costs (not included in 4)

4 Current Program Year Expenditures:

For all projects (a+b+c below)

a. CDBG funds expended

b. Other public (Federal, State, local) funds exp'd

c. Private funds expended

5 Project/Units Rehabilitated/Committed

a. Number of projects committed (multi-unit only)

b. Number of units committed

6 Obligations: Amount obligated for  
projects/units committed in 5a and 5b

a. CDBG funds obligated

b. Other public (Fed., State, local) funds obligated

c. Private funds obligated

7 Projects/Units Rehabilitated/Completed

a. Number of projects completed (multi-unit only)

b. Number of units completed

8 Cumulative Expenditures:

a. CDBG funds expended

b. Other public (Federal, State, local) funds expended

c. Private funds expended

None	None
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- -

- -

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- -

Projects

- -

Units

Units

- -

- -

- -

- -

- -

Projects

- -

Units

Units

- -

- -

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- -

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# HOME INVESTMENT PARTNERSHIPS PROGRAM

## 1. Allocation of HOME Funds

During the program year, HOME funds were awarded as follows (data on commitments and expenditures can be found in the HOME IDIS reports):

Program Administration	\$232,121
11th and Jackson St	\$2,089,089
Owner-occupied Rehabilitation	TDB
<b>TOTAL ALLOCATIONS</b>	<b>\$2,321,210</b>

## 2. Summary of Activities Undertaken with HOME Funds

The City continues to utilize its HOME funds to meet a variety of objectives identified in the Consolidated Plan. The City has fully met its obligations for both commitment and expenditure of funds in a timely manner.

### 1) Projects Completed

#### **California Hotel**

3501 San Pablo Avenue

Rehabilitation of 150 unit SRO historic property to 137 units.

HOME funding: \$566,750

*HOME funding amount reduced from \$3,168,000 slated in FY 2011-12 to \$566,750. Construction completed and fully occupied by December 2013.*

#### **The Savoy (Formerly: Jefferson Oaks Apartments or Oaks Hotel)**

587 15<sup>th</sup> Street and 1424 Jefferson Street

Rehabilitation of two existing SRO buildings. Both buildings are adjacent to each other and will result in a total of 101 rehabilitated SRO units.

HOME funding: \$2,500,000

*Construction completed in May 2013. Final project close-out completed in Fall 2013.*

#### **Saint Joseph's Family**

2647 International Boulevard

New construction of 62 units of affordable family housing (including one manager unit).

HOME funding: \$3,850,344

*Construction started in February 2010. Construction completed Spring 2014.*

## **2) Projects Underway**

### **11th and Jackson**

1110 Jackson Street

New construction of 71-unit family affordable rental housing.

HOME Funding: \$2,626,000 + CHDO Operating \$124,000 = \$2,750,000 total

*Awarded NOFA allocation in FY 13-14.*

### **1701 Martin Luther King Jr. Blvd**

1701 Martin Luther King Jr. Blvd

New construction of 26 units for formerly homeless or households with special needs.

HOME Funding: \$1,960,000

*Closed loan in June, 2014. Construction started in July, 2014. Anticipated Completion Fall 2015.*

### **C.L. Dellums Apartments**

644 14<sup>th</sup> Street

Rehabilitation and ownership interest transfer of existing 73 rental units.

HOME Funding: \$1,200,000

*Closed loan, commenced rehabilitation work, anticipated completion August 2014.*

### **Madrone Hotel**

477 8<sup>th</sup> Street

Rehabilitation of a 31 unit single room occupancy building and one manager's unit.

HOME funding: \$989,000

*Rehabilitation loan slated to close August 2014.*

### **Marcus Garvey Commons**

721 Wood Street

Rehabilitation of 21 units of affordable family housing.

HOME funding: \$382,000 (2012) + \$200,000 (2014) = \$582,000 total

*The HOME loan closed on August 22, 2013. Construction slated to begin Spring 2015.*

## **3) Loan Programs Operated During Program Year**

### **Rehabilitation Assistance for Existing Owner-Occupied Homes**

Community Development Districts

*Provide deferred loans for low and moderate income homeowners.*

### **3. Relation to Consolidated Plan Goals**

The City's Consolidated Plan indicates a high priority for rental housing, particularly for very low income seniors and families, and for larger families. All available HOME grant funds were allocated for rental housing, including housing for seniors and families. HOME-assisted projects contain more than the minimum required number of units affordable to very low income households, and the City grants priority in its funding awards to projects with a higher proportion of units serving larger families (units with 3 or more bedrooms).

Program income derived from repayment of first-time homebuyer loans and owner-occupied housing rehabilitation loans made in earlier years with HOME funds is generally allocated for rehabilitation of owner occupied homes in order to meet the City's need for maintenance and improvement of its aging housing stock.

### **4. Community Housing Development Organizations (CHDOs)**

The City has five currently certified CHDOs – Affordable Housing Associates (AHA), Community Housing Development Corporation of North Richmond (CHDCNR), the East Bay Asian Local Development Corporation (EBALDC), Resources for Community Development (RCD), and Dignity Housing West.

HOME commitments to CHDOs have far exceeded the minimum program requirement of 15% of each year's allocation. As of June 30, 2014, approximately 50 percent of all allocated HOME funds have been provided to CHDOs since the inception of the program in 1992.

### **5. Minority Outreach**

The City continues to monitor the performance of HOME-assisted developers in doing outreach to increase the participation of minority and women owned firms in contracts funded under the HOME program.

During the program year, construction was completed on the California Hotel, The Savoy, and Saint Joseph's Family Apartments.

The MBE/WBE Report, reflecting contracting activity for these projects, is included at the end of this section.

### **6. Matching Funds**

The statute and regulations governing the HOME Program require that participating jurisdictions contribute matching funds to their HOME-assisted housing program. The match requirement is based on the amount of HOME funds expended during each federal fiscal year, which runs from October 1 through September 30.

A HOME Match Report, covering the FY 2013-14 program year, is included at the end of this section under item 9.

The City is required to provide matching funds for all disbursements of HOME funds awarded in FY 1993 or later. HOME regulations normally require that HOME funds be matched by a 25% contribution. Because the City continues to qualify for a “partial fiscal distress” reduction, the City’s matching requirement is reduced to 12.5% of the HOME expenditures.

Excess matching funds may be carried over from year to year. The City’s cumulative matching contributions continue to be far in excess of the amount required, resulting in a significant amount carried forward to the next year.

## **7. Monitoring of Completed Rental Projects**

The following HOME-assisted rental projects were monitored during the FY 2013-14 program year:

<b><u>City of Oakland HOME Assisted Unit</u></b>	<b><u>Date Monitored</u></b>
Altenheim Senior Housing, Phase II	2/13/14
Bishop Nichols Senior Housing	8/13/13
E.C. Reems Gardens	9/20/13
Eastmont Court	9/27/13
Harrison Hotel	11/19/13
Irene Cooper Manor	10/22/13
Las Bougainvilleas	10/24/13
Lincoln Court Sr.	9/13/13
Linden Ct. (Chestnut)	7/24/13
Lion Creek Crossing, Phase I	9/17/13
Lion Creek Crossing, Phase III	9/20/13
Madison @ 14 <sup>th</sup> St. Apts.	2/6/14
Mandela Gateway Apts.	10/29/13
Northgate Apts.	10/25/13
Percy Abram Jr. Senior Apts.	11/22/13
Seven Directions	7/19/13
Sojourner Truth Manor	2/21/14
Southlake Tower	2/14/14

The City continues to rely on a monitoring procedures manual and monitoring forms originally made possible due to HUD-funded technical assistance provided by ICF Consulting. The manual is updated as needed.

## **8. Displacement and Relocation**

FY 2012-13, work began on the CL Dellums Apartments rehabilitation project to preserve an existing affordable housing resource and improve livability for the residents and financial feasibility for the on-going management of these housing units. The rehabilitation work is requiring temporary relocation of residents, which was coordinated by a relocation consultant and property management staff. There were insufficient vacancies on-site to allow for on-site relocation, so residents are being relocated off-site, mainly to nearby hotels, but occasionally to family members living farther away (at the tenant's discretion and in collaboration with their family members). Moving assistance was provided. The project will not reduce the number of units, nor the number of units affordable to very low and low income households. All tenants were provided with relocation assistance as required. Currently the rehabilitation work is substantially underway. The completion date was moved-back to October 2014 due to unforeseen conditions uncovered during the rehabilitation and delays related to elevator work (the elevator crew assigned to the building lost its equipment in a fire in Mission Bay, SF).

**9. HOME Annual Progress Report for Program Income and MBE/WBE Report**

*This report will be submitted separately.*

# Annual Performance Report HOME Program

U.S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development

OMB Approval No. 2506-0171  
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: <b>HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410</b>	Starting 7/1/13	Ending 6/30/14	9/30/14

## Part I Participant Identification

1. Participant Number MC060208	2. Participant Name City of Oakland		
3. Name of Person completing this report Lisa Brown		4. Phone Number (Include Area Code) 510-238-2064	
5. Address 250 Frank H. Ogawa Plaza, Ste. 5313	6. City Oakland	7. State CA	8. Zip Code 94612

## Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$0.00	2. Amount received during Reporting Period \$0.00	3. Total amount expended during Reporting Period \$0.00	4. Amount expended for Tenant-Based Rental Assistance \$0.00	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 \$0.00
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## Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
A. Contracts						
1. Number	3	0	0	0	0	0
2. Dollar Amount	\$51,147,549	\$0.00	\$0.00	\$0.00	\$0.00	\$51,147,549
B. Sub-Contracts						
1. Number	144	0	3	5	4	132
2. Dollar Amount	\$33,352,873	\$0.00	\$87,550	\$858,999	\$1,962,975	\$30,713,349
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	3	0	3			
2. Dollar Amount	\$51,147,549	\$0.00	\$51,147,549			
D. Sub-Contracts						
1. Number	144	3	141			
2. Dollar Amounts	\$33,352,873	\$2,669,293	\$30,683,580			

**Part IV Minority Owners of Rental Property**

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0	0	0	0	0	0
2. Dollar Amount	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**Part V Relocation and Real Property Acquisition**

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost				
1. Parcels Acquired	0	\$0.00				
2. Businesses Displaced	0	\$0.00				
3. Nonprofit Organizations Displaced	0	\$0.00				
4. Households Temporarily Relocated, not Displaced	0	\$0.00				

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	0	0	0	0	0	0
6. Households Displaced - Cost	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

## HOME Match Report

**U.S. Department of Housing and Urban Development**  
Office of Community Planning and Development

OMB Approval No. 2506-0171  
(exp. 8/31/2009)

## Part I Participant Identification

Match Contributions for <b>Federal Fiscal Year (yyyy)</b>	2013
--	------

1. Participant No. (assigned by HUD) MCO60208		2. Name of the Participating Jurisdiction Oakland, CA		3. Name of Contact (person completing this report) Maryann Sargent	
5. Street Address of the Participating Jurisdiction 250 Frank H. Ogawa Plaza, Ste. 5313				4. Contact's Phone Number (include area code) 510-238-6170	
6. City Oakland		7. State CA	8. Zip Code 94612		

## Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$ 36,516,156	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$ 0	
3. Total match available for current Federal fiscal year (line 1 + line 2)		\$ 36,516,156
4. Match liability for current Federal fiscal year		\$ 556,135
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		\$ 37,072,291

## Part III Match Contribution for the Federal Fiscal Year

[illegible]

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN  
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## OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

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PR07 - Drawdown Report by Voucher Number - Vouchers  
Submitted to Loccs

Voucher Number	Line Item	IDIS Project ID	IDIS Act ID	Voucher Created	Voucher Status	Status Date	LOCCS Send Date	Grant Number
5612780	1	42	2443	10/1/2013	Completed	10/03/2013	10/2/2013	M09MC060208
5614763	1	58	3045	10/8/2013	Completed	10/09/2013	10/8/2013	M09MC060208
	2	58	3045	10/8/2013	Completed	10/09/2013	10/8/2013	M10MC060208
5614957	1	7	2758	10/8/2013	Completed	10/10/2013	10/9/2013	M10MC060208
5615267	1	58	2944	10/9/2013	Completed	10/10/2013	10/9/2013	M10MC060208
5615837	1	74	2880	10/10/2013	Completed	10/18/2013	10/17/2013	M10MC060208
5615838	1	74	2883	10/10/2013	Completed	10/18/2013	10/17/2013	M10MC060208
5615839	1	74	2901	10/10/2013	Completed	10/18/2013	10/17/2013	M10MC060208
5615840	1	74	2875	10/10/2013	Completed	10/18/2013	10/17/2013	M10MC060208
5615841	1	74	2945	10/10/2013	Completed	10/18/2013	10/17/2013	M10MC060208
5615842	1	74	2885	10/10/2013	Completed	10/18/2013	10/17/2013	M10MC060208
5615843	1	74	2946	10/10/2013	Completed	10/18/2013	10/17/2013	M10MC060208
5653737	1	7	2749	2/4/2014	Completed	02/05/2014	2/4/2014	M09MC060208
5653749	1	58	2864	2/4/2014	Completed	02/08/2014	2/7/2014	M10MC060208
5653751	1	58	3046	2/4/2014	Completed	02/08/2014	2/7/2014	M10MC060208
5656070	1	13	2851	2/11/2014	Completed	02/12/2014	2/11/2014	M09MC060208
5656076	1	13	2935	2/11/2014	Completed	02/12/2014	2/11/2014	M10MC060208
5656077	1	57	2852	2/11/2014	Completed	02/12/2014	2/11/2014	M11MC060208
5656179	1	62	3051	2/11/2014	Completed	02/20/2014	2/20/2014	M12MC060208
5656672	1	74	2876	2/12/2014	Completed	02/20/2014	2/20/2014	M10MC060208
	2	74	2876	2/12/2014	Completed	02/20/2014	2/20/2014	M12MC060208
5656698	1	74	2947	2/12/2014	Completed	02/21/2014	2/20/2014	M10MC060208
	2	74	2948	2/12/2014	Completed	02/21/2014	2/20/2014	M10MC060208
5656736	1	62	3052	2/12/2014	Completed	02/22/2014	2/21/2014	M10MC060208
	2	74	3050	2/12/2014	Completed	02/22/2014	2/21/2014	M10MC060208
	3	74	3049	2/12/2014	Completed	02/22/2014	2/21/2014	M12MC060208
	4	74	3048	2/12/2014	Completed	02/22/2014	2/21/2014	M12MC060208
	5	74	3047	2/12/2014	Completed	02/22/2014	2/21/2014	M12MC060208
5656756	1	74	3040	2/12/2014	Completed	02/22/2014	2/21/2014	M10MC060208
	2	74	3041	2/12/2014	Completed	02/22/2014	2/21/2014	M10MC060208
	3	74	3042	2/12/2014	Completed	02/22/2014	2/21/2014	M10MC060208
	4	74	3043	2/12/2014	Completed	02/22/2014	2/21/2014	M10MC060208
	5	74	3044	2/12/2014	Completed	02/22/2014	2/21/2014	M10MC060208
5662369	1	58	2943	2/27/2014	Completed	02/28/2014	2/27/2014	M10MC060208
	2	58	2943	2/27/2014	Completed	02/28/2014	2/27/2014	M11MC060208

TOTAL DRAWS:

## OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR07 - Drawdown Report by Voucher Number - Vouchers  
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Fund Type	Recipient TIN	Payee TIN	Program	PY	Drawn Amount
EN	946000384	946000384	HOME		\$57,016.00
EN	946000384	946000384	HOME		\$932,350.69
EN	946000384	946000384	HOME		\$171,649.31
CR		946000384	HOME		\$50,000.00
EN	946000384	946000384	HOME		\$512,191.00
EN	946000384	946000384	HOME		\$14,018.00
EN	946000384	946000384	HOME		\$7,365.00
EN	946000384	946000384	HOME		\$700.00
EN	946000384	946000384	HOME		\$12,282.00
EN	946000384	946000384	HOME		\$13,739.70
EN	946000384	946000384	HOME		\$8,908.10
EN	946000384	946000384	HOME		\$2,400.50
CR		946000384	HOME		\$233,046.00
CR		946000384	HOME		\$131,675.00
EN	946000384	946000384	HOME		\$651,172.73
AD	946000384	946000384	HOME		\$29,458.70
AD	946000384	946000384	HOME		\$475,316.60
AD	946000384	946000384	HOME		\$219,079.38
PI	946000384	946000384	HOME		\$46,850.00
EN	946000384	946000384	HOME		\$43,582.44
PI	946000384	946000384	HOME		\$11,763.39
EN	946000384	946000384	HOME		\$29,368.50
EN	946000384	946000384	HOME		\$28,294.00
EN	946000384	946000384	HOME		\$74,300.00
EN	946000384	946000384	HOME		\$30,200.00
PI	946000384	946000384	HOME		\$500.00
PI	946000384	946000384	HOME		\$500.00
PI	946000384	946000384	HOME		\$3,181.50
EN	946000384	946000384	HOME		\$56,907.46
EN	946000384	946000384	HOME		\$34,720.00
EN	946000384	946000384	HOME		\$64,919.70
EN	946000384	946000384	HOME		\$38,170.00
EN	946000384	946000384	HOME		\$32,068.00
EN	946000384	946000384	HOME		\$696,929.96
EN	946000384	946000384	HOME		\$521,105.07
			<b>HOME</b>	<b>TOTAL DRAWS:</b>	<b>\$5,235,728.73</b>

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PR07 - Drawdown Report by Voucher Number - Vouchers  
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Voucher Number	Line Item	IDIS Project ID	IDIS Act ID	Voucher Created	Voucher Status	Status Date	LOCCS Send Date	Grant Number
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TOTAL DRAWS:

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PR07 - Drawdown Report by Voucher Number - Vouchers  
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<u>Fund Type</u>	<u>Recipient TIN</u>	<u>Payee TIN</u>	<u>Program</u>	<u>PY</u>	<u>Drawn Amount</u>
					\$5,235,728.73



U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
Status of HOME Grants  
OAKLAND

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IDIS - PR27

Commitments from Authorized Funds

(A) Fiscal Year	(B) Total Authorization	(C) Admin/OP Reservation	(E) CR/CC Funds- Amount Reserved to CHDOS	(F) % CHDO Rsvd	(G) SU Funds- Reservations to Other Entities	(H) EN Funds-PJ Committed to Activities	(I) Total Authorized Commitments	(K) % of Auth Cmtd
1992	\$4,282,000.00	\$428,200.00	\$3,516,532.00	82.1%	\$0.00	\$337,268.00	\$4,282,000.00	100.0%
1993	\$2,830,000.00	\$424,500.00	\$583,783.00	20.6%	\$0.00	\$1,821,717.00	\$2,830,000.00	100.0%
1994	\$3,454,999.77	\$518,250.00	\$726,366.77	21.0%	\$0.00	\$2,210,383.00	\$3,454,999.77	100.0%
1995	\$3,708,000.00	\$556,200.00	\$910,130.00	24.5%	\$0.00	\$2,241,670.00	\$3,708,000.00	100.0%
1996	\$3,889,000.00	\$583,350.00	\$583,350.00	15.0%	\$0.00	\$2,722,300.00	\$3,889,000.00	100.0%
1997	\$3,804,000.00	\$570,600.00	\$570,600.00	15.0%	\$0.00	\$2,662,800.00	\$3,804,000.00	100.0%
1998	\$4,113,000.00	\$616,950.00	\$2,843,628.00	69.1%	\$0.00	\$652,422.00	\$4,113,000.00	100.0%
1999	\$4,427,000.00	\$664,050.00	\$1,975,901.00	44.6%	\$0.00	\$1,787,049.00	\$4,427,000.00	100.0%
2000	\$4,435,000.00	\$665,250.00	\$1,966,401.00	44.3%	\$0.00	\$1,803,349.00	\$4,435,000.00	100.0%
2001	\$4,937,000.00	\$600,052.00	\$2,208,415.00	44.7%	\$0.00	\$2,128,533.00	\$4,937,000.00	100.0%
2002	\$4,918,000.00	\$491,800.00	\$2,831,899.00	57.5%	\$0.00	\$1,594,301.00	\$4,918,000.00	100.0%
2003	\$4,883,314.00	\$488,331.00	\$1,898,092.00	38.8%	\$0.00	\$2,496,891.00	\$4,883,314.00	100.0%
2004	\$5,304,734.00	\$486,650.00	\$3,833,405.00	72.2%	\$0.00	\$984,679.00	\$5,304,734.00	100.0%
2005	\$4,839,396.00	\$470,413.00	\$4,205,073.00	86.8%	\$0.00	\$163,910.00	\$4,839,396.00	100.0%
2006	\$4,494,122.00	\$442,662.70	\$1,600,000.00	35.6%	\$0.00	\$2,451,459.30	\$4,494,122.00	100.0%
2007	\$4,478,737.00	\$661,124.20	\$1,345,935.00	30.0%	\$0.00	\$2,471,677.80	\$4,478,737.00	100.0%
2008	\$4,305,431.00	\$641,724.00	\$3,650,344.00	84.7%	\$0.00	\$13,363.00	\$4,305,431.00	100.0%
2009	\$4,774,825.00	\$716,223.50	\$2,700,000.00	56.5%	\$0.00	\$1,358,601.50	\$4,774,825.00	100.0%
2010	\$4,753,166.00	\$676,555.60	\$1,552,724.00	32.6%	\$0.00	\$2,523,886.40	\$4,753,166.00	100.0%
2011	\$4,196,010.00	\$419,601.00	\$0.00	0.0%	\$0.00	\$3,147,007.00	\$3,566,608.00	84.9%
2012	\$2,355,822.00	\$235,582.20	\$0.00	0.0%	\$0.00	\$1,766,866.50	\$2,002,448.70	85.0%
2013	\$2,259,656.00	\$225,965.60	\$0.00	0.0%	\$0.00	\$1,043,776.92	\$1,269,742.52	56.1%
2014	\$2,321,210.00	\$232,121.00	\$0.00	0.0%	\$0.00	\$0.00	\$232,121.00	10.0%
<b>Total</b>	<b>\$93,764,422.77</b>	<b>\$11,816,155.80</b>	<b>\$39,502,578.77</b>	<b>42.1%</b>	<b>\$0.00</b>	<b>\$38,383,910.42</b>	<b>\$89,702,644.99</b>	<b>95.6%</b>



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Program Income (PI)

Fiscal Year	Program Income Receipts	Amount Committed to Activities	% Committed	Net Disbursed	Disbursed Pending Approval	Total Disbursed	% Disbursed
1992	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
1993	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
1994	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
1995	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
1996	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
1997	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
1998	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
1999	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
2000	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
2001	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
2002	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
2003	3,581,036.00	\$3,581,036.00	100.0%	\$3,581,036.00	\$0.00	\$3,581,036.00	100.0%
2004	987,978.00	\$987,978.00	100.0%	\$987,978.00	\$0.00	\$987,978.00	100.0%
2005	2,709,997.00	\$2,709,997.00	100.0%	\$2,709,997.00	\$0.00	\$2,709,997.00	100.0%
2006	1,794,674.00	\$1,794,674.00	100.0%	\$1,794,674.00	\$0.00	\$1,794,674.00	100.0%
2007	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
2008	1,401,421.00	\$1,401,421.00	100.0%	\$1,401,421.00	\$0.00	\$1,401,421.00	100.0%
2009	304,951.00	\$304,951.00	100.0%	\$304,951.00	\$0.00	\$304,951.00	100.0%
2010	131,455.00	\$131,455.00	100.0%	\$131,455.00	\$0.00	\$131,455.00	100.0%
2011	164,962.00	\$164,962.00	100.0%	\$164,962.00	\$0.00	\$164,962.00	100.0%
2012	264,421.39	\$264,421.39	100.0%	\$199,715.39	\$0.00	\$199,715.39	75.5%
2013	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
2014	0.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%
<b>Total</b>	<b>11,340,895.39</b>	<b>\$11,340,895.39</b>	<b>100.0%</b>	<b>\$11,276,189.39</b>	<b>\$0.00</b>	<b>\$11,276,189.39</b>	<b>99.4%</b>



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Disbursements

(A) Fiscal Year	(B) Total Authorization	(C) Disbursed	(D) Returned	(E) Net Disbursed	(F) Disbursed Pending Approval	(G) Total Disbursed	(H) % Disb	(I) Grant Balance
1992	\$4,282,000.00	\$4,282,000.00	\$0.00	\$4,282,000.00	\$0.00	4,282,000.00	100.0%	\$0.00
1993	\$2,830,000.00	\$2,830,000.00	\$0.00	\$2,830,000.00	\$0.00	2,830,000.00	100.0%	\$0.00
1994	\$3,454,999.77	\$3,462,499.77	(\$7,500.00)	\$3,454,999.77	\$0.00	3,454,999.77	100.0%	\$0.00
1995	\$3,708,000.00	\$3,708,000.00	\$0.00	\$3,708,000.00	\$0.00	3,708,000.00	100.0%	\$0.00
1996	\$3,889,000.00	\$3,889,000.00	\$0.00	\$3,889,000.00	\$0.00	3,889,000.00	100.0%	\$0.00
1997	\$3,804,000.00	\$3,804,000.00	\$0.00	\$3,804,000.00	\$0.00	3,804,000.00	100.0%	\$0.00
1998	\$4,113,000.00	\$4,113,000.00	\$0.00	\$4,113,000.00	\$0.00	4,113,000.00	100.0%	\$0.00
1999	\$4,427,000.00	\$4,427,000.00	\$0.00	\$4,427,000.00	\$0.00	4,427,000.00	100.0%	\$0.00
2000	\$4,435,000.00	\$4,435,000.00	\$0.00	\$4,435,000.00	\$0.00	4,435,000.00	100.0%	\$0.00
2001	\$4,937,000.00	\$4,937,000.00	\$0.00	\$4,937,000.00	\$0.00	4,937,000.00	100.0%	\$0.00
2002	\$4,918,000.00	\$4,918,000.00	\$0.00	\$4,918,000.00	\$0.00	4,918,000.00	100.0%	\$0.00
2003	\$4,883,314.00	\$4,883,314.00	\$0.00	\$4,883,314.00	\$0.00	4,883,314.00	100.0%	\$0.00
2004	\$5,304,734.00	\$5,304,734.00	\$0.00	\$5,304,734.00	\$0.00	5,304,734.00	100.0%	\$0.00
2005	\$4,839,396.00	\$4,839,896.00	(\$500.00)	\$4,839,396.00	\$0.00	4,839,396.00	100.0%	\$0.00
2006	\$4,494,122.00	\$4,533,941.00	(\$39,819.00)	\$4,494,122.00	\$0.00	4,494,122.00	100.0%	\$0.00
2007	\$4,478,737.00	\$4,258,737.00	\$0.00	\$4,258,737.00	\$0.00	4,258,737.00	95.0%	\$220,000.00
2008	\$4,305,431.00	\$4,091,523.00	\$0.00	\$4,091,523.00	\$0.00	4,091,523.00	95.0%	\$213,908.00
2009	\$4,774,825.00	\$4,536,084.00	\$0.00	\$4,536,084.00	\$0.00	4,536,084.00	95.0%	\$238,741.00
2010	\$4,753,166.00	\$4,551,927.00	\$0.00	\$4,551,927.00	\$0.00	4,551,927.00	95.7%	\$201,239.00
2011	\$4,196,010.00	\$3,366,086.38	\$0.00	\$3,366,086.38	\$0.00	3,366,086.38	80.2%	\$829,923.62
2012	\$2,355,822.00	\$704,198.13	\$0.00	\$704,198.13	\$354,320.87	1,058,519.00	44.9%	\$1,297,303.00
2013	\$2,259,656.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00	0.0%	\$2,259,656.00
2014	\$2,321,210.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00	0.0%	\$2,321,210.00
<b>Total</b>	<b>\$93,764,422.77</b>	<b>\$85,875,940.28</b>	<b>(\$47,819.00)</b>	<b>\$85,828,121.28</b>	<b>\$354,320.87</b>	<b>86,182,442.15</b>	<b>91.9%</b>	<b>\$7,581,980.62</b>



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Home Activities Commitments/Disbursements

(A) Fiscal Year	(B) Authorized for Activities	(C) Amount Committed to Activities	(D) % Cmtd	(E) Disbursed	(F) Returned	(G) Net Disbursed	(H) % Net Disb	(I) Disbursed Pending Approval	(J) Total Disbursed	(K) % Disb
1992	\$3,853,800.00	\$3,853,800.00	100.0%	\$3,853,800.00	\$0.00	\$3,853,800.00	100.0%	\$0.00	\$3,853,800.00	100.0%
1993	\$2,405,500.00	\$2,405,500.00	100.0%	\$2,405,500.00	\$0.00	\$2,405,500.00	100.0%	\$0.00	\$2,405,500.00	100.0%
1994	\$2,936,749.77	\$2,936,749.77	100.0%	\$2,944,249.77	(\$7,500.00)	\$2,936,749.77	100.0%	\$0.00	\$2,936,749.77	100.0%
1995	\$3,151,800.00	\$3,151,800.00	100.0%	\$3,151,800.00	\$0.00	\$3,151,800.00	100.0%	\$0.00	\$3,151,800.00	100.0%
1996	\$3,305,650.00	\$3,305,650.00	100.0%	\$3,305,650.00	\$0.00	\$3,305,650.00	100.0%	\$0.00	\$3,305,650.00	100.0%
1997	\$3,233,400.00	\$3,233,400.00	100.0%	\$3,233,400.00	\$0.00	\$3,233,400.00	100.0%	\$0.00	\$3,233,400.00	100.0%
1998	\$3,496,050.00	\$3,496,050.00	100.0%	\$3,496,050.00	\$0.00	\$3,496,050.00	100.0%	\$0.00	\$3,496,050.00	100.0%
1999	\$3,762,950.00	\$3,762,950.00	100.0%	\$3,762,950.00	\$0.00	\$3,762,950.00	100.0%	\$0.00	\$3,762,950.00	100.0%
2000	\$3,769,750.00	\$3,769,750.00	100.0%	\$3,769,750.00	\$0.00	\$3,769,750.00	100.0%	\$0.00	\$3,769,750.00	100.0%
2001	\$4,336,948.00	\$4,336,948.00	100.0%	\$4,336,948.00	\$0.00	\$4,336,948.00	100.0%	\$0.00	\$4,336,948.00	100.0%
2002	\$4,426,200.00	\$4,426,200.00	100.0%	\$4,426,200.00	\$0.00	\$4,426,200.00	100.0%	\$0.00	\$4,426,200.00	100.0%
2003	\$4,394,983.00	\$4,394,983.00	100.0%	\$4,394,983.00	\$0.00	\$4,394,983.00	100.0%	\$0.00	\$4,394,983.00	100.0%
2004	\$4,818,084.00	\$4,818,084.00	100.0%	\$4,818,084.00	\$0.00	\$4,818,084.00	100.0%	\$0.00	\$4,818,084.00	100.0%
2005	\$4,368,983.00	\$4,368,983.00	100.0%	\$4,369,483.00	(\$500.00)	\$4,368,983.00	100.0%	\$0.00	\$4,368,983.00	100.0%
2006	\$4,051,459.30	\$4,051,459.30	100.0%	\$4,091,278.30	(\$39,819.00)	\$4,051,459.30	100.0%	\$0.00	\$4,051,459.30	100.0%
2007	\$3,817,612.80	\$3,817,612.80	100.0%	\$3,817,612.80	\$0.00	\$3,817,612.80	100.0%	\$0.00	\$3,817,612.80	100.0%
2008	\$3,663,707.00	\$3,663,707.00	100.0%	\$3,663,707.00	\$0.00	\$3,663,707.00	100.0%	\$0.00	\$3,663,707.00	100.0%
2009	\$4,058,601.50	\$4,058,601.50	100.0%	\$4,058,601.50	\$0.00	\$4,058,601.50	100.0%	\$0.00	\$4,058,601.50	100.0%
2010	\$4,076,610.40	\$4,076,610.40	100.0%	\$4,076,610.40	\$0.00	\$4,076,610.40	100.0%	\$0.00	\$4,076,610.40	100.0%
2011	\$3,776,409.00	\$3,147,007.00	83.3%	\$3,147,007.00	\$0.00	\$3,147,007.00	83.3%	\$0.00	\$3,147,007.00	83.3%
2012	\$2,120,239.80	\$1,766,866.50	83.3%	\$704,198.13	\$0.00	\$704,198.13	33.2%	\$354,320.87	\$1,058,519.00	49.9%
2013	\$2,033,690.40	\$1,043,776.92	51.3%	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%
2014	\$2,089,089.00	\$0.00	0.0%	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%
<b>Total</b>	<b>\$81,948,266.97</b>	<b>\$77,886,489.19</b>	<b>95.0%</b>	<b>\$75,827,862.90</b>	<b>(\$47,819.00)</b>	<b>\$75,780,043.90</b>	<b>92.4%</b>	<b>\$354,320.87</b>	<b>\$76,134,364.77</b>	<b>92.9%</b>



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Administrative Funds (AD)

Fiscal Year	Authorized Amount	Amount Authorized from PI	Amount Reserved	% Auth Rsvd	Balance to Reserve	Total Disbursed	% Rsvd Disb	Available to Disburse
1992	\$428,200.00	\$0.00	\$428,200.00	100.0%	\$0.00	\$428,200.00	100.0%	\$0.00
1993	\$283,000.00	\$0.00	\$283,000.00	100.0%	\$0.00	\$283,000.00	100.0%	\$0.00
1994	\$345,500.00	\$0.00	\$345,500.00	100.0%	\$0.00	\$345,500.00	100.0%	\$0.00
1995	\$370,800.00	\$0.00	\$370,800.00	100.0%	\$0.00	\$370,800.00	100.0%	\$0.00
1996	\$388,900.00	\$0.00	\$388,900.00	100.0%	\$0.00	\$388,900.00	100.0%	\$0.00
1997	\$380,400.00	\$0.00	\$380,400.00	100.0%	\$0.00	\$380,400.00	100.0%	\$0.00
1998	\$411,300.00	\$0.00	\$411,300.00	100.0%	\$0.00	\$411,300.00	100.0%	\$0.00
1999	\$442,700.00	\$0.00	\$442,700.00	100.0%	\$0.00	\$442,700.00	100.0%	\$0.00
2000	\$443,500.00	\$0.00	\$443,500.00	100.0%	\$0.00	\$443,500.00	100.0%	\$0.00
2001	\$493,700.00	\$0.00	\$493,700.00	100.0%	\$0.00	\$493,700.00	100.0%	\$0.00
2002	\$491,800.00	\$0.00	\$491,800.00	100.0%	\$0.00	\$491,800.00	100.0%	\$0.00
2003	\$488,331.40	\$358,103.60	\$488,331.00	57.6%	\$0.00	\$488,331.00	100.0%	\$0.00
2004	\$506,752.20	\$98,797.80	\$486,650.00	80.3%	\$0.00	\$486,650.00	100.0%	\$0.00
2005	\$470,413.30	\$270,999.70	\$470,413.00	63.4%	\$0.00	\$470,413.00	100.0%	\$0.00
2006	\$442,662.70	\$179,467.40	\$442,662.70	71.1%	\$0.00	\$442,662.70	100.0%	\$0.00
2007	\$441,124.20	\$0.00	\$441,124.20	100.0%	\$0.00	\$441,124.20	100.0%	\$0.00
2008	\$427,816.00	\$140,142.10	\$427,816.00	75.3%	\$0.00	\$427,816.00	100.0%	\$0.00
2009	\$505,984.10	\$30,495.10	\$477,482.50	89.0%	\$0.00	\$477,482.50	100.0%	\$0.00
2010	\$475,316.60	\$13,145.50	\$475,316.60	97.3%	\$0.00	\$475,316.60	100.0%	\$0.00
2011	\$419,601.00	\$16,496.20	\$419,601.00	96.2%	\$16,496.20	\$219,079.38	52.2%	\$200,521.62
2012	\$235,582.20	\$26,442.13	\$235,582.20	89.9%	\$26,442.13	\$0.00	0.0%	\$235,582.20
2013	\$225,965.60	(\$0.01)	\$225,965.60	100.0%	(\$0.01)	\$0.00	0.0%	\$225,965.60
2014	\$232,121.00	\$0.00	\$232,121.00	100.0%	\$0.00	\$0.00	0.0%	\$232,121.00
<b>Total</b>	<b>\$9,351,470.30</b>	<b>\$1,134,089.52</b>	<b>\$9,302,865.80</b>	<b>88.7%</b>	<b>\$42,938.32</b>	<b>\$8,408,675.38</b>	<b>90.3%</b>	<b>\$894,190.42</b>



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CHDO Operating Funds (CO)

Fiscal Year	Authorized Amount	Amount Reserved	% Auth Rsvd	Balance to Reserve	Total Disbursed	% Rsvd Disb	Available to Disburse
1992	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1993	\$141,500.00	\$141,500.00	100.0%	\$0.00	\$141,500.00	100.0%	\$0.00
1994	\$172,750.00	\$172,750.00	100.0%	\$0.00	\$172,750.00	100.0%	\$0.00
1995	\$185,400.00	\$185,400.00	100.0%	\$0.00	\$185,400.00	100.0%	\$0.00
1996	\$194,450.00	\$194,450.00	100.0%	\$0.00	\$194,450.00	100.0%	\$0.00
1997	\$190,200.00	\$190,200.00	100.0%	\$0.00	\$190,200.00	100.0%	\$0.00
1998	\$205,650.00	\$205,650.00	100.0%	\$0.00	\$205,650.00	100.0%	\$0.00
1999	\$221,350.00	\$221,350.00	100.0%	\$0.00	\$221,350.00	100.0%	\$0.00
2000	\$221,750.00	\$221,750.00	100.0%	\$0.00	\$221,750.00	100.0%	\$0.00
2001	\$246,850.00	\$106,352.00	43.0%	\$140,498.00	\$106,352.00	100.0%	\$0.00
2002	\$245,900.00	\$0.00	0.0%	\$245,900.00	\$0.00	0.0%	\$0.00
2003	\$244,165.00	\$0.00	0.0%	\$244,165.00	\$0.00	0.0%	\$0.00
2004	\$243,325.00	\$0.00	0.0%	\$243,325.00	\$0.00	0.0%	\$0.00
2005	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2006	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2007	\$220,562.10	\$220,000.00	99.7%	\$562.10	\$0.00	0.0%	\$220,000.00
2008	\$213,908.00	\$213,908.00	100.0%	\$0.00	\$0.00	0.0%	\$213,908.00
2009	\$238,741.25	\$238,741.00	99.9%	\$0.25	\$0.00	0.0%	\$238,741.00
2010	\$237,658.30	\$201,239.00	84.6%	\$36,419.30	\$0.00	0.0%	\$201,239.00
2011	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2012	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2013	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2014	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
<b>Total</b>	<b>\$3,424,159.65</b>	<b>\$2,513,290.00</b>	<b>73.3%</b>	<b>\$910,869.65</b>	<b>\$1,639,402.00</b>	<b>65.2%</b>	<b>\$873,888.00</b>



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CHDO Funds (CR)

Fiscal Year	CHDO Requirement	Authorized Amount	Amount Reserved to CHDOS	% Req Rsvd	Unreserved CHDO Amount	Funds Committed to Activities	% Rsvd Cmtd	Balance to Commit	Total Disbursed	% Disb	Available to Disburse
1992	\$642,300.00	\$3,516,532.00	\$3,516,532.00	547.4%	\$0.00	\$3,516,532.00	100.0%	\$0.00	\$3,516,532.00	100.0%	\$0.00
1993	\$424,500.00	\$583,783.00	\$583,783.00	137.5%	\$0.00	\$583,783.00	100.0%	\$0.00	\$583,783.00	100.0%	\$0.00
1994	\$518,250.00	\$726,366.77	\$726,366.77	140.1%	\$0.00	\$726,366.77	100.0%	\$0.00	\$726,366.77	100.0%	\$0.00
1995	\$556,200.00	\$910,130.00	\$910,130.00	163.6%	\$0.00	\$910,130.00	100.0%	\$0.00	\$910,130.00	100.0%	\$0.00
1996	\$583,350.00	\$583,350.00	\$583,350.00	100.0%	\$0.00	\$583,350.00	100.0%	\$0.00	\$583,350.00	100.0%	\$0.00
1997	\$570,600.00	\$570,600.00	\$570,600.00	100.0%	\$0.00	\$570,600.00	100.0%	\$0.00	\$570,600.00	100.0%	\$0.00
1998	\$616,950.00	\$2,843,628.00	\$2,843,628.00	460.9%	\$0.00	\$2,843,628.00	100.0%	\$0.00	\$2,843,628.00	100.0%	\$0.00
1999	\$664,050.00	\$1,975,901.00	\$1,975,901.00	297.5%	\$0.00	\$1,975,901.00	100.0%	\$0.00	\$1,975,901.00	100.0%	\$0.00
2000	\$665,250.00	\$1,966,401.00	\$1,966,401.00	295.5%	\$0.00	\$1,966,401.00	100.0%	\$0.00	\$1,966,401.00	100.0%	\$0.00
2001	\$740,550.00	\$2,208,415.00	\$2,208,415.00	298.2%	\$0.00	\$2,208,415.00	100.0%	\$0.00	\$2,208,415.00	100.0%	\$0.00
2002	\$737,700.00	\$2,831,899.00	\$2,831,899.00	383.8%	\$0.00	\$2,831,899.00	100.0%	\$0.00	\$2,831,899.00	100.0%	\$0.00
2003	\$732,497.10	\$1,898,092.00	\$1,898,092.00	259.1%	\$0.00	\$1,898,092.00	100.0%	\$0.00	\$1,898,092.00	100.0%	\$0.00
2004	\$729,975.00	\$3,833,405.00	\$3,833,405.00	525.1%	\$0.00	\$3,833,405.00	100.0%	\$0.00	\$3,833,405.00	100.0%	\$0.00
2005	\$705,619.95	\$4,205,073.00	\$4,205,073.00	595.9%	\$0.00	\$4,205,073.00	100.0%	\$0.00	\$4,205,073.00	100.0%	\$0.00
2006	\$663,994.05	\$1,600,000.00	\$1,600,000.00	240.9%	\$0.00	\$1,600,000.00	100.0%	\$0.00	\$1,600,000.00	100.0%	\$0.00
2007	\$661,686.30	\$1,345,935.00	\$1,345,935.00	203.4%	\$0.00	\$1,345,935.00	100.0%	\$0.00	\$1,345,935.00	100.0%	\$0.00
2008	\$2,850,344.00	\$3,650,344.00	\$3,650,344.00	128.0%	\$0.00	\$3,650,344.00	100.0%	\$0.00	\$3,650,344.00	100.0%	\$0.00
2009	\$1,000,000.00	\$2,700,000.00	\$2,700,000.00	270.0%	\$0.00	\$2,700,000.00	100.0%	\$0.00	\$2,700,000.00	100.0%	\$0.00
2010	\$712,974.90	\$1,552,724.00	\$1,552,724.00	217.7%	\$0.00	\$1,552,724.00	100.0%	\$0.00	\$1,552,724.00	100.0%	\$0.00
2011	\$629,401.50	\$629,402.00	\$0.00	0.0%	\$629,402.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2012	\$353,373.30	\$353,373.30	\$0.00	0.0%	\$353,373.30	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2013	\$338,948.40	\$338,948.40	\$0.00	0.0%	\$338,948.40	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2014	\$348,181.50	\$348,181.50	\$0.00	0.0%	\$348,181.50	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
<b>Total</b>	<b>\$16,446,696.00</b>	<b>\$41,172,483.97</b>	<b>\$39,502,578.77</b>	<b>240.1%</b>	<b>\$1,669,905.20</b>	<b>\$39,502,578.77</b>	<b>100.0%</b>	<b>\$0.00</b>	<b>\$39,502,578.77</b>	<b>100.0%</b>	<b>\$0.00</b>



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CHDO Loans (CL)

Fiscal Year	Authorized Amount	Amount Reserved	Amount Committed	% Auth Cmtd	Balance to Commit	Total Disbursed	% Disb	Balance to Disburse
1992	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1993	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1994	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1995	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1996	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1997	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1998	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1999	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2000	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2001	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2002	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2003	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2004	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2005	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2006	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2007	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2008	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2009	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2010	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2011	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2012	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2013	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2014	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>0.0%</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>0.0%</b>	<b>\$0.00</b>



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CHDO Capacity (CC)

Fiscal Year	Authorized Amount	Amount Reserved	Amount Committed	% Auth Cmtd	Balance to Commit	Total Disbursed	% Disb	Balance to Disburse
1992	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1993	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1994	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1995	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1996	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1997	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1998	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1999	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2000	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2001	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2002	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2003	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2004	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2005	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2006	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2007	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2008	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2009	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2010	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2011	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2012	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2013	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2014	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
Total	\$0.00	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00



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Reservations to State Recipients and Sub-recipients (SU)

Fiscal Year	Amount Reserved to Other Entities	Amount Committed	% Rsvd Cmtd	Balance to Commit	Total Disbursed	% Disb	Available to Disburse
1992	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1993	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1994	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1995	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1996	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1997	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1998	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
1999	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2000	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2001	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2002	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2003	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2004	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2005	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2006	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2007	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2008	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2009	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2010	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2011	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2012	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2013	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
2014	\$0.00	\$0.00	0.0%	\$0.00	\$0.00	0.0%	\$0.00
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>0.0%</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>0.0%</b>	<b>\$0.00</b>



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Total Program Funds

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1992	\$4,282,000.00	\$0.00	\$3,853,800.00	\$3,853,800.00	\$428,200.00	\$4,282,000.00	\$0.00	\$4,282,000.00	\$0.00
1993	\$2,830,000.00	\$0.00	\$2,405,500.00	\$2,405,500.00	\$424,500.00	\$2,830,000.00	\$0.00	\$2,830,000.00	\$0.00
1994	\$3,454,999.77	\$0.00	\$2,936,749.77	\$2,936,749.77	\$518,250.00	\$3,454,999.77	\$0.00	\$3,454,999.77	\$0.00
1995	\$3,708,000.00	\$0.00	\$3,151,800.00	\$3,151,800.00	\$556,200.00	\$3,708,000.00	\$0.00	\$3,708,000.00	\$0.00
1996	\$3,889,000.00	\$0.00	\$3,305,650.00	\$3,305,650.00	\$583,350.00	\$3,889,000.00	\$0.00	\$3,889,000.00	\$0.00
1997	\$3,804,000.00	\$0.00	\$3,233,400.00	\$3,233,400.00	\$570,600.00	\$3,804,000.00	\$0.00	\$3,804,000.00	\$0.00
1998	\$4,113,000.00	\$0.00	\$3,496,050.00	\$3,496,050.00	\$616,950.00	\$4,113,000.00	\$0.00	\$4,113,000.00	\$0.00
1999	\$4,427,000.00	\$0.00	\$3,762,950.00	\$3,762,950.00	\$664,050.00	\$4,427,000.00	\$0.00	\$4,427,000.00	\$0.00
2000	\$4,435,000.00	\$0.00	\$3,769,750.00	\$3,769,750.00	\$665,250.00	\$4,435,000.00	\$0.00	\$4,435,000.00	\$0.00
2001	\$4,937,000.00	\$0.00	\$4,336,948.00	\$4,336,948.00	\$600,052.00	\$4,937,000.00	\$0.00	\$4,937,000.00	\$0.00
2002	\$4,918,000.00	\$0.00	\$4,426,200.00	\$4,426,200.00	\$491,800.00	\$4,918,000.00	\$0.00	\$4,918,000.00	\$0.00
2003	\$4,883,314.00	\$3,581,036.00	\$7,976,019.00	\$7,976,019.00	\$488,331.00	\$8,464,350.00	\$0.00	\$8,464,350.00	\$0.00
2004	\$5,304,734.00	\$987,978.00	\$5,806,062.00	\$5,806,062.00	\$486,650.00	\$6,292,712.00	\$0.00	\$6,292,712.00	\$0.00
2005	\$4,839,396.00	\$2,709,997.00	\$7,078,980.00	\$7,078,980.00	\$470,413.00	\$7,549,393.00	\$0.00	\$7,549,393.00	\$0.00
2006	\$4,494,122.00	\$1,794,674.00	\$5,846,133.30	\$5,846,133.30	\$442,662.70	\$6,288,796.00	\$0.00	\$6,288,796.00	\$0.00
2007	\$4,478,737.00	\$0.00	\$3,817,612.80	\$3,817,612.80	\$441,124.20	\$4,258,737.00	\$0.00	\$4,258,737.00	\$220,000.00
2008	\$4,305,431.00	\$1,401,421.00	\$5,065,128.00	\$5,065,128.00	\$427,816.00	\$5,492,944.00	\$0.00	\$5,492,944.00	\$213,908.00
2009	\$4,774,825.00	\$304,951.00	\$4,363,552.50	\$4,363,552.50	\$477,482.50	\$4,841,035.00	\$0.00	\$4,841,035.00	\$238,741.00
2010	\$4,753,166.00	\$131,455.00	\$4,208,065.40	\$4,208,065.40	\$475,316.60	\$4,683,382.00	\$0.00	\$4,683,382.00	\$201,239.00
2011	\$4,196,010.00	\$164,962.00	\$3,311,969.00	\$3,311,969.00	\$219,079.38	\$3,531,048.38	\$0.00	\$3,531,048.38	\$829,923.62
2012	\$2,355,822.00	\$264,421.39	\$2,031,287.89	\$903,913.52	\$0.00	\$903,913.52	\$354,320.87	\$1,258,234.39	\$1,362,009.00
2013	\$2,259,656.00	\$0.00	\$1,043,776.92	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,259,656.00
2014	\$2,321,210.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,321,210.00
<b>Total</b>	<b>\$93,764,422.77</b>	<b>\$11,340,895.39</b>	<b>\$89,227,384.58</b>	<b>\$87,056,233.29</b>	<b>\$10,048,077.38</b>	<b>\$97,104,310.67</b>	<b>\$354,320.87</b>	<b>\$97,458,631.54</b>	<b>\$7,646,686.62</b>



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Total Program Percent

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1992	\$4,282,000.00	\$0.00	90.0%	90.0%	10.0%	100.0%	0.0%	100.0%	0.0%
1993	\$2,830,000.00	\$0.00	85.0%	85.0%	15.0%	100.0%	0.0%	100.0%	0.0%
1994	\$3,454,999.77	\$0.00	84.9%	84.9%	15.0%	100.0%	0.0%	100.0%	0.0%
1995	\$3,708,000.00	\$0.00	85.0%	85.0%	15.0%	100.0%	0.0%	100.0%	0.0%
1996	\$3,889,000.00	\$0.00	85.0%	85.0%	15.0%	100.0%	0.0%	100.0%	0.0%
1997	\$3,804,000.00	\$0.00	85.0%	85.0%	15.0%	100.0%	0.0%	100.0%	0.0%
1998	\$4,113,000.00	\$0.00	85.0%	85.0%	15.0%	100.0%	0.0%	100.0%	0.0%
1999	\$4,427,000.00	\$0.00	85.0%	85.0%	15.0%	100.0%	0.0%	100.0%	0.0%
2000	\$4,435,000.00	\$0.00	85.0%	85.0%	15.0%	100.0%	0.0%	100.0%	0.0%
2001	\$4,937,000.00	\$0.00	87.8%	87.8%	12.1%	100.0%	0.0%	100.0%	0.0%
2002	\$4,918,000.00	\$0.00	90.0%	90.0%	10.0%	100.0%	0.0%	100.0%	0.0%
2003	\$4,883,314.00	\$3,581,036.00	163.3%	94.2%	5.7%	100.0%	0.0%	100.0%	0.0%
2004	\$5,304,734.00	\$987,978.00	109.4%	92.2%	7.7%	100.0%	0.0%	100.0%	0.0%
2005	\$4,839,396.00	\$2,709,997.00	146.2%	93.7%	6.2%	100.0%	0.0%	100.0%	0.0%
2006	\$4,494,122.00	\$1,794,674.00	130.0%	92.9%	7.0%	100.0%	0.0%	100.0%	0.0%
2007	\$4,478,737.00	\$0.00	85.2%	85.2%	9.8%	95.0%	0.0%	95.0%	4.9%
2008	\$4,305,431.00	\$1,401,421.00	117.6%	88.7%	7.4%	96.2%	0.0%	96.2%	3.7%
2009	\$4,774,825.00	\$304,951.00	91.3%	85.9%	9.3%	95.3%	0.0%	95.3%	4.6%
2010	\$4,753,166.00	\$131,455.00	88.5%	86.1%	9.7%	95.8%	0.0%	95.8%	4.1%
2011	\$4,196,010.00	\$164,962.00	78.9%	75.9%	5.0%	80.9%	0.0%	80.9%	19.0%
2012	\$2,355,822.00	\$264,421.39	86.2%	34.4%	0.0%	34.4%	13.5%	48.0%	51.9%
2013	\$2,259,656.00	\$0.00	46.1%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
2014	\$2,321,210.00	\$0.00	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
<b>Total</b>	<b>\$93,764,422.77</b>	<b>\$11,340,895.39</b>	<b>95.1%</b>	<b>82.8%</b>	<b>9.5%</b>	<b>92.3%</b>	<b>0.3%</b>	<b>92.7%</b>	<b>7.2%</b>



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Tenure Type	Activity Type	IDIS Activity	Activity Address	Activity Status	Status Date	Total Units	Home Units	Initial Funding Date	Committed Amount	Drawn Amount	PCT
Rental	REHABILITATION	2443	3250 San Pablo Ave , Oakland CA, 94608	Completed	02/05/14	60	59	05/09/08	\$1,248,300.00	\$1,248,300.00	100.00%
Rental	REHABILITATION	2944	690 15th St , Oakland CA, 94612	Completed	02/04/14	26	10	12/06/12	\$1,105,000.00	\$1,105,000.00	100.00%
Rental	REHABILITATION	3151	1769 Goss St 1766 7th Street , Oakland CA, 94607	Open	08/26/14	0	0	08/26/14	\$382,000.00	\$0.00	0.00%
Rental	ACQUISITION AND REHABILITATION	2749	1424 Jefferson St , Oakland CA, 94612	Completed	02/11/14	25	25	12/23/10	\$2,500,000.00	\$2,500,000.00	100.00%
Rental	ACQUISITION AND REHABILITATION	2864	3501 San Pablo Ave , Oakland CA, 94608	Completed	02/21/14	70	70	06/11/12	\$1,316,750.00	\$1,316,750.00	100.00%
Rental	ACQUISITION AND REHABILITATION	3045	644 14th St , Oakland CA, 94612	Final Draw	10/08/13	0	0	10/08/13	\$1,104,000.00	\$1,104,000.00	100.00%
Rental	ACQUISITION AND REHABILITATION	3046	700 Willow St , Oakland CA, 94607	Open	02/07/14	0	0	10/08/13	\$651,174.00	\$651,172.73	100.00%
Rental	ACQUISITION AND NEW CONSTRUCTION	2758	720 E 11th St , Oakland CA, 94606	Completed	02/04/14	54	54	03/02/11	\$1,669,503.00	\$1,669,503.00	100.00%
Rental	ACQUISITION AND NEW CONSTRUCTION	2759	69th Avenue and Snell Avenue , Oakland CA, 94621	Completed	10/02/13	20	20	03/31/11	\$3,499,453.00	\$3,499,453.00	100.00%
Rental	ACQUISITION AND NEW CONSTRUCTION	2943	2647 International Blvd , Oakland CA, 94601	Final Draw	07/29/14	0	0	08/08/12	\$3,850,344.00	\$3,850,344.00	100.00%
Rental	ACQUISITION AND NEW CONSTRUCTION	3150	1701 Martin Luther King Jr Way , Oakland CA, 94612	Open	09/02/14	0	0	08/26/14	\$1,960,000.00	\$697,791.09	35.60%
Homeowner Rehab	REHABILITATION	2844	3246 Prentiss St , Oakland CA, 94601	Completed	10/02/13	1	1	08/12/11	\$75,000.00	\$75,000.00	100.00%
Homeowner Rehab	REHABILITATION	2845	1912 47th Ave , Oakland CA, 94601	Open	09/10/14	1	1	08/12/11	\$75,000.00	\$74,999.60	100.00%
Homeowner Rehab	REHABILITATION	2875	3310 Magnolia St , Oakland CA, 94608	Completed	11/12/13	1	1	06/28/12	\$73,074.00	\$73,074.00	100.00%
Homeowner Rehab	REHABILITATION	2876	3355 San Leandro St , Oakland CA, 94601	Open	09/10/14	0	0	06/28/12	\$75,000.00	\$72,570.20	96.76%
Homeowner Rehab	REHABILITATION	2880	10060 Bernhardt Dr , Oakland CA, 94603	Completed	11/12/13	1	1	06/28/12	\$69,762.00	\$69,762.00	100.00%
Homeowner Rehab	REHABILITATION	2883	4022 Fullington St , Oakland CA, 94619	Completed	11/12/13	1	1	06/28/12	\$75,000.00	\$75,000.00	100.00%
Homeowner Rehab	REHABILITATION	2885	5415 Foothill Blvd , Oakland CA, 94601	Completed	11/12/13	1	1	06/28/12	\$75,000.00	\$75,000.00	100.00%
Homeowner Rehab	REHABILITATION	2901	9869 Elmar Ave , Oakland CA, 94603	Completed	11/12/13	1	1	06/28/12	\$35,000.00	\$35,000.00	100.00%
Homeowner Rehab	REHABILITATION	2945	2240 E 31st St , Oakland CA, 94602	Completed	11/12/13	1	1	12/21/12	\$75,000.00	\$75,000.00	100.00%
Homeowner Rehab	REHABILITATION	2946	4213 West St , Oakland CA, 94608	Completed	11/12/13	1	1	12/21/12	\$75,000.00	\$75,000.00	100.00%



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Tenure Type	Activity Type	IDIS Activity	Activity Address	Activity Status	Status Date	Total Units	Home Units	Initial Funding Date	Committed Amount	Drawn Amount	PCT
Homeowner Rehab	REHABILITATION	2947	1536 77th Ave , Oakland CA, 94621	Completed	08/26/14	1	1	12/21/12	\$43,535.00	\$43,535.00	100.00%
Homeowner Rehab	REHABILITATION	2948	3159 Brookdale Ave , Oakland CA, 94602	Final Draw	09/10/14	0	0	12/21/12	\$39,035.00	\$39,035.00	100.00%
Homeowner Rehab	REHABILITATION	3040	2149 104th Ave , Oakland CA, 94603	Open	02/21/14	0	0	02/12/14	\$60,000.00	\$56,907.46	94.85%
Homeowner Rehab	REHABILITATION	3041	1023 102nd Ave , Oakland CA, 94603	Open	02/21/14	0	0	02/12/14	\$70,000.00	\$34,720.00	49.60%
Homeowner Rehab	REHABILITATION	3042	1413 70th Ave , Oakland CA, 94621	Open	09/10/14	0	0	02/12/14	\$75,000.00	\$69,000.00	92.00%
Homeowner Rehab	REHABILITATION	3043	427 Caswell Ave , Oakland CA, 94603	Open	09/10/14	0	0	02/12/14	\$75,000.00	\$68,096.00	90.79%
Homeowner Rehab	REHABILITATION	3044	1845 67th Ave , Oakland CA, 94621	Open	09/10/14	0	0	02/12/14	\$40,000.00	\$38,125.00	95.31%
Homeowner Rehab	REHABILITATION	3047	10929 Robledo Dr , Oakland CA, 94603	Open	09/10/14	0	0	02/11/14	\$70,000.00	\$63,976.50	91.40%
Homeowner Rehab	REHABILITATION	3048	249 Bergedo Dr , Oakland CA, 94603	Open	09/10/14	0	0	02/11/14	\$29,700.00	\$11,117.50	37.43%
Homeowner Rehab	REHABILITATION	3049	2609 68th Ave , Oakland CA, 94605	Open	02/21/14	0	0	02/11/14	\$40,600.00	\$500.00	1.23%
Homeowner Rehab	REHABILITATION	3050	2015 87th Ave , Oakland CA, 94621	Final Draw	09/10/14	0	0	02/11/14	\$75,000.00	\$75,000.00	100.00%
Homeowner Rehab	REHABILITATION	3051	6704 Eastlawn St , Oakland CA, 94621	Completed	02/21/14	1	1	02/11/14	\$46,850.00	\$46,850.00	100.00%
Homeowner Rehab	REHABILITATION	3052	1512 89th Ave , Oakland CA, 94621	Open	02/21/14	0	0	02/11/14	\$75,000.00	\$74,300.00	99.07%
Homeowner Rehab	REHABILITATION	3066	733 Tyler St , Oakland CA, 94603	Open	09/10/14	0	0	02/11/14	\$75,000.00	\$41,143.00	54.86%
Homeowner Rehab	REHABILITATION	3067	2819 Richmond Ave , Oakland CA, 94611	Open	09/10/14	0	0	02/11/14	\$75,000.00	\$69,872.50	93.16%
Homeowner Rehab	REHABILITATION	3068	865 27th St , Oakland CA, 94607	Open	09/10/14	0	0	02/11/14	\$75,000.00	\$68,148.00	90.86%
Homeowner Rehab	REHABILITATION	3069	3059 Delaware St , Oakland CA, 94602	Open	09/10/14	0	0	02/11/14	\$75,000.00	\$69,204.00	92.27%

# EMERGENCY SOLUTIONS GRANT AND CONTINUUM OF CARE FOR HOMELESS PERSONS

## 1. 2013/14 Emergency Solutions Grant – Accomplishment Narrative

ESG funds were allocated in support of the City of Oakland Permanent Access To Housing (PATH) Strategy, a companion to the Alameda County Everyone Home Plan. The Alameda Countywide EveryOne Home Plan is a roadmap for ending homelessness in the county by year 2020. EveryOne Home is a comprehensive plan for providing housing and supportive services to homeless people in Alameda County and to those people living with serious mental health illness and HIV/AIDS.

Both, EveryOne Home and PATH are based on a “Housing First” program model that emphasizes rapid client access to permanent housing rather than prolonged stays in shelters and transitional housing.

A key transitional step in the PATH Strategy is the reconfiguration of homeless services contracts and funds under what has been three separate, but related homeless programs; the Emergency Solutions Grant (ESG), City of Oakland General Purpose Fund Emergency Housing Program (EHP) and the Community Development Block Grant Homeless Service Set Aside (CDBG) to support the goals and objectives of the EveryOne Home Plan and PATH Strategy.

Grant agreements were extended into the fiscal year 2013/14 for agencies that were awarded under the City’s 2011/12/PATH Request For Applications and that successfully performed 2011/12 contracted scope of services under the 2011/12 PATH Strategy funded by ESG and the other funding sources mentioned above.

The 2011/12 PATH applicants for which grant agreements were extended into the 2013/14 PATH program year for the 2013/14, were ranked in the following criteria categories for the provision of housing and services leading to housing for the homeless and near homeless. Applications were compared with other projects of the same type. For example, Rapid rehousing projects were compared to other rapid rehousing projects. Homeless Prevention projects were compared with other homeless prevention projects, etc.

### Process and Criteria for Awarding Funds

- 1) **Client Services** - Total number of clients served using under PATH from January 1, 2010 through December 31, 2010.
- 2) **Permanent Housing Outcomes**- Of the clients served in 2010, the percentage that obtained permanent housing (not including homeless prevention and services in housing)
- 3) **Housing Retention**- Of those housed at the beginning of 2010, the percentage of clients that maintained housing or moved to other permanent housing (homeless prevention and services in housing only)

- 4) **Exit to Homelessness** – Percentage of clients served that exited the program to the streets or shelter
- 5) **Obtained Income** – Of the clients that enter the program in 2010 without income, the percentage that gained income
- 6) **Occupancy** – For site based programs, total number of beds or units and the average nightly occupancy rate for 2010
- 7) **Time in Program** – Of clients obtaining housing, the average length of time the client participated in services prior to placement (not including prevention and services in housing)
- 8) **Homeless Management Information System (HMIS)** – How well HMIS generated report substantiates reported outcomes
- 9) **Program Planning** - Changes proposed by applicant agency to existing program for the 2012/13 program year. Tools that will strengthen services and improve outcomes
- 10) **Collaboration and Leveraging** – Key partnerships and leveraged resources brought to homeless clients in Oakland

## Matching Funds

The City provided matching funds for the FY 2013-14 Emergency Solutions Grant HUD award of \$529,210 with the following fund sources:

### 2013/14 Emergency Solutions Grant

Match Fund Source	Match Amount
Emergency Housing Program- Oakland General Purpose Funds	\$115,000
Homeless Mobile Outreach Program – Oakland General Purpose Funds	\$179,310
Community Development Block Grant – Federal Funds	\$192,552
General Purpose Fund – Staff Cost	\$40,059
<b>Total Match</b>	<b>\$529,210</b>

## 1) Assessment Goals & Objectives:

The 2013/14 PATH Strategy, funded by ESG and other fund sources helped address pertinent Strategic Plan objectives related to housing and other priority homeless needs by providing rapid re-housing services and financial assistance, homeless prevention services and financial assistance, outreach and shelter services related to housing.

Through Oakland's 2013/14 PATH Strategy programs, approximately 4,200 homeless or near homeless persons gained access to permanent housing, temporary shelter, hotel/motel vouchers, support services, rental assistance, eviction prevention, outreach, homeless encampment services, harm reduction and/or other support services. Five hundred and four are reported to have obtained or maintained permanent housing and 263 obtained permanent supportive housing.

## 2) Leveraging Resources:

The Emergency Solutions Grants (ESG) awarded to the City of Oakland in the amounts of \$529,210 funded the 2013/14 Oakland PATH Strategy. ESG funds were leveraged with a combination of City of Oakland General Purpose Funds, Community Development Block Grant funds, donations, and funds from Alameda County as follows:

Purpose	Leverage Amount
Oakland General Purpose Fund	380,068
Community Development Block Grant (EOCP Operations and PATH Program Allocation)	405,836
Local Government Donors (Alameda County & Oakland Public Works)	122,500
<b>Total Direct Oakland PATH Leverage Funds</b>	<b>\$908,404</b>

### **3) Self-Evaluation:**

Preliminary results from a recent 2013 survey estimates that 4,264 people were homeless in Alameda County at a “point in time” in January 2013. Of the 4,264, it is estimated that 2,558 are homeless in Oakland. The supply of shelter beds in Oakland meets close to 6% of this demand. Through PATH (ESG funded) activities, the greater focus is placed on the immediate need for housing and/or housing related services for those individuals and/or families plagued with issues leading to homelessness in addition to the provision of shelter, outreach and homeless prevention assistance.

Under the 2013/14 PATH Strategy, with ESG and match funding approximately 4,200 people received housing related services and support services resulting in over 767 clients obtaining or maintaining permanent and/or permanent supportive housing.

The City also operated a temporary winter shelter at the Henry Robinson Center site from November 2013 through mid-April 2014. Over 6,723 shelter bednights were provided to homeless individuals unable to access the shelter system during the cold and rainy winter months. This effort is a continued and vital partnership between Alameda County, Henry Robinson Multi-Purpose Center and the City, benefitting the homeless population during the winter months.

The City, along with Operation Dignity and Abode services administered the PATH Homeless Mobile Outreach Program, serving the homeless living in encampments. Within the FY 2013/14 program year, site assessments for a number of encampments were completed. More than 7,000 persons living in homeless encampments received harm reduction services, outreach, outreach, and intensive case management.



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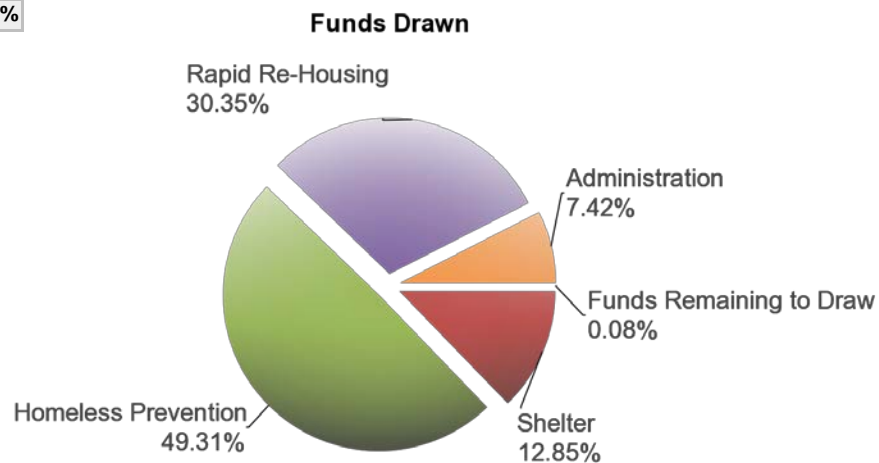
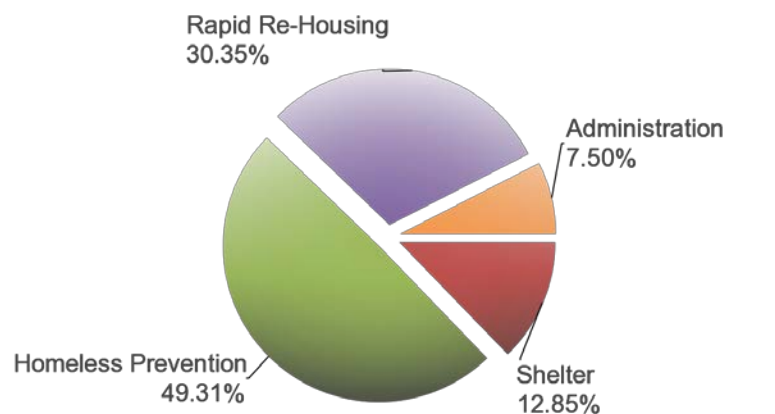
## 2. ESG Financial Summary Report per IDIS Report PR91

### ESG Program Level Summary

Grant Number	Total Grant Amount	Total Funds Committed	Total Funds Available to Commit	% of Grant Funds Not Committed	Grant Funds Drawn	% of Grant Funds Drawn	Available to Draw	% Remaining to Draw
E11MC060013	\$576,655.00	\$576,655.00	\$0.00	0.00%	\$576,175.00	99.92%	\$480.00	0.08%

### ESG Program Components

Activity Type	Total Committed to Activities	% of Grant Committed	Drawn Amount	% of Grant Drawn
Street Outreach	\$0.00	0.00%	\$0.00	0.00%
Shelter	\$74,078.00	12.85%	\$74,078.00	12.85%
Homeless Prevention	\$284,326.00	49.31%	\$284,326.00	49.31%
Rapid Re-Housing	\$175,002.00	30.35%	\$175,001.77	30.35%
Data Collection (HMIS)	\$0.00	0.00%	\$0.00	0.00%
Administration	\$43,249.00	7.50%	\$42,769.23	7.42%
Funds Not Committed	\$0.00	0.00%	\$0.00	0.00%
Funds Remaining to Draw	\$0.00	0.00%	\$480.00	0.08%
<b>Total</b>	<b>\$576,655.00</b>	<b>100.00%</b>	<b>\$576,655.00</b>	<b>100.00%</b>



Street Outreach Shelter Homeless Prevention Rapid Re-Housing  
Data Collection (HMIS) Administration Funds Not Committed Funds Remaining to Draw

Street Outreach Shelter Homeless Prevention Rapid Re-Housing  
Data Collection (HMIS) Administration Funds Not Committed Funds Remaining to Draw

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## 24-Month Grant Expenditure Deadline

All of the recipient's grant must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with the recipient. Expenditure means either an actual cash disbursement for a direct charge for a good or service or an indirect cost or the accrual of a direct charge for a good or service or an indirect cost. This report uses draws in IDIS to measure expenditures. HUD allocated Fiscal Year 2011 ESG funds in two allocations. For FY2011, this Obligation Date is the date of the first allocation. This report does not list the Obligation Date, does not calculate the Expenditure Deadline, and does not track the Days Remaining for the FY 2011 second allocation.

Grant Amount: \$576,655.00

Grant Number	Draws to Date	HUD Obligation Date	Expenditure Deadline	Days Remaining to Meet Requirement Date	Expenditures Required
E11MC060013	\$576,175.00	07/27/2012	07/27/2014	(23)	\$480.00

## 60% Cap on Emergency Shelter and Street Outreach

The cap refers to the total amount of the recipient's fiscal year grant, allowed for emergency shelter and street outreach activities, and is capped at 60 percent. This amount cannot exceed the greater of: (1) 60% of the overall grant for the year; or, (2) the amount of Fiscal Year 2010 ESG funds committed for homeless assistance activities.

Amount Committed to Shelter	Amount Committed to Street Outreach	Total Amount Committed to Shelter and Street Outreach	% Committed to Shelter and Street Outreach	2010 Funds Committed to Homeless Assistance Activities	Total Drawn for Shelter and Street Outreach	% Drawn for Shelter and Street Outreach
\$74,078.00	\$0.00	\$74,078.00	12.85%	\$268,880.00	\$74,078.00	12.85%

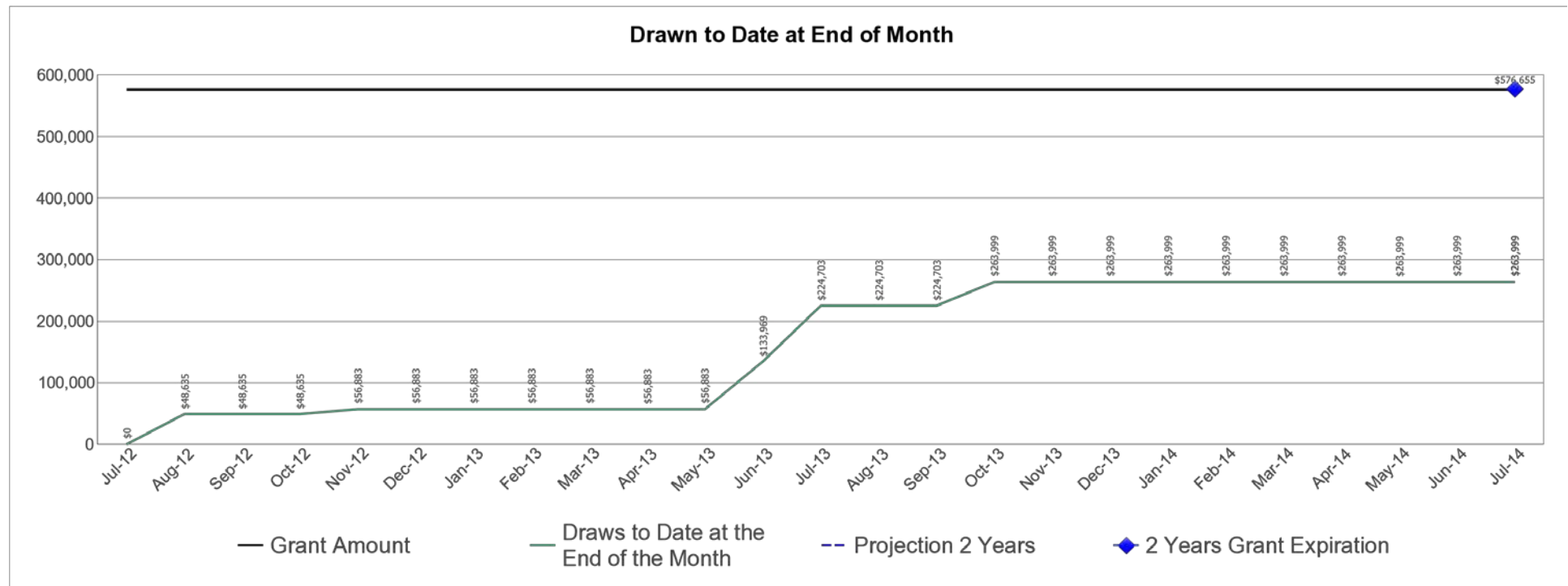


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**ESG Draws By Month (at the total grant level):**

Grant Amount: 576,655.00



**ESG Draws By Quarter (at the total grant level):**

Quarter End Date	Draws for the Quarter	Draws to Date at the End of the Quarter	% Drawn for the Quarter	% Drawn to Date at End of Quarter
09/30/2012	\$48,635.14	\$48,635.14	8.43%	8.43%
12/31/2012	\$8,247.58	\$56,882.72	1.43%	9.86%
03/31/2013	\$0.00	\$56,882.72	0.00%	9.86%
06/30/2013	\$77,086.39	\$133,969.11	13.37%	23.23%
09/30/2013	\$90,734.15	\$224,703.26	15.73%	38.97%
12/31/2013	\$39,295.46	\$263,998.72	6.81%	45.78%
03/31/2014	\$0.00	\$263,998.72	0.00%	45.78%
06/30/2014	\$0.00	\$263,998.72	0.00%	45.78%
09/30/2014	\$0.00	\$263,998.72	0.00%	45.78%

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**ESG Subrecipient Commitments and Draws by Activity Category :**

Subrecipient	Activity Type	Committed	Drawn
OAKLAND	Administration	\$43,249.00	\$42,769.23
	Total	\$43,249.00	\$42,769.23
	Total Remaining to be Drawn		\$479.77
	Percentage Remaining to be Drawn		1.11%
FIRST PLACE FOR YOUTH	Homeless Prevention	\$87,560.00	\$87,560.00
	Total	\$87,560.00	\$87,560.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
LIFELONG MEDICAL CARE	Homeless Prevention	\$95,295.00	\$95,295.00
	Total	\$95,295.00	\$95,295.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
BUILDING FUTURES FOR WOMEN WITH CHILDREN	Rapid Re-Housing	\$60,000.00	\$60,000.00
	Total	\$60,000.00	\$60,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
EAST OAKLAND COMMUNITY PROJECT	Shelter	\$3,798.00	\$3,798.00
	Total	\$3,798.00	\$3,798.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
EAST BAY COMMUNITY RECOVERY PROJECT	Rapid Re-Housing	\$15,000.00	\$14,999.77
	Total	\$15,000.00	\$14,999.77
	Total Remaining to be Drawn		\$0.23
	Percentage Remaining to be Drawn		0.00%
LIFELONG MEDICAL CARE	Rapid Re-Housing	\$55,002.00	\$55,002.00
	Total	\$55,002.00	\$55,002.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
EAST BAY COMMUNITY LAW CENTER	Homeless Prevention	\$4,000.00	\$4,000.00
	Total	\$4,000.00	\$4,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
ST. MARY'S CENGTER	Rapid Re-Housing	\$45,000.00	\$45,000.00
	Total	\$45,000.00	\$45,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
	Homeless Prevention	\$40,000.00	\$40,000.00



BUILDING FUTURES FOR WOMEN WITH CHILDREN	Total	\$40,000.00	\$40,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
<b>Subrecipient</b>	<b>Activity Type</b>	<b>Committed</b>	<b>Drawn</b>
EAST OAKLAND COMMUNITY PROJECT	Shelter	\$70,280.00	\$70,280.00
	Total	\$70,280.00	\$70,280.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
EAST BAY COMMUNITY RECOVERY PROJECT	Homeless Prevention	\$57,471.00	\$57,471.00
	Total	\$57,471.00	\$57,471.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%

**ESG Subrecipients by Activity Category**

Activity Type	Subrecipient
Shelter	EAST OAKLAND COMMUNITY PROJECT
	EAST OAKLAND COMMUNITY PROJECT
Homeless Prevention	FIRST PLACE FOR YOUTH
	LIFELONG MEDICAL CARE
	EAST BAY COMMUNITY LAW CENTER
	BUILDING FUTURES FOR WOMEN WITH CHILDREN
	EAST BAY COMMUNITY RECOVERY PROJECT
	BUILDING FUTURES FOR WOMEN WITH CHILDREN
Rapid Re-Housing	EAST BAY COMMUNITY RECOVERY PROJECT
	LIFELONG MEDICAL CARE
	ST. MARY'S CENTER
Administration	OAKLAND



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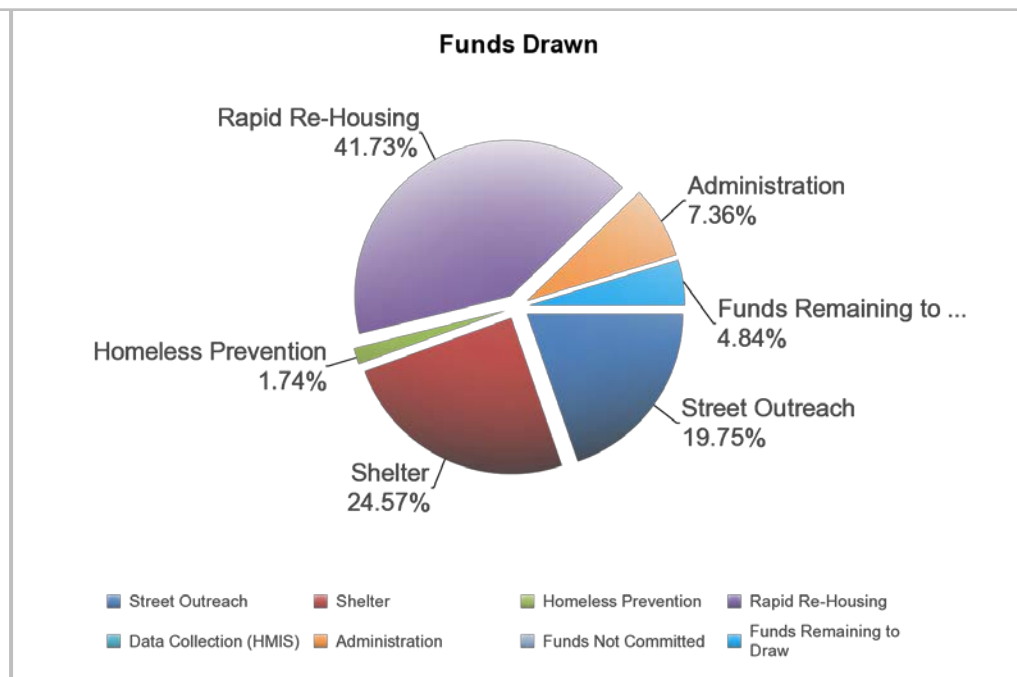
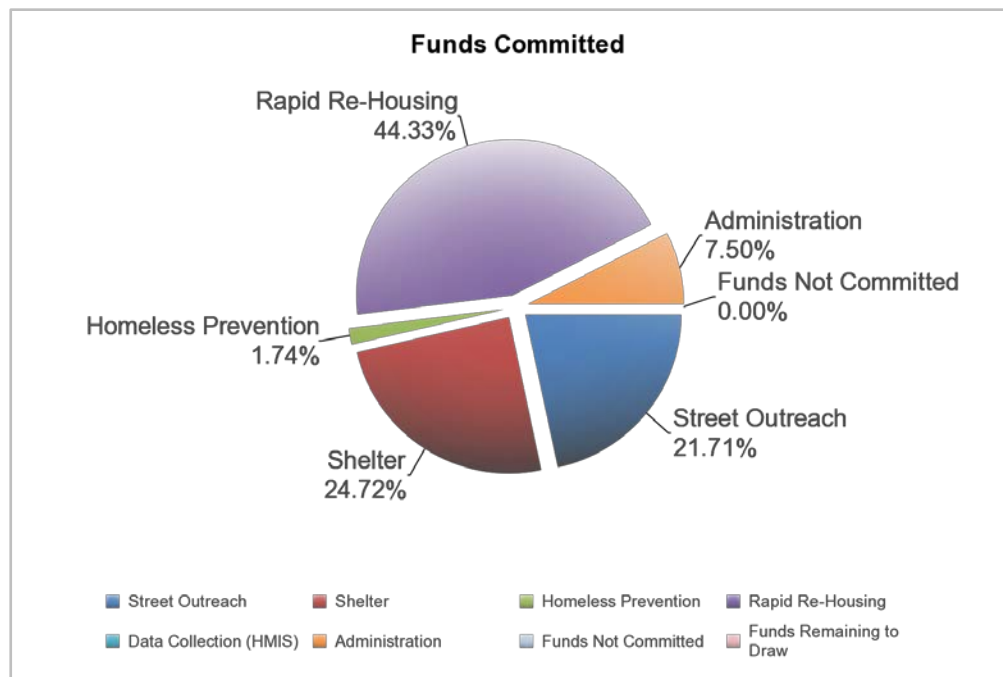
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## ESG Program Level Summary

Grant Number	Total Grant Amount	Total Funds Committed	Total Funds Available to Commit	% of Grant Funds Not Committed	Grant Funds Drawn	% of Grant Funds Drawn	Available to Draw	% Remaining to Draw
E12MC060013	\$656,315.00	\$656,314.63	\$0.37	0.00%	\$624,534.20	95.16%	\$31,780.80	4.84%

## ESG Program Components

Activity Type	Total Committed to Activities	% of Grant Committed	Drawn Amount	% of Grant Drawn
Street Outreach	\$142,500.00	21.71%	\$129,620.97	19.75%
Shelter	\$162,224.00	24.72%	\$161,250.98	24.57%
Homeless Prevention	\$11,429.00	1.74%	\$11,429.00	1.74%
Rapid Re-Housing	\$290,938.00	44.33%	\$273,905.36	41.73%
Data Collection (HMIS)	\$0.00	0.00%	\$0.00	0.00%
Administration	\$49,223.63	7.50%	\$48,327.89	7.36%
Funds Not Committed	\$0.37	0.00%	\$0.00	0.00%
Funds Remaining to Draw	\$0.00	0.00%	\$31,780.80	4.84%
<b>Total</b>	<b>\$656,315.00</b>	<b>100.00%</b>	<b>\$656,315.00</b>	<b>100.00%</b>





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### 24-Month Grant Expenditure Deadline

All of the recipient's grant must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with the recipient. Expenditure means either an actual cash disbursement for a direct charge for a good or service or an indirect cost or the accrual of a direct charge for a good or service or an indirect cost. This report uses draws in IDIS to measure expenditures. HUD allocated Fiscal Year 2011 ESG funds in two allocations. For FY2011, this Obligation Date is the date of the first allocation. This report does not list the Obligation Date, does not calculate the Expenditure Deadline, and does not track the Days Remaining for the FY 2011 second allocation.

Grant Amount: \$656,315.00

Grant Number	Draws to Date	HUD Obligation Date	Expenditure Deadline	Days Remaining to Meet Requirement Date	Expenditures Required
E12MC060013	\$624,534.20	07/27/2012	07/27/2014	(23)	\$31,780.80

### 60% Cap on Emergency Shelter and Street Outreach

The cap refers to the total amount of the recipient's fiscal year grant, allowed for emergency shelter and street outreach activities, and is capped at 60 percent. This amount cannot exceed the greater of: (1) 60% of the overall grant for the year; or, (2) the amount of Fiscal Year 2010 ESG funds committed for homeless assistance activities.

Amount Committed to Shelter	Amount Committed to Street Outreach	Total Amount Committed to Shelter and Street Outreach	% Committed to Shelter and Street Outreach	2010 Funds Committed to Homeless Assistance Activities	Total Drawn for Shelter and Street Outreach	% Drawn for Shelter and Street Outreach
\$162,224.00	\$142,500.00	\$304,724.00	46.43%	\$268,880.00	\$290,871.95	44.32%

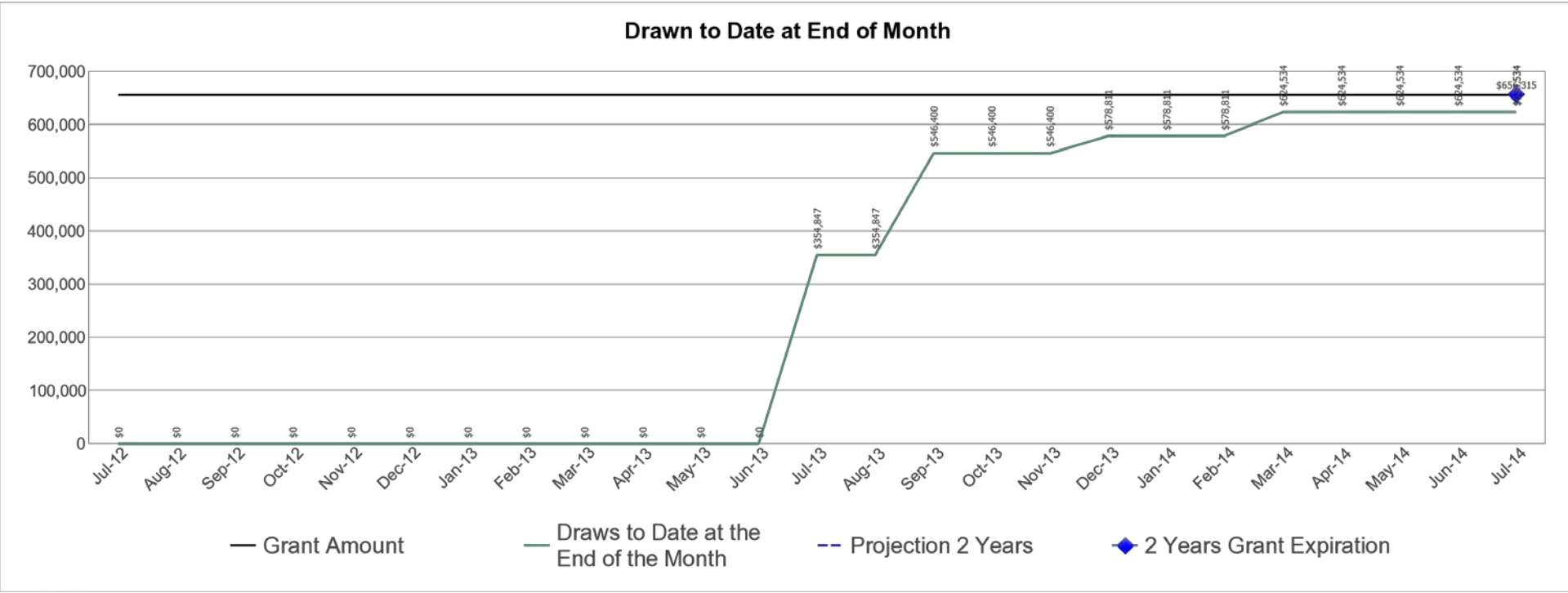


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ESG Draws By Month (at the total grant level):

Grant Amount: 656,315.00



ESG Draws By Quarter (at the total grant level):

Quarter End Date	Draws for the Quarter	Draws to Date at the End of the Quarter	% Drawn for the Quarter	% Drawn to Date at End of Quarter
09/30/2012	\$0.00	\$0.00	0.00%	0.00%
12/31/2012	\$0.00	\$0.00	0.00%	0.00%
03/31/2013	\$0.00	\$0.00	0.00%	0.00%
06/30/2013	\$0.00	\$0.00	0.00%	0.00%
09/30/2013	\$546,399.74	\$546,399.74	83.25%	83.25%
12/31/2013	\$32,411.19	\$578,810.93	4.94%	88.19%
03/31/2014	\$45,723.27	\$624,534.20	6.97%	95.16%
06/30/2014	\$0.00	\$624,534.20	0.00%	95.16%
09/30/2014	\$0.00	\$624,534.20	0.00%	95.16%



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**ESG Subrecipient Commitments and Draws by Activity Category :**

Subrecipient	Activity Type	Committed	Drawn
OAKLAND	Administration	\$49,223.63	\$48,327.89
	Total	\$49,223.63	\$48,327.89
	Total Remaining to be Drawn		\$895.74
	Percentage Remaining to be Drawn		1.82%
OPERATION DIGNITY	Street Outreach	\$142,500.00	\$129,620.97
	Total	\$142,500.00	\$129,620.97
	Total Remaining to be Drawn		\$12,879.03
	Percentage Remaining to be Drawn		9.04%
BUILDING FUTURES FOR WOMEN WITH CHILDREN	Homeless Prevention	\$11,429.00	\$11,429.00
	Rapid Re-Housing	\$68,571.00	\$68,571.00
	Total	\$80,000.00	\$80,000.00
	Total Remaining to be Drawn		\$0.00
EAST OAKLAND COMMUNITY PROJECT	Shelter	\$99,150.00	\$98,176.98
	Total	\$99,150.00	\$98,176.98
	Total Remaining to be Drawn		\$973.02
	Percentage Remaining to be Drawn		0.98%
LIFELONG MEDICAL CARE	Rapid Re-Housing	\$54,643.00	\$37,610.38
	Total	\$54,643.00	\$37,610.38
	Total Remaining to be Drawn		\$17,032.62
	Percentage Remaining to be Drawn		31.17%
FIRST PLACE FOR YOUTH	Rapid Re-Housing	\$167,724.00	\$167,723.98
	Total	\$167,724.00	\$167,723.98
	Total Remaining to be Drawn		\$0.02
	Percentage Remaining to be Drawn		0.00%
ST. MARY'S CENTER	Shelter	\$63,074.00	\$63,074.00
	Total	\$63,074.00	\$63,074.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%



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**ESG Subrecipients by Activity Category**

Activity Type	Subrecipient
Street Outreach	OPERATION DIGNITY
Shelter	EAST OAKLAND COMMUNITY PROJECT
	ST. MARY'S CENTER
Homeless Prevention	BUILDING FUTURES FOR WOMEN WITH CHILDREN
Rapid Re-Housing	BUILDING FUTURES FOR WOMEN WITH CHILDREN
	LIFELONG MEDICAL CARE
	FIRST PLACE FOR YOUTH
Administration	OAKLAND



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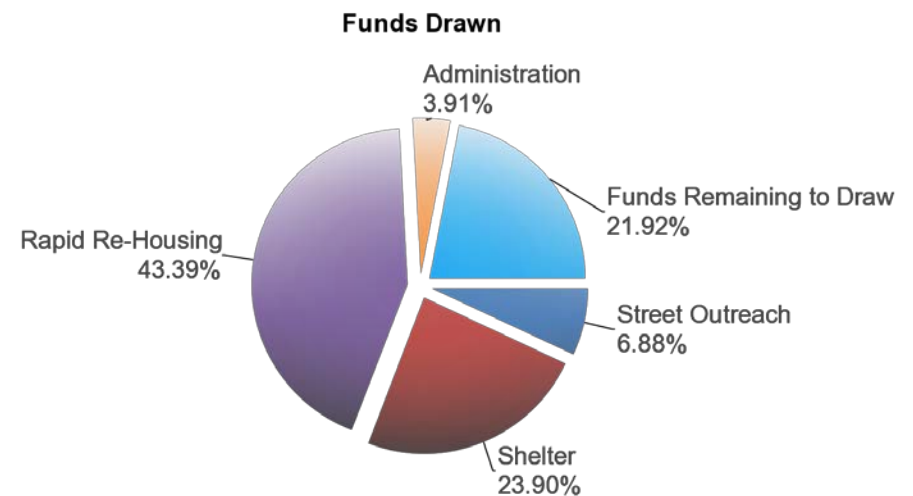
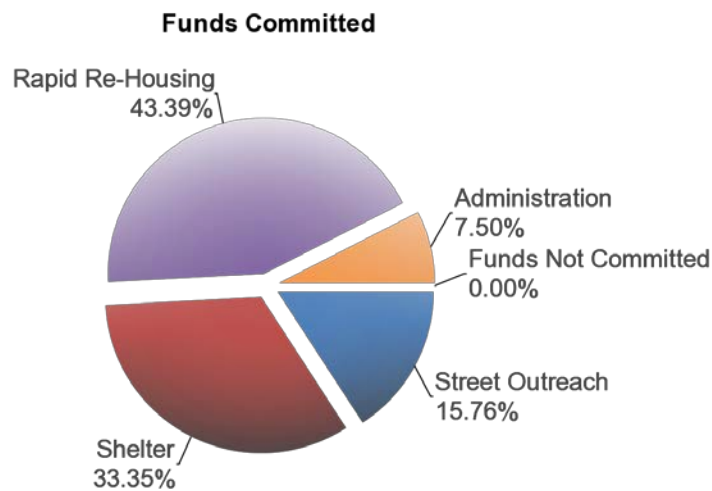
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## ESG Program Level Summary

Grant Number	Total Grant Amount	Total Funds Committed	Total Funds Available to Commit	% of Grant Funds Not Committed	Grant Funds Drawn	% of Grant Funds Drawn	Available to Draw	% Remaining to Draw
E13MC060013	\$529,210.00	\$529,209.75	\$0.25	0.00%	\$413,186.84	78.08%	\$116,023.16	21.92%

## ESG Program Components

Activity Type	Total Committed to Activities	% of Grant Committed	Drawn Amount	% of Grant Drawn
Street Outreach	\$83,407.00	15.76%	\$36,394.33	6.88%
Shelter	\$176,504.00	33.35%	\$126,504.00	23.90%
Homeless Prevention	\$0.00	0.00%	\$0.00	0.00%
Rapid Re-Housing	\$229,608.00	43.39%	\$229,606.87	43.39%
Data Collection (HMIS)	\$0.00	0.00%	\$0.00	0.00%
Administration	\$39,690.75	7.50%	\$20,681.64	3.91%
Funds Not Committed	\$0.25	0.00%	\$0.00	0.00%
Funds Remaining to Draw	\$0.00	0.00%	\$116,023.16	21.92%
<b>Total</b>	<b>\$529,210.00</b>	<b>100.00%</b>	<b>\$529,210.00</b>	<b>100.00%</b>



Street Outreach Shelter Homeless Prevention Rapid Re-Housing  
Data Collection (HMIS) Administration Funds Not Committed Funds Remaining to Draw

Street Outreach Shelter Homeless Prevention Rapid Re-Housing  
Data Collection (HMIS) Administration Funds Not Committed Funds Remaining to Draw



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### 24-Month Grant Expenditure Deadline

All of the recipient's grant must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with the recipient. Expenditure means either an actual cash disbursement for a direct charge for a good or service or an indirect cost or the accrual of a direct charge for a good or service or an indirect cost. This report uses draws in IDIS to measure expenditures. HUD allocated Fiscal Year 2011 ESG funds in two allocations. For FY2011, this Obligation Date is the date of the first allocation. This report does not list the Obligation Date, does not calculate the Expenditure Deadline, and does not track the Days Remaining for the FY 2011 second allocation.

Grant Amount: \$529,210.00

Grant Number	Draws to Date	HUD Obligation Date	Expenditure Deadline	Days Remaining to Meet Requirement Date	Expenditures Required
E13MC060013	\$413,186.84	08/31/2013	08/31/2015	377	\$116,023.16

### 60% Cap on Emergency Shelter and Street Outreach

The cap refers to the total amount of the recipient's fiscal year grant, allowed for emergency shelter and street outreach activities, and is capped at 60 percent. This amount cannot exceed the greater of: (1) 60% of the overall grant for the year; or, (2) the amount of Fiscal Year 2010 ESG funds committed for homeless assistance activities.

Amount Committed to Shelter	Amount Committed to Street Outreach	Total Amount Committed to Shelter and Street Outreach	% Committed to Shelter and Street Outreach	2010 Funds Committed to Homeless Assistance Activities	Total Drawn for Shelter and Street Outreach	% Drawn for Shelter and Street Outreach
\$176,504.00	\$83,407.00	\$259,911.00	49.11%	\$268,880.00	\$162,898.33	30.78%

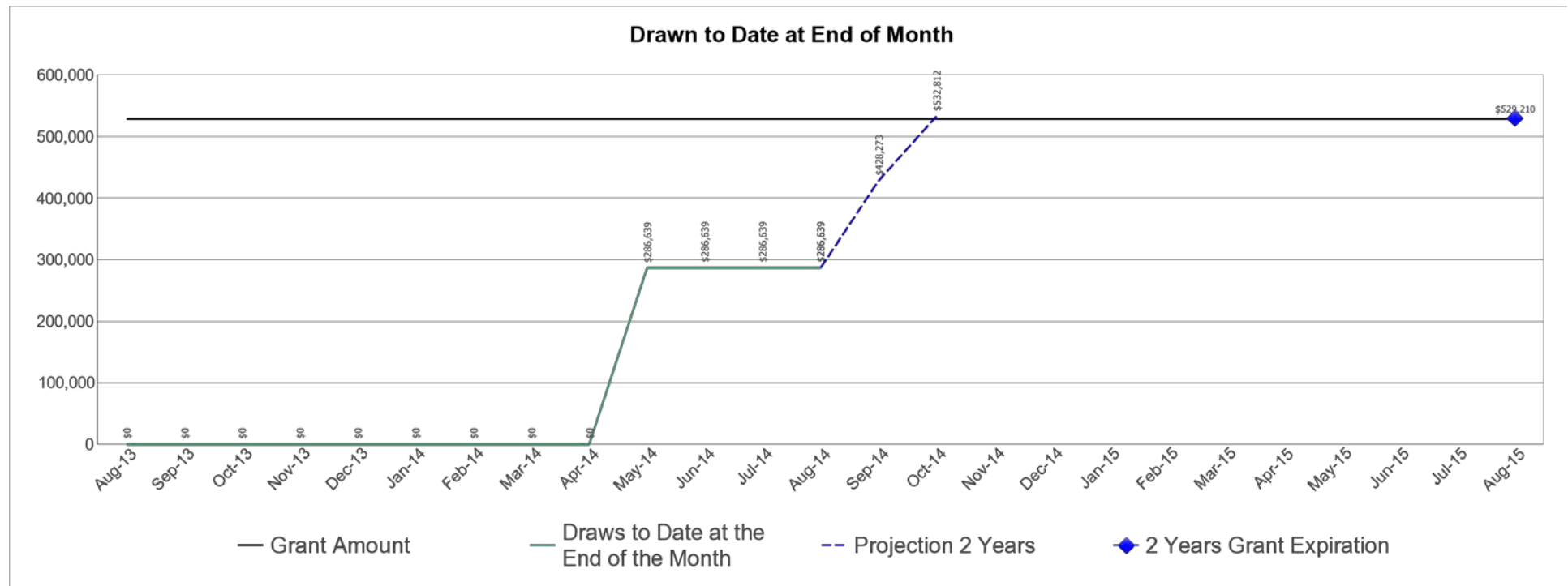


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**ESG Draws By Month (at the total grant level):**

Grant Amount: 529,210.00



**ESG Draws By Quarter (at the total grant level):**

Quarter End Date	Draws for the Quarter	Draws to Date at the End of the Quarter	% Drawn for the Quarter	% Drawn to Date at End of Quarter
09/30/2013	\$0.00	\$0.00	0.00%	0.00%
12/31/2013	\$0.00	\$0.00	0.00%	0.00%
03/31/2014	\$0.00	\$0.00	0.00%	0.00%
06/30/2014	\$286,639.13	\$286,639.13	54.16%	54.16%
09/30/2014	\$0.00	\$286,639.13	0.00%	54.16%

**ESG Subrecipient Commitments and Draws by Activity Category :**

Subrecipient	Activity Type	Committed	Drawn
OAKLAND	Administration	\$39,690.75	\$20,681.64
	Total	\$39,690.75	\$20,681.64
	Total Remaining to be Drawn		\$19,009.11
	Percentage Remaining to be Drawn		47.89%
OPERATION DIGNITY	Street Outreach	\$83,407.00	\$36,394.33
	Total	\$83,407.00	\$36,394.33
	Total Remaining to be Drawn		\$47,012.67
	Percentage Remaining to be Drawn		56.37%
BUILDING FUTURES FOR WOMEN WITH CHILDREN	Rapid Re-Housing	\$72,000.00	\$72,000.00
	Total	\$72,000.00	\$72,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
EAST OAKLAND COMMUNITY PROJECT	Shelter	\$126,504.00	\$126,504.00
	Total	\$126,504.00	\$126,504.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
FIRST PLACE FOR YOUTH	Rapid Re-Housing	\$157,608.00	\$157,606.87
	Total	\$157,608.00	\$157,606.87
	Total Remaining to be Drawn		\$1.13
	Percentage Remaining to be Drawn		0.00%
ST. MARY'S CENTER	Shelter	\$50,000.00	\$0.00
	Total	\$50,000.00	\$0.00
	Total Remaining to be Drawn		\$50,000.00
	Percentage Remaining to be Drawn		100.00%

**ESG Subrecipients by Activity Category**

Activity Type	Subrecipient
Street Outreach	OPERATION DIGNITY
Shelter	EAST OAKLAND COMMUNITY PROJECT
	St. Mary's center
Rapid Re-Housing	BUILDING FUTURES FOR WOMEN WITH CHILDREN
	FIRST PLACE FOR YOUTH
Administration	OAKLAND

# HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)

## 1. Specific HOPWA Submission Requirements

The City of Oakland is the recipient of HOPWA funds for the Oakland Eligible Metropolitan Area (EMA) consisting of Alameda and Contra Costa Counties. The City allocates funds to Contra Costa and Alameda Counties for distribution within their respective counties through a Request For Proposals (RFP) process. By agreement, HOPWA funds are distributed between Alameda and Contra Costa counties based on the relative proportion of AIDS cases in the two counties. Two percent of the HOPWA grant is allocated to the City for costs associated with administering the grant. One percent is of the grant will go to Alameda County for their associated grant administration costs.

### **Urgent Housing and Supportive Service Needs Not Currently Being Addressed**

Estimating the number of homeless people who are HIV positive is difficult. Many people that are infected are unaware of, or do not reveal their status until they have developed full blown AIDS. Estimates of HIV positive/AIDS cases are therefore based on numbers of known AIDS cases. These estimates provide one basis for assessing the number of people with urgent housing and supportive service needs.

The Oakland EMA utilizes the U.S. Health Resources and Services Administration (HRSA) unmet needs framework to estimate the total number of individuals with unmet HIV care needs living in the Oakland EMA. The following estimates were prepared in collaboration with the California Department of Health Services. Of those estimated to be living with HIV/AIDS in the Oakland EMA, 16% demonstrated an unmet need of HIV/AIDS primary medical care, 25% indicated they needed more case management, 34% stated they needed more dental services; Emergency Financial Assistance was cited by 32%; Food voucher 29%; Food and household items 25%; transportation 23%, Housing Assistance 25%; and therapy and counseling 20%. Another indispensable component in the continuum of effective HIV care is personalized and assertive HIV prevention education and support for persons living with HIV and AIDS.

### Alameda County

In the late 1990's, the National Commission on AIDS estimated the rate of HIV infection among homeless people to be between 15 and 25 percent.<sup>[3]</sup> The National Coalition for the Homeless 1999 studies indicate that the prevalence of HIV among homeless people is between 3-20%, with some subgroups having a much higher burden of the disease. The 1997 Alameda County HIV/AIDS Epidemiology and Surveillance Office reported that more than 13,500 individuals had been infected with HIV in Alameda County since the early 1980s.

Today, studies still indicate the prevalence of HIV among homeless people is between 3-20%. People who are homeless have higher rates of chronic diseases than those who are housed. Further those who are living with HIV/AIDS are at a higher risk of becoming homeless<sup>[4]</sup>.

The threat of homelessness remains an ominous and continual fact of life in the Oakland EMA for most low-income persons living with HIV and AIDS. According to a report by the National Low

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<sup>[3]</sup> Alameda County-Wide Homeless Continuum of Care Plan - 1997

<sup>[4]</sup> HIV/AIDS and Homelessness – National Coalition for the Homeless – June 2006

Income Housing Coalition, both Alameda and Contra Costa County rank among the seven least affordable counties in the entire United States in terms of costs of rental housing. Because of the high costs of housing and low vacancy rates in the two counties, on any given night it is estimated that 15,000 individuals are homeless on the streets of the Oakland EMA, a rate of 626.9 per 100,000 population. Over the course of a given year, an estimated 42,000 men, women, and children will find themselves without an adequate place to find shelter. The Contra Costa County HIV/AIDS Housing Survey, conducted in late 1995 among persons living with HIV throughout the county, found that 31% of respondents had experienced homelessness since learning of their HIV status; 35% of respondents had experienced at least one episode of homelessness within the past five years; and 4% of respondents were currently homeless, living on the streets or in cars, abandoned buildings, or shelters. In addition, the California Comprehensive Housing Assistance Plan estimates that 25% to 30% of the state's homeless suffer from severe mental illness, while the Contra Costa County Drug and Alcohol Needs Assessment estimates that between 23% and 40% of the homeless population abuses drugs or alcohol. Another 5% to 10% of California's homeless population is estimated to be runaway youth, according to the Comprehensive Housing Assistance Plan.<sup>1</sup>

The Alameda County Public Health Department's AIDS Epidemiology Report (July 2007)<sup>2</sup> indicated a total of 7,064 diagnosed cases of AIDS from 1980 through 2006.

From March 1983 through December 2011, a total 8,235 AIDS cases have been diagnosed in Alameda County<sup>3</sup>. In the December 2011 California Department of Public Health (CDPH) HIV/AIDS Surveillance report, it is reported that a total of 3,689 cases of people living with AIDS (PLWA) in Alameda County. As of December 2011, a total of 1,466 cases of people living with HIV are reported. Among Alameda County PLWA, the majorities are African Americans, Whites, Hispanic, adults age 30-39, and men who have sex with men.

The largest number of AIDS cases diagnosed in a single year from 1980 - 2010 in Alameda County occurred in 1992 (621 cases). Since then, there has been a steady decline in the number of cases diagnosed each year with 98 PLWA diagnosed in 2010. While the case rates in Alameda County (per 100,000 residents) have consistently declined since 1992, it has historically been higher than both the State of California and national rates. In 2006, the AIDS case rates in Alameda County were 10.9 per 100,000 residents. The decreasing trend in AIDS case rates is similar to those observed for California and the United States.

In 2013 California Department of Public Health reported an estimated 3854 in Alameda County currently living with AIDS.

The AIDS fatality rate has continued to decline each year. Increased availability of anti-retroviral therapies, improved clinical management and earlier diagnosis has resulted in longer life expectancy for individuals infected with HIV/AIDS.

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<sup>1</sup> OAKLAND, CALIFORNIA ELIGIBLE METROPOLITAN AREA 2006 – 2009 COMPREHENSIVE HIV SERVICES PLAN

<sup>2</sup> AIDS Epidemiology Report for Alameda County, published in July of 2007 for year ending December 31, 2006.

<sup>3</sup> California Department of Health HIV/AIDS Surveillance In California Report, December 2011.  
<http://www.cdph.ca.gov/programs/aids/Documents/SSSemiAnnualRptDec2011.pdf>

## Contra Costa County

From March 1983 to December 31, 2011, a total of 1,262 cases of people living with AIDS (PLWA) in Contra Costa County and 676 are reported as living with HIV. CPDH reported in the December 2011 HIV/AIDS Surveillance report an estimated cumulative total of 3,072 diagnosed cases of AIDS and 721 diagnosed cases of HIV from March 1983 through December 2011. According to the California Department of Public Health there are 1,308 people living with AIDS in Contra Costa County.

The largest number of AIDS cases diagnosed in a single year from 1982 - 2010 in Contra Costa County occurred in 1992 (247 cases). Since then, there has been a steady decline in the number of AIDS cases diagnosed each year. The number of diagnosed HIV cases have risen from 1992 to 2002, the highest reported cases in 2002 (approximately 87 cases). HIV reported cases has since tapered between 62 and 74 cases. Increased availability of anti-retroviral therapies, improved clinical management and earlier diagnosis has resulted in longer life expectancy for individuals infected with HIV/AIDS.

Proposed HOPWA activities for the Alameda & Contra Costa Counties will increase housing development within the Oakland EMA, with units set-aside for persons with HIV/AIDS and their families. Each of the counties will release Notifications Of Funding Available (NOFA) for HOPWA services awarding those agencies successful in providing needed HIV/AIDS services identified as priority within the EveryOne Home Plan and Contra Costa County Consolidated Plan.

### **Public and Private Resources Expected to be Made Available in Connection with HOPWA Activities**

Housing development for people with HIV/AIDS will continue to leverage local government funds such as HOME, CDBG, Community Services Block Grant (CSBG) and/or Redevelopment Agency funds, and private sector financing. Supportive services funding will be supplemented through the Ryan White CARE Act Title II funds, private donations, local General Purpose Funds, local public funds, the AIDS Drug Assistance Program, the State of California and Home-Based Care Program, and, the Residential AIDS Shelter Program.

### **Method of Selecting Project Sponsors**

#### Alameda County

The EveryOne Home Plan (Alameda Countywide Homeless and Special Needs Housing Plan) identifies priority areas for HIV/AIDS housing and services, including those provided under the HOPWA program. Requests for Proposals or Requests for Letters of Interest will be developed for the selected priorities, and distributed widely to interested developers and service providers. Proposals will be reviewed according to criteria established in the RFP/RLOI by City and County staff, and, where appropriate, by review panels convened from the community. Alameda County will oversee this process and will make recommendations to the City of Oakland for final approval. Evaluation of the HOPWA priorities set will be ongoing and shared responsibility of the Alameda County Office of AIDS and the Oakland EMA Collaborative Community Planning Council.

#### Contra Costa County

The Contra Costa County 2010-14 Consolidated Action Plan identifies priority areas for HIV/AIDS housing and services, including those provided under the HOPWA program. HOPWA funds for supportive services will be awarded through a competitive application process executed by the AIDS Program of the Contra Costa County Health Services Department. HOPWA funds used for development of affordable rental housing for people with HIV/AIDS, will be awarded through a competitive application process involving Contra Costa's Entitlement Jurisdictions: the cities of Antioch, Concord, Pittsburg, Richmond, and Walnut Creek, and the Urban County - and the Title I Ryan White Planning Council. Applications will be reviewed by the participating jurisdictions according to criteria established in the HOPWA application, with recommendations submitted to the Contra Costa County Board of Supervisors for approval.

## **2. ATTACHMENT A**

### **GRANTEE AND COMMUNITY OVERVIEW:**

The City of Oakland is the grantee for the Oakland Eligible Metropolitan Statistical Area (EMSA) under HUD's Housing Opportunity for Persons With AIDS (HOPWA) formula grant. HUD selected the City of Oakland in 1993 as the designated grantee for the Oakland Eligible Statistical Metropolitan Area (EMSA) due to Oakland being the most populous unit of general, local government in the EMSA. The Oakland EMSA includes Alameda County which encompasses the cities of Alameda, Berkeley, Fremont, Hayward, Livermore, Oakland, San Leandro, Union City and select cities in Contra Costa County which include Antioch, Concord, Richmond and Walnut Creek. The Oakland EMSA is geographically, ethnically and economically diverse, spanning 1,458 square miles. Approximately 1.5 million people reside within the Oakland EMSA<sup>1</sup>, with an estimated 13,822 cumulative HIV/AIDS cases from 1983 – 2012<sup>2</sup>

Alameda County Housing & Community Development Department<sup>3</sup> and Contra Costa County Community Development Department<sup>4</sup> are the project sponsors for the City's HOPWA grants. A wide range of AIDS housing and related services are administered by and through each of the counties. Said services include, but not limited to housing and benefits advocacy, HIV/AIDS housing (community residence housing, family housing, single resident occupancy housing, transitional housing, and permanent supportive housing), tenant services, end-stage care, substance abuse counseling, mental health services, service enriched emergency housing and other supportive services for people with HIV/AIDS and their families. HIV/AIDS housing developments are implemented to increase HIV/AIDS housing inventory throughout Alameda County and Contra Costa County through new construction projects, rehabilitation and renovation projects, and housing set-asides for special needs populations.

In FY 2013-14 the Oakland EMSA HOPWA program provided, permanent supportive housing and housing assistance to approximately 155 persons living with HIV/AIDS and their families.

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<sup>1</sup> 2011 census totals for Alameda County (1,554,720) and Contra Costa County (1,079,597), <http://quickfacts.census.gov/qfd/states/06/06001.html>

<sup>2</sup> CDPH Office of AIDS, 2011 California HIV/AIDS Surveillance Report

<sup>3</sup> Alameda County Point of Contact: Hazel Weiss, Community Development Manager – [hazel.weiss@acgov.org](mailto:hazel.weiss@acgov.org)

<sup>4</sup> Contra Costa County Points of Contact: Kristin Sherk, Housing Planner - [Kristin.Sherk@dcd.cccounty.us](mailto:Kristin.Sherk@dcd.cccounty.us) or Carmen Bayer, Program Coordinator of Client Services - [cbeyer@hsd.cccounty.us](mailto:cbeyer@hsd.cccounty.us)

Information and referral services were provided to more than 1,500 households for HIV/AIDS housing and other services. Twelve new units of HOPWA housing were completed in FY 2013/14. Acquisition, rehabilitation and/or development efforts for additional HIV/AIDS living units is underway for completion during fiscal year 2013-2014 which will produce 42 new HOPWA units.

### **3. ATTACHMENT B**

#### **ANNUAL PERFORMANCE UNDER THE ACTION PLAN**

The Oakland EMSA, comprised of Alameda and Contra Costa Counties distributes annual HOPWA awards to the two counties based on the number of People Living With AIDS (PLWA) as reported in each of the county's latest (prior year) Epidemiology reports. PLWA totals for both counties are added to provide total number of PLWA in the Oakland EMSA. Each County's individual PLWA total is divided by the Oakland EMSA PWLA total, yielding each County's percentage share of PLWA cases in the Oakland EMSA. This percentage is then applied to the fund allocation of HOPWA service and housing funds. The PLWA percentages results are applied to the distribution of the overall grant award to the member counties for housing, services and project sponsor administration.

Once funds are allocated to each county, the counties then publish Notices of Fund Availability (NOFA) of HOPWA funds. In each NOFA, housing and service priorities are outlined. Applicants submit proposals based on the stated housing and service priorities (to be accomplished in a 2-3 year period). Once proposals are ranked, proposed HOPWA projects are submitted to the City for approval. As a result, the following activities were accomplished during the 2013/14 fiscal year:

#### **SERVICES**

The 97 units developed with HOPWA development funds since 2004 have housed 129 persons in Alameda County for fiscal year 2013-2014. HOPWA units are located in Alameda, Emeryville, Oakland, Unincorporated Alameda County, and Berkeley within 12 different and diverse neighborhoods. The locations of the HOPWA units are consistent with the Grantee's plan to serve persons living with HIV/AIDS (PLWHA's) throughout the County. The households served include seniors, individuals, and families.

Alameda County funded agencies to provide licensed care housing, shelter, housing information services, financial assistance and permanent supportive housing through the following Agencies: Eden Information and Referral (Eden I&R) Services, Alameda Point Collaborative (APC) Walker House and the East Oakland Community Project (EOCP).

Eden I&R provided housing information services to over 1500 households. The agency gained additional access to America's Health Insurance Plan (AHIP) database which includes 79,615 market rate, subsidized, and low-income housing units in 7,444 buildings. The ability to access this database increases the permanent housing outcomes by providing leads that can lead to

immediate permanent housing. The AHIP housing database contains 34 buildings that include 192 dedicated HOPWA units.

Alameda Point Collaborative is a supportive housing community that uses its resources to help families and individuals break the cycle of homelessness and poverty. HOPWA funds provided permanent housing, with services to clients at the Alameda Point Collaborative during the 2013-2014 fiscal year. All HOPWA funds were utilized to cover operating expenses for 11 units of permanent supportive housing. APC's primary goal is to enable residents to obtain and maintain permanent housing and in this extent over 90% of APC residents maintained housing for a period of one year or more.

Designed to respond to the needs of the AIDS epidemic, Walker House in Oakland through the Ark of Refuge, Inc., provides housing, direct services, education and training for persons affected by HIV/AIDS in the Bay Area. Walker House provided licensed care services and alcohol and drug abuse treatment to 6 residents at the Walker House facility in Oakland. During the HOPWA operating year, YAFF terminated its contractual agreement with Alameda County and ceased to serve HOPWA clients early 2014.

East Oakland Community Project provided shelter for 58 individuals living with HIV/AIDS during the reporting period. Over the past few years, EOCP has focused its energy on assuring that HIV positive residents are receiving health care. To accomplish this goal, various efforts were made by staff to assess, schedule and/or confirm their connection to a health care provider. During the report period, staff encouraged residents to check in with the wellness coach and meet regularly with the medical case manager regarding their health. To that end, twenty individuals living with HIV were referred to Crossroads via, health clinic contact and through street outreach.

Contra Costa County AIDS/STD Program contracted with Contra Costa Interfaith Housing (CCIH) and Greater Richmond Interfaith Program (GRIP) to provide housing advocacy services to HIV+ individuals in Contra Costa County. The CCIH housing program is part of a larger medical case management and support service offered by Contra Costa County's HIV/AIDS Program. CCIH's Housing Advocacy Program assists vulnerable residents in central and east county to find and keep affordable and decent housing. Referrals for this program come directly from the county's HIV/AIDS case management team.

GRIP's Permanent Housing Program targets people who've experienced chronic homelessness and physical, mental, emotional and physical or developmental disabilities, substance abuse, or complications from HIV/AIDS. The project's goal is to serve dually diagnosed individuals. The AIDS Program also utilizes HOPWA funds to provide utility assistance to HIV+ individuals. This agency provides housing advocacy services which include intake, needs assessments and assistance with completing applications for medical and income benefits.

Contra Costa County's subcontractors, CCIH and GRIP, goal is to assist clients in acquiring and/or maintaining housing that will help stabilize their lives in such a way that they are better able to access medical care and other services that will prolong life and improve client health outcomes. Both agencies to provide bilingual services for their clients. GRIP and CCIH combined, provided housing advocacy services and utility assistance to 74 clients during the HOPWA reporting period.

## **HOPWA Developments**

Two HOPWA properties were completed in FY 2013-2014 and future HOPWA dedicated units are underway for both Alameda and Contra Costa Counties. Development of 42 HOPWA dedicated units planned with expected completion dates ranging from 2014 to 2016. Alameda County is currently planning construction for 16 units and Contra Costa County has 26 HOPWA units in development. Project descriptions and updates for recently completed projects, pending developments, and current stewardship properties are provided below:

### **Completed HOPWA Developments**

Project: Cathedral Gardens

Developer: EAH Inc.

Total Units: 100

HOPWA units: 8

Project Status: Project was completed March 2014

Cathedral Gardens is an affordable rental housing community designed for families. Centrally located near downtown, 1/4 mile from BART, the community consists of 100 apartment homes built on an ideal infill site. The development incorporates a mix of new construction and historic rehabilitation. Two new buildings, one three-story and the other four-stories, are currently being constructed on either side of a rehabilitated 110 year old historic Rectory building. Eight of the 100 units are dedicated to persons living with HIV/AIDS.

Project: California Hotel

Developer: East Bay Area Local Development

Total Units: 150

HOPWA units: 4

Project Status: Project was completed December 2013

The California Hotel provides affordable homes for 137 households. The rebuilding of the California Hotel deepens EBALDC's involvement in West Oakland, particularly the San Pablo Avenue corridor. This effort is a key component of our Healthy Neighborhoods initiative: a commitment to transform Oakland's disadvantaged neighborhoods into healthy ones where residents have access to stable housing, healthy food, services, and educational and cultural opportunities. The Hotel provides four studio units for HOPWA clients.

### **HOPWA Development Projects In Progress**

Project: 1701 MLK

Developer: Resources for Community Development

Total Units: 25

HOPWA units: 12

HOPWA Funding: \$1,200,000

Project Status: The County closed its HOPWA loans, received tax credit allocations and plans to close its construction financing. Construction started in Spring 2014 and is expected to be complete by August 2015.

Program Barriers: None

1701 Martin Luther King Jr. Way (1701 MLK) is a new construction project of 25 units of apartments for individuals with special needs and one manager's unit. The units will be restricted to tenants with incomes between 20% and 50% Area Median Income (AMI). The 25 units will be supportive housing, seven of which will be reserved for individuals who are chronically homeless and 18 units for individuals who are homeless or at risk of homelessness. Twelve (12) of the units will be set aside for persons with HIV/AIDS.

Project: Grayson Street

Developer: Satellite for Affordable Housing Associates

Total Units: 23

HOPWA units: 3

HOPWA Funding: \$360,000

Project Status: The County is closing its HOPWA loan and will soon submit an invoice for predevelopment costs. The project plans to apply for tax credits in July 2014.

Expected Completion Date: December 2016

Program Barriers: The City of Berkeley Housing Authority has postponed their issuance of Project-Based Section 8 vouchers on which the project is dependent upon. The Housing Authority may issue the vouchers in the future if their budget allows it. The developer is searching for another subsidy to replace Section 8 just in case the vouchers are not available. This may impact the schedule.

The current building will be demolished to build Grayson Street Apartments, a new construction development consisting of 23 units. SAHA will develop the project and will own and manage the residential portion of the building. The completed project will consist of: a single four-story, building with an elevator. Grayson Street Apartments will contain 23 one and two -bedroom units, including one two-bedroom manager's unit. The units will be set aside for households with incomes at 30% to 50% of area median income. Seventeen of the 23 units will be set-aside for disabled households, including five units that will be designated for people living with HIV/AIDS

Project: The William Byron Rumford, Sr. Plaza

Developer: Resources for Community Development

Total Units: 43

HOPWA units: 4

Project Status: Major Rehabilitation Began in 2013

The William Byron Rumford, Sr. Plaza, administered by Resources for Community Development for Alameda County, located at 3017 Stanton Street, Berkeley is a 43-unit project for households at or below 50% AMI. This property will be rehabilitated which will include repainting the exterior, installing new sidings, replacing railings and archways, and roof replacements. Energy efficient lighting, appliances, windows and plumbing will be installed. Improvements and updates to common areas will be made as well. Four of the 43 units will be dedicated to people living with AIDS.

Project: Ohlone Gardens

Developer: Resources for Community Development

Total Units: 57  
HOPWA units: 5  
Project Status: 2015 Estimated Completion

Resource for Community Development (RCD) is the developer of the Ohlone Gardens project in El Cerrito for Contra Costa County. This project is a new construction of 57 units of rental units affordable to and occupied by lower income families. Five units will be designated as HOPWA assisted funds. The construction start date was April 2014 and construction is expected to continue for approximately 18 months through October 2015.

Project: Berrellesa Palms  
Developer: Resources for Community Development  
Total Units: 49  
HOPWA units: 3  
Project Status: 2014 Estimated Completion

RCD is also the developer of the Berrellesa Palms project in Martinez. The project involves new construction of a 49-unit affordable rental complex, to be occupied by lower-income seniors. Three one bedroom units will be designated as HOPWA units. Construction is complete and lease up is expected to be completed in September 2014.

Project: Riley Court  
Developer: Resources for Community Development  
Total Units: 48  
HOPWA units: 5  
Project Status: Construction began March 2014

RCD is the owner of the Riley Court project in Concord. This project includes rehabilitation of 48 units of rental units affordable to and occupied by lower income families. Five units will be designated as HOPWA assisted units. Phase 1 of construction began in October 2011 and completed in December 2011. Phase II of construction is began March 2014.

Project: Third Avenue Apartments  
Developer: Satellite Housing  
Total Units: 47  
HOPWA units: 2  
Project Status: Construction began in December 2013

Satellite Housing Inc. (Satellite) is the developer of the Third Avenue Apartments project in Walnut Creek. Satellite was awarded HOPWA funds for the new construction of 47 units of rental units affordable to and occupied by lower income families. Two one bedroom units will be designated as HOPWA assisted. Satellite expects began construction in December 2013.

Project: Tabora Gardens  
Developer: Satellite Housing  
Total Units: 85

HOPWA units: 5

Project Status: Construction is expected to begin in 2015

Satellite Housing Inc. (Satellite) is the developer of the Tabora Gardens project in Antioch. Contra Costa County Board of Supervisors approved the project in February 2014. Satellite proposes to provide 85 units of multifamily rental housing affordable to and occupied by lower income families. Five one bedroom apartments will be designated as HOPWA assisted units. Construction is expected to begin in 2015.

Project: Riviera Family Apartments

Developer: Resources for Community Development

Total Units: 56

HOPWA units: 5

Project Status: Pre-Development/Financing Stage

Resources for Community Development are the developer of Riviera Family Apartments the project in Walnut Creek. Contra Costa County Board of Supervisors approved the project in February 2014. RCD proposes to provide 56 units of multifamily rental housing affordable to and occupied by lower income families. Five studio apartments will be designated as HOPWA assisted units. The project is currently in the early phases of pre-development.

#### HOPWA Projects in Stewardship

Project: Park Alameda (formerly The Alameda Islander)

Developer: Resources for Community Development

Total Units: 62

HOPWA units: 9

Project Status: Renovation completed April 2013

Project Description: The Park Alameda (formerly the Alameda Islander) transforms a 40-year old blighted motel into 62 units of permanent, green, and affordable workforce housing. It serves one- and two-person households with incomes from 20% to 50% of Area Median Income. Previous residents who qualify based on income, household size, and special needs had the option of moving back into the renovated property.

Two new structures, an office building and a community room, accommodate onsite resident services and property management. Green and sustainable features have been incorporated into the renovation as well. Nine of the sixty-two units are set aside for HOPWA eligible clients.

Project: The Ambassador

Developer: Resources for Community Development

Total Units: 69

HOPWA units: 5

Project Status: Completed March 2013

Project Description: Located on the Oakland/Emeryville border, the Ambassador is a new construction project of 69 units of affordable, service-enriched housing for families and persons living with HIV/AIDS. The site is that of the former Ambassador Laundry, owned by the City of

Emeryville. The property serves households with incomes at or below 50% Area Median Income (AMI). To promote green building, the project is equipped with solar thermal pre-heated hot water for domestic hot water and space heating, and construction waste recycling. All units will be adaptable for people with disabilities.

A pre-application was announced for units at The Ambassador. The lease waiting list closed in June of 2013. All pre-applications submitted prior to the June 21, 2013 deadline are included in a random lottery. Applicants whose names are selected from the lottery will be contacted by mail with additional information. The Ambassador is expected to open in October 2013.

Project: Clinton Commons (formerly 720 E. 11th Street)

Developer: Resources for Community Development

Total Units: 55

HOPWA units: 3

Project Status: Completed October 2012

Project Description: Clinton Commons, formerly "720 E. 11th Street" is the development of 55 new affordable housing units with one manager's unit on this site. The design consists of two four-story buildings with 9 live/work units and 46 standard apartments. The development consists of one to four-bedroom units. Targeted incomes range from 30% to 60% of Area Median Income, with three units set aside for households living with HIV/AIDS and five set aside for households experiencing mental health concerns. Mental Health Services Act eligible tenants and HOPWA tenants will have access to additional supportive services provided within the two programs.

Project: Erna P. Harris

Developer: Resources for Community Development

Completion Date: November 2011

Total Units: 35

HOPWA units: 5

Project Description: Erna Harris was constructed in 1952, and in 1994 RCD purchased the property and made renovations. The development includes 4 buildings, including a community room with laundry facilities, services and resources office, and a manager's office. There is also a central outdoor courtyard for the tenants. RCD partnered with Lifelong Medical Care, Toolworks and Bonita House to provide a variety of supportive services including intensive case management and employment counseling.

Project: Fairmount Apartments

Developer: Affordable Housing Associates

Total Units: 31

HOPWA units: 4

Project Status : Completed May 2011

Project Description: The Fairmount Apartments project is the acquisition and substantial rehabilitation of a 35-unit apartment building to create 31 units of permanent affordable housing, including expanded community and office spaces. The site serves households at affordability levels of 20-50% of Area Median Income. Four of the one bedroom units are set aside for persons living

with HIV/AIDS. The service provider for the project, Toolworks, provides life skills, employment training development, case management, budgeting, and nutrition services. The scope of work consisted of seismic upgrading, wheelchair access improvements, exterior façade upgrades, and the replacement the roof, windows, and hot water system.

Project: Merritt Crossing Senior Apartments (formerly 6th and Oak Senior Apartments)  
Developer: Satellite Affordable Housing Associates (formerly Affordable Housing Associates)  
Total Units: 70  
HOPWA units: 3  
Project Status: Completed May 2012

Merritt Crossing Senior Apartments includes 70 apartments affordable to seniors with incomes between 30 and 50 percent of median. The development features 5 studios, 55 one-bedroom units, 10 two-bedroom units and an enclosed garage with 18 parking spaces. Three of the 70 units are dedicated to HOPWA clients. The open space provides space for a community garden for residents, and also encourages physical exercise and social interactions among residents. The community room includes computer workstations; drop-in computer classes are provided at no cost to residents

The project has received a fifteen-year commitment for fifty (50) Oakland Housing Authority project-based Section 8 vouchers that will ensure that the apartments are affordable.

Project: Tassafaronga Phase II  
Developer: Oakland Housing Authority  
Total Units: 20  
HOPWA units: 5  
Project Status: Completed May 2010

Project Description: The Tassafaronga Village project is a formerly 87 unit, severely distressed public housing development. The 87-unit building was demolished to construct the first phase of 137 new residential units. Phase 2, for which HOPWA funding was used for, is the adaptive reuse of the existing two-story, 20,000 square feet Pasta Factory that created 20 residential units. Nineteen of the units are set aside for households that are homeless or at risk of homelessness with incomes at no more than 30% of area median income and five units are HOPWA specific.

The AIDS Project of the East Bay (APEB) has an on-site clinic providing case management and other supportive services for residents. The clinic provides ambulatory care, physical examinations, immunizations and diagnostics.

Project: Fox Courts  
Developer: Resources for Community Development  
Total Units: 80  
HOPWA units: 6  
Project Status: Completed June 2009

Project Description: Fox Courts is a high-density urban infill project consisting of 80 extremely low and very low-income units. It serves a range of households and income levels including families with children and foster youth earning no more than 60% of area median income. In addition, four units are designated for Mental Health Services Act (MHSA)-eligible tenants.

Project: Oxford Plaza

Developer: Resources for Community Development

Total Units: 97

HOPWA units: 4

Project Status: February 2009

Project Description: Oxford Plaza has 97 units with four units set aside for persons with mental illness and four of the units for people living with HIV/AIDS. Oxford Plaza serves extremely low, low- and very low-income individuals and families with children. Located in the City of Berkeley, Oxford Plaza is a model for green design and sustainable building, incorporating high energy efficiency design during the construction phase. All of the units are designed to be adaptable to accommodate the varying physical needs of future tenants with 5% of the units being fully disabled-accessible. The development is a mixed-use project that includes an environmental center with retail and commercial space, and a below grade public parking garage.

Project: Lorenzo Creek/Housing Alliance.

Developer: Allied Housing, Inc.

Total Units: 28

HOPWA units: 2

Project Status: Completed 2006

Project Description: Located in Castro Valley, the Housing Alliance Project is 28-units of permanent affordable and supportive housing for formerly homeless individuals and families with children. The housing units are affordable to very low-income households and include on-site and off-site supportive services. Universal design features are incorporated throughout the entire development. The unit mix includes 1, 2 and 3 bedroom units and all are adaptable and accessible to persons with disabilities. The development also includes office space for property management and supportive services, a laundry room, elevator, two community rooms, courtyards and 1.5 parking spaces per unit.

Project: Walker House

Developer: Yvette A. Flunder Foundation

Completion Date:

Total Units: 10

HOPWA units: 6

Project Status: December of 2005

Project Description: The Ark of Refuge was awarded HOPWA funds for the rehabilitation of Walker House in Oakland. The building provides 10 units of permanent and transitional shared housing for persons living with HIV/AIDS. The rehabilitation included installing disabled access doors, emergency lighting for hearing impaired, enhanced security and improvement to community rooms for service delivery to residents, i.e. computer stations, computer equipment, exercise equipment, an entertainment system and retrofitting windows for ventilation.

Project: Eastmont Court Apartments  
Developer: Resources for Community Development  
Total Units: 19  
HOPWA units: 4  
Project Status: Completed February 2005

Project Description: Eastmont Court, a new construction project in Oakland is a 19-unit development. The project consists of nine one-bedroom units, ten two-bedroom units and a manager's unit. Of these units four are be HOPWA units (three 1-BR and one 2-BR). The development is conveniently located near transportation and the Eastmont Mall, where tenants have access to many social and commercial services.

Project: East Oakland Community Project  
Developer: East Oakland Community Project  
Total Units: 100  
HOPWA units: 25  
Project Status: Completed 2007

Project Description: EOCP is a new construction emergency shelter in Oakland. The project accommodates over 100 homeless individuals, families, and people with HIV/AIDS. The new structure serves as an emergency housing facility and provides over 8,000-sq. ft. of office, services, and living space for EOCP. On site amenities include a communal dining room, a commercial grade kitchen, patio, respite care area, offices for counseling, computer room, and meeting space.

Project: Garden Park Apartments  
Developer: Resources for Community Development  
Total Units: 27  
HOPWA units: 6  
Project Status: Completed 2004

Project Description: Garden Park Apartments: This apartment complex is home to 27 formerly homeless families. Many of these families have lived on the streets and in shelters, and their children have moved from school to school. Many have never had stable housing. Families pay 30% of their gross income for rent and hold a lease; they may live at Garden Park for as long as they need to, providing they meet the requirements of their lease. CCIH co-owns Garden Park Apartments with Mercy Housing California. Garden Park Apartments opened in December 2004 and is the first and only permanent supportive housing program that is designated for all formerly homeless families with parents who have disabilities.

Project: Bella Monte Apartments  
Developer: Resources for Community Development  
Total Units: 52  
HOPWA units: 5  
Project Status: Completed 2005

Project Description: Bella Monte Apartments is a 52 unit apartment complex located in Bay Point, Los Medanos Village (Pittsburg) is a 71 unit apartment complex located in Pittsburg, both of these sites are owned by Resources for Community Development (RCD). These programs offer affordable housing to low-income families. CCIH offers afterschool programs, case management, and mental health services to these families and their children with the goals of helping them remain housed, increase self-reliance, and succeed academically.

Project: Lakeside Apartments  
Developer: Resources for Community Development  
Total Units: 124  
HOPWA units: 5  
Project Status: Completed 2005

Project Description: Lakeside Apartments is a 124 unit affordable housing program owned by RCD. Beginning this fall, CCIH started providing case management and youth enrichment services to this predominately Spanish speaking local community.

Project: Lillie Mae Jones Plaza  
Developer: East Bay Asian Local Development Corporation  
Total Units: 26  
HOPWA units: 5  
Project Status: Completed 2011

Project Description: Lillie Mae Jones Plaza is a 26-unit new construction rental development to be located at the corner of Macdonald Avenue and 2nd Street in Richmond, CA. The project is a joint venture between Community Housing Development Corporation and the East Bay Asian Local Development Corporation. The development includes one, two, three, and four bedroom units whose rents will be set to serve households with incomes below 45% of the area median income.

Project: Villa Vasconcellos  
Developer: Resources for Community Development  
Total Units: 70  
HOPWA units: 3  
Project Status: Completed 2008

Project Description: Villa Vasconcellos is a senior housing project located in Walnut Creek, CA. This is one of the first green multi-family housing projects in the city, with solar energy and hot water heating. Designed for independent seniors, the modern two- and three-story building contains fully accessible and adaptable units, organized around two courtyards for gatherings, outdoor exercise and community functions.

#### Goals and Outcomes Overview

The City projected in FY 2013-2014 to assist approximately 370-500 persons with HIV/AIDS and their families with HIV/AIDS housing assistance; provide between 280 households with access to stable permanent housing ; provide information and referral for HIV/AIDS services and housing to 350 individuals and households; Maintain capacity of existing housing inventory and support

services; Continue acquisition, rehabilitation and/or development of 10-12 additional set-aside of HIV/AIDS living units; and complete acquisition, rehabilitation and/or development of 16 set aside of HIV/AIDS living units.

During the 2013/14 HOPWA program year, over 1,600 people benefitted from services provided by HOPWA funded agencies. Seventy-four units of Short Term Rent Mortgage & Utility (STRMU) payments were provided to HOPWA clients; approximately 103 facility based stewardship living units were utilized by HOPWA clients; and information and referrals were provided to about 1,500 persons for HIV/AIDS housing and service. The HIV/AIDS housing inventory in Oakland EMSA has been maintained and 12 additional HOPWA living units were completed this year and Alameda County and Contra Costa County have several projects in the development stage which will produce an additional 42 HOPWA units upon completion.

## **4. ATTACHMENT C**

### **BARRIERS & TRENDS OVERVIEW**

Affordable housing in the Oakland EMSA is difficult to find. Alameda County continues to be one of the top 10 least affordable housing markets in the United States. In 2013 52% of the areas middle class could afford to purchase a home of 1200 square feet, the percent has decreased to 40% in 2014. A family earning minimum wage needs to work over 142 hours a week, 52 weeks a year to afford a modest two bedroom apartment. Approximately 34,000 (6%) of Alameda County's 523,000 households are at severe risk of homelessness because they are extremely low-income renters paying more than 50% of their income on housing. A disabled individual earns less per month from SSI (\$812) than the fair market value of a studio apartment (\$900).

The state of the economy continues to be an overlaying barrier to housing development projects and continuing needed services for persons living with AIDS and their families. Increased interest rates and escalated difficulty in obtaining loans has caused delays in the development and the securing of mixed funds to support these projects. As a result, developments are experiencing larger funding gaps, and for longer periods in project development timelines. Agencies are collaborating and applying for less traditional funding sources to fully fund highly needed projects. The availability of affordable housing in the bay area is a frequently stated barrier, especially for those clients living with HIV/AIDS that have bad credit and/or criminal records.

HOPWA clients are experiencing not only the barriers of housing affordability and housing availability, but also the effects of unsatisfactory credit ratings and rental history. Prior arrests and convictions result in discrimination as well as mental health diagnoses. Another barrier is discrimination against transgendered individuals. It is reported that several service agencies are regularly experiencing issues in housing transgendered clients. It is reported that transgendered individuals are best placed in environments where their living space does not have to be shared with a non-transgendered individual. These issues create barriers that ultimately decrease effective utilization of housing advocacy services.

Clients are also impacted by psychosocial issues related to their HIV status, such as: HIV stigma/shame, isolation/loneliness, belief about HIV status/ fear and increased feelings/experiences of

loss and rejection. During this reporting period clients are much more impacted by these issues as well also dealing with some other unresolved past experience of major trauma. Therefore the average stay in the programs increased, this issues coupled with the shortage of affordable housing stock resulted in clients staying longer in the program.

In response to these issues, increased inventory of affordable housing for persons living with AIDS is a major focus with continued efforts to obtain traditional and less traditional funding sources to support this effort. Housing advocates and medical case managers recommend focus on prevention of eviction and assisting clients in remaining housed while attending to their medical needs. Further recommended, is requiring medical case managers and housing advocates to assist those clients experiencing loss of employment, in accessing the benefits they are entitled to and affordable housing.

## **5. ATTACHMENT D**

CERTIFICATIONS OF CONTNUED USE FOR FACILITY BASED STEWARDSHIP UNITS ONLY. (HOPWA STEWARD CERT. FORMS) Signed copies are on file with the City of Oakland.

## PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

**Note:** See definition of Stewardship Units.

### 1. General information

HUD Grant Number(s)  <b>CA-H01-F001, CA-H02-F001 CA-H03-F001, and CA-H04-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>1/27/2008</b>

### 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Crossroads	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>25 (beds)</b>	\$103,461

### 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>East Oakland Community Project – Crossroads Transitional Housing</b>
Site Information: Project Zip Code(s)	<b>94621</b>
Site Information: Congressional District(s)	<b>District 9</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>Wendy Jackson, Executive Director, <a href="mailto:wendvujackson@gmail.com">wendvujackson@gmail.com</a> 510-746-3602</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Wendy U. Jackson, Executive Director Robin Gaston, Executive Assistant to Executive Director 510-746-3604	<b>Signature &amp; Date (mm/dd/yy)</b>  <div style="text-align: right;">Signed original on file</div>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s) <b>CA-H02-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input checked="" type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2005</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Eastmont Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	\$9,192

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Eastmont Court</b>
Site Information: Project Zip Code(s)	<b>94605</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>6850 Foothill Boulevard, Oakland, CA 94605 510-841-4410</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510.841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  <b>CA-H07-F001 and CA-H08-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input checked="" type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2011</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Erna P. Harris Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$10,635

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Erna P. Harris Court</b>
Site Information: Project Zip Code(s)	<b>94704</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>1330 University Avenue, Berkeley, CA 94704</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510-841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  <b>CA-H05-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input checked="" type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2009</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Fox Courts, LP		
Total Stewardship Units (subject to 3- or 10- year use periods)	6	\$4,179

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Fox Courts Apartments</b>
Site Information: Project Zip Code(s)	<b>94612</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>555 – 19<sup>th</sup> Street, Oakland, CA 94612</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510-841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  <b>CA-H02-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input checked="" type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2006</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Lorenzo Creek Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	\$12,446

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Lorenzo Street Apartments – Housing Alliance LP</b>
Site Information: Project Zip Code(s)	<b>94546</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>22198 Center Street, Castro Valley, CA 94546</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510-841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  <b>CA-H03-F001 and CA-H04-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input checked="" type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2009</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Oxford Plaza LP		
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>4</b>	<b>\$12,446</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Oxford Plaza Apartments</b>
Site Information: Project Zip Code(s)	<b>94704</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>2175 Kittredge Street, Berkeley, CA 94704</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510-841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  <b>CA-H04-F001 and CA-H05-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input checked="" type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>5-13-2010</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Tassafaronga Village Phase 2	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$ 15, 819

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Tassafaronga Village Phase 2</b>
Site Information: Project Zip Code(s)	<b>94621</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	930 84th Avenue, Oakland, CA 94621

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Phil Neville, Secretary, Tassafaronga Partners II, L.P. Bridget Galka, Assistant Director of Real Estate Development Department 510-587-2142	<b>Signature &amp; Date (mm/dd/yy)</b>  <div style="text-align: right;">Signed copy on file</div>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s) <b>CA-H08-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) <b>6-22-2012</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Merritt Crossing	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$9,612

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Merritt Crossing/6<sup>th</sup> &amp; Oak</b>
Site Information: Project Zip Code(s)	<b>94607</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>609 Oak Street, Oakland, CA 94607 510-891-1264</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  <b>CA-H07-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input checked="" type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2011</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Fairmount Apartments		
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>4</b>	<b>\$10,671</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Fairmount Apartments
Site Information: Project Zip Code(s)	94611
Site Information: Congressional District(s)	9 <sup>th</sup>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>401 Fairmount Avenue, Oakland, CA 94611 510-271-1826</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

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HUD Grant Number(s)  <b>CA-H01-F001, CA-H08-F001, and CA-H09-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input checked="" type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2003</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Walker House	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>6</b>	<b>\$16,541</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Yvette A. Flunder Foundation/Walker House</b>
Site Information: Project Zip Code(s)	<b>94603</b>
Site Information: Congressional District(s)	<b>9th</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>9702 International Boulevard, Oakland, CA 94603, Sharon Thomas 510-635-8422</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management, 510-635-8422	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  CA- H08; CA H09; CA H10-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2013</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Park Alameda	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	9	\$25,650

### 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Park Alameda</b>
Site Information: Project Zip Code(s)	<b>94501</b>
Site Information: Congressional District(s)	<b>13th</b>
Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>2348 Central Avenue, Alameda, CA 94501</b>

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  CA H06-F001;	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7 <input type="checkbox"/> Yr 8; <input checked="" type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2012</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Clinton Commons/720 E. 11 <sup>th</sup> Street	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$9,612

### 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Clinton Commons</b>
Site Information: Project Zip Code(s)	<b>94606</b>
Site Information: Congressional District(s)	<b>9th</b>
Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone,	<b>720 E. 11<sup>th</sup> Street, Oakland, CA 94606</b>

email address/location, if business address is different from facility address	
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<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management, 510-635-8422	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  CA- H01-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input checked="" type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2005</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b>  Garden Park	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units  (subject to 3- or 10- year use periods)	<b>6</b>	<b>\$65,567.147</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Garden Park</b>
Site Information: Project Zip Code(s)	<b>94523</b>
Site Information: Congressional District(s)	<b>11th</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>2387 Lisa Lane; Pleasant Hill, CA 94523</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s) <b>CA-H03-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input checked="" type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2005</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Bella Monte Apartments		
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$55,676.73

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Bella Monte Apartments</b>
Site Information: Project Zip Code(s)	<b>94565</b>
Site Information: Congressional District(s)	<b>11<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>2410 Willow Pass Road, Bay Point, CA 94565 925-261-1256</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  <b>CA-H04-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6;  <input checked="" type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy) <b>2008</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Villa Vasconcellos	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>3</b>	<b>\$ 24,541.23</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Villa Vasconcellos</b>
Site Information: Project Zip Code(s)	<b>94597</b>
Site Information: Congressional District(s)	<b>11th</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>1515 Geary Road, Walnut Creek, CA 94597 925-465-3858</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  <b>CA-H03-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input checked="" type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2005</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Lakeside Apartments		
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$57,245.36

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Lakeside Apartments</b>
Site Information: Project Zip Code(s)	<b>94520</b>
Site Information: Congressional District(s)	<b>11th</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>1897 Oakmead Drive, Concord, CA 94520 925-827-2805</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  <div style="text-align: right;">Signed copy on file</div>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186

HUD Grant Number(s)  <b>CA-H05-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input checked="" type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy) <b>2011</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Lillie Mae Jones Plaza		
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$77,872.12

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Lillie Mae Jones Plaza</b>
Site Information: Project Zip Code(s)	<b>94801</b>
Site Information: Congressional District(s)	<b>11<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>120 MacDonald Avenue, Richmond, CA 94801 510-232-1530</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Susan Shelton, Division Manager	<b>Contact Phone (with area code)</b>  510.238.6186



## **6. FY 2013-14 HOPWA Submittal Forms**



# **Housing Opportunities for Persons with AIDS (HOPWA) Program**

## **CITY OF OAKLAND**

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### **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

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### **For Fiscal Year 2013/14**

**OMB Number 2506-0133 (Expiration Date: 10/31/2014)**

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The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. **The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.**

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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  - b. Annual Performance under the Action Plan
  - c. Barriers or Trends Overview
  - d. Assessment of Unmet Housing Needs

### **PART 2: Sources of Leveraging and Program Income**

1. Sources of Leveraging
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### **PART 3: Accomplishment Data: Planned Goals and Actual Outputs**

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### **PART 5: Worksheet - Determining Housing Stability Outcomes**

### **PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)**

### **PART 7: Summary Overview of Grant Activities**

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

**Continued Use Periods.** Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse,

Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

### **Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	25
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	15
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	76
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	17
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	49
5.	<b>Adjustment for duplication (subtract)</b>	
6.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)</b>	182

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Central Contractor Registration (CCR):** The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post-traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of

\$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Master Leasing:** Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations:** Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Subrecipient Organization:** Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

# Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

## Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definition section for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

### 1. Grantee Information

<b>HUD Grant Number</b> CA-H11-F001, CA-H10-F001, CA-H09-F001, CA-H08-F001, and CA-H07-F001		<b>Operating Year for this report</b> <i>From</i> 7/01/12 <i>To</i> 6/30/13		
<b>Grantee Name</b> City of Oakland				
<b>Business Address</b>		150 Frank H. Ogawa Plaza, Suite 4340		
<b>City, County, State, Zip</b>		Oakland	Alameda	CA      94612
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		94-6000-384		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		137137977	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 36QES	
<b>*Congressional District of Grantee's Business Address</b>		9th		
<b>*Congressional District of Primary Service Area(s)</b>		6, 7, 9, 11, and 13th Districts		
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>		<b>Cities:</b> Alameda, Berkeley, Oakland, Castro Valley, Hayward, Emeryville, Martinez, Danville, Antioch, Martinez, Concord, Pacheco, Walnut Creek, Pittsburg, Bay Point, Pinole		<b>Counties:</b> Alameda County and Contra Costa County
<b>Organization's Website Address</b>  <a href="http://www2.oaklandnet.com/">http://www2.oaklandnet.com/</a>		<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.</b>		

\* Service delivery area information only needed for program activities being directly carried out by the grantee.

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and subrecipient.

**Note:** If any information does not apply to your organization, please enter N/A.

<b>Project Sponsor Agency Name</b> Alameda County Department of Housing & Community Development		<b>Parent Company Name, if applicable</b> Alameda County	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Hazel Weiss, Community Development Manager		
<b>Email Address</b>	<a href="mailto:michelle.starratt@acgov.org">michelle.starratt@acgov.org</a>		
<b>Business Address</b>	224 West Winton Avenue, Room 108		
<b>City, County, State, Zip,</b>	Hayward, Alameda, California, 94544		
<b>Phone Number (with area code)</b>	510-670-5904		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-6000-501	<b>Fax Number (with area code)</b> 510-670-6378	
<b>DUN &amp; Bradstreet Number (DUNs):</b>	021116418		
<b>Congressional District of Project Sponsor's Business Address</b>	13 <sup>th</sup>		
<b>Congressional District(s) of Primary Service Area(s)</b>	9 <sup>th</sup> and 13 <sup>th</sup>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	<b>Cities:</b> Alameda, Hayward, Oakland, Castro Valley, and Berkeley	<b>Counties:</b> Alameda County	
<b>Total HOPWA contract amount for this Organization for the operating year</b>	<b>\$ 1,959,297</b>		
<b>Organization's Website Address</b>	<a href="http://www.acgov.org">www.acgov.org</a>  http://www.acgov.org/cda/hcd/		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>	

<b>Project Sponsor Agency Name</b> Contra Costa County Health Services Department		<b>Parent Company Name, if applicable</b> Contra Costa County	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Carmen E. Beyer, Program Coordinator of Clients Services/Contract Monitor		
<b>Email Address</b>	Kara.Douglas@dcd.cccounty.us		
<b>Business Address</b>	597 Center Avenue, Suite 200		
<b>City, County, State, Zip,</b>	Martinez, Contra Costa County, CA 94553		
<b>Phone Number (with area code)</b>	925-313-6783		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-6000-509	<b>Fax Number (with area code)</b> 925-313-6798	
<b>DUN &amp; Bradstreet Number (DUNs):</b>	139441955		
<b>Congressional District of Project Sponsor's Business Address</b>	7 <sup>th</sup>		
<b>Congressional District(s) of Primary Service Area(s)</b>	7 <sup>th</sup> , 10 <sup>th</sup> and 11 <sup>th</sup>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	<b>Cities:</b> Richmond, Concord, Pittsburg, Martinez, Danville, Walnut Creek, Antioch, and Oakley	<b>Counties:</b> Contra Costa County	
<b>Total HOPWA contract amount for this Organization for the operating year</b>	\$ 658,130		
<b>Organization's Website Address</b>	<a href="http://www.cchealth.org">www.cchealth.org</a>		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>	

### 3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and subrecipient.

**Note:** If any information does not apply to your organization, please enter N/A.

Sub-recipient Name	N/A		Parent Company Name, if applicable	
	N/A			
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency	N/A			
Email Address	N/A			
Business Address	N/A			
City, County, State, Zip	N/A	N/A	N/A	N/A
Phone Number (include area code)	N/A		Fax Number (include area code)	
			N/A	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	N/A			
DUN & Bradstreet Number (DUNs)	N/A			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of the Sub-recipient's Business Address	N/A			
Congressional District(s) of Primary Service Area	N/A			
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	N/A			

#### 4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

*Note: Please see the definition of a subrecipient for more information.*

*Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.*

*Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.*

<b>Sub-recipient Name</b>	Alameda Point Collaborative		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Doug Biggs Executive Director			
<b>Email Address</b>	<a href="mailto:dbiggs@apcollaborative.org">dbiggs@apcollaborative.org</a>			
<b>Business Address</b>	677 W. Ranger Ave.			
<b>City, County, State, Zip,</b>	Alameda, CA 94501			
<b>Phone Number (with area code)</b>	510-898-7849			
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-3362464		<b>Fax Number (with area code)</b> 510-898-7858	
<b>DUN &amp; Bradstreet Number (DUNs):</b>	003685489			
<b>Congressional District of Project Sponsor's Business Address</b>	9 <sup>th</sup>			
<b>Congressional District(s) of Primary Service Area(s)</b>	9 <sup>th</sup>			
<b>City(ies) <u>and</u> County(ies) of Primary Service Area(s)</b>	Cities Alameda    Oakland    Berkeley    Hayward			
<b>City (ies) <u>and</u> County (ies) of Primary Service Area(s)</b>	<b>Cities:</b> Oakland		<b>Counties:</b> Alameda County	
<b>Total HOPWA contract amount for this Organization for the operating year</b>	<b>\$ 91,000</b>			

<b>Sub-recipient Name</b>	Catholic Charities of the East Bay	<b>Parent Company Name, if applicable</b>
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<b>Name and Title of Contact at Contractor/ Sub-contractor Agency</b>	Laura Sharples – Safety Net & Asset Development Services Program Coordinator			
<b>Email Address</b>	<a href="mailto:lsharples@cceb.org">lsharples@cceb.org</a>			
<b>Business Address</b>	433 Jefferson Street			
<b>City, County, State, Zip</b>	Oakland	CA	94607	
<b>Phone Number (included area code)</b>	510.768.3125		<b>Fax Number (include area code)</b> 510.451.6998	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-2677202			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	835199548			
<b>North American Industry Classification System (NAICS) Code</b>	624190			
<b>Congressional District of the Sub-recipient's Business Address</b>	2			
<b>Congressional District(s) of Primary Service Area</b>	2			
<b>City(ies) and County(ies) of Primary Service Area</b>	Cities: Oakland, San Leandro		Counties: Alameda	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$127,525			

<b>Sub-recipient Name</b>	East Oakland Community Project		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Contractor/ Sub-contractor Agency</b>	Kim Parrish, Program Director			
<b>Email Address</b>	<a href="mailto:kimp@eocp.net">kimp@eocp.net</a>			
<b>Business Address</b>	7515 International Blvd.			
<b>City, County, State, Zip</b>	Oakland	Alameda	Oakland	Alameda
<b>Phone Number (included area code)</b>	(510) 746-3608		<b>Fax Number (include area code)</b> (510) 532-3211	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-3078181			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	847360567			
<b>North American Industry Classification System (NAICS) Code</b>				
<b>Congressional District of the Sub-recipient's Business Address</b>	9			
<b>Congressional District(s) of Primary Service Area</b>	9			
<b>City(ies) and County(ies) of Primary Service Area</b>	Cities: Oakland		Counties: Alameda	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$111,778			

<b>Subrecipient Name</b>	Walker House (formerly Ark of Refuge)	<b>Parent Company Name, if applicable</b> Yvette A. Flunder Foundation, Inc.
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Name and Title of Contact at Subrecipient	Franzetta Houston, Chief Operating Officer			
Email Address	fhouston@pacbell.net			
Business Address	9702 International Boulevard			
City, State, Zip, County	Oakland	CA	94603	Alameda
Phone Number (with area code)	415-931-3046		Fax Number (include area code) 415-931-4066	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	32-0095516			
DUN & Bradstreet Number (DUNs):	140454666			
North American Industry Classification System (NAICS) Code	623990			
Congressional District of Subrecipient’s Business Address	9 <sup>th</sup>			
Congressional District of Primary Service Area	9 <sup>th</sup>			
City (ies) and County (ies) of Primary Service Area(s)	Cities: Oakland		Counties: Alameda County	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$84,055.00			

Subrecipient Name	Eden I&R/AHIP			Parent Company Name, if applicable	
Name and Title of Contact at Subrecipient	Barbara Bernstein, Executive Director				
Email Address	bbernstein@edenir.org				
Business Address	570 B Street				
City, State, Zip, County	Hayward	CA	94541	Alameda County	
Phone Number (with area code)	510-537-2710, ext. 511			Fax Number (include area code) 510-537-0986	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2339050				
DUN & Bradstreet Number (DUNs):	134391861				
North American Industry Classification System (NAICS) Code	624190				
Congressional District of Subrecipient’s Business Address	10 <sup>th</sup> & 18 <sup>th</sup>				
Congressional District of Primary Service Area	9 <sup>th</sup> , 10 <sup>th</sup> , 11 <sup>th</sup> , and 13 <sup>th</sup>				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: : Alameda, Berkeley, Oakland, Castro Valley, Hayward, Emeryville			Counties: Alameda County	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$ 80,003.00				

<b>Subrecipient Name</b>	Cathedral Gardens			<b>Parent Company Name, if applicable</b> Excellence To Affordable Housing
<b>Name and Title of Contact at Subrecipient</b>	Benny Kwong, Senior Project Manager			
<b>Email Address</b>	bkwong@eahhousing.org			
<b>Business Address</b>	2169 East Francisco Boulevard, Suite B			
<b>City, State, Zip, County</b>	San Rafael	CA	94901	Alameda County
<b>Phone Number (with area code)</b>	415-258-1800			<b>Fax Number (include area code)</b> 415-453-4927
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-1699153			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	078765088			
<b>North American Industry Classification System (NAICS) Code</b>	236116			
<b>Congressional District of Subrecipient's Business Address</b>	6 <sup>th</sup>			
<b>Congressional District of Primary Service Area</b>	9 <sup>th</sup>			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	<b>Cities:</b> Oakland			<b>Counties:</b> Alameda County
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	<b>\$ 663,580.00</b>			

<b>Subrecipient Name</b>	Merritt Crossing/6 <sup>th</sup> & Oak Senior			<b>Parent Company Name, if applicable</b> Affordable Housing Associates
<b>Name and Title of Contact at Subrecipient</b>	<b>Eva Stewart, Director of Housing Development</b>			
<b>Email Address</b>	estewart@ahainc.org			
<b>Business Address</b>	1250 Addison Street, Suite G			
<b>City, State, Zip, County</b>	Berkeley	CA	94702	Alameda County
<b>Phone Number (with area code)</b>	510-649-8500			<b>Fax Number (include area code)</b> 510-451-0312
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-31386770			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	840184629			
<b>North American Industry Classification System (NAICS) Code</b>	236116			
<b>Congressional District of Subrecipient's Business Address</b>	9th			
<b>Congressional District of Primary Service Area</b>	9th			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	<b>Cities:</b> Oakland			<b>Counties:</b> Alameda County
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	<b>\$300,000.00</b>			

<b>Subrecipient Name</b>	The Ambassador Apartment			<b>Parent Company Name, if applicable</b> Resources for Community Development
<b>Name and Title of Contact at Subrecipient</b>	Dan Sawislak, Executive Director			

<b>Email Address</b>	contactrcd@rcddev.org			
<b>Business Address</b>	2220 Oxford Street			
<b>City, State, Zip, County</b>	Berkeley	CA	94704	Alameda County
<b>Phone Number (with area code)</b>	510-841-4110		<b>Fax Number (include area code)</b> 510-548-3502	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-2952466			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	363812082			
<b>North American Industry Classification System (NAICS) Code</b>	236117			
<b>Congressional District of Subrecipient's Business Address</b>	9 <sup>th</sup>			
<b>Congressional District of Primary Service Area</b>	9 <sup>th</sup>			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	<b>Cities:</b> Emeryville			<b>Counties:</b> Alameda County
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	<b>\$ 242,515.00</b>			

<b>Subrecipient Name</b>	720 e. 11 <sup>th</sup> Street		<b>Parent Company Name, if applicable</b> Resources for Community Development	
<b>Name and Title of Contact at Subrecipient</b>	Dan Sawislak, Executive Director			
<b>Email Address</b>	contactrcd@rcddev.org			
<b>Business Address</b>	2220 Oxford Street			
<b>City, State, Zip, County</b>	Berkeley	CA	94704	Alameda County
<b>Phone Number (with area code)</b>	510-841-4110		<b>Fax Number (include area code)</b> 510-548-3502	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-2952466			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	363812082			
<b>North American Industry Classification System (NAICS) Code</b>	236117			
<b>Congressional District of Subrecipient's Business Address</b>	9 <sup>th</sup>			
<b>Congressional District of Primary Service Area</b>	9 <sup>th</sup>			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	<b>Cities:</b> Berkeley			<b>Counties:</b> Alameda County
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>				

<b>Subrecipient Name</b>	California Hotel		<b>Parent Company Name, if applicable</b> East Bay Asian Local Development Corporation (EBALDC)	
	Peter Sopka, Chief Financial Officer & Interim Executive Director			

<b>Name and Title of Contact at Subrecipient</b>				
<b>Email Address</b>	psopka@ebaldc.org			
<b>Business Address</b>	310 Eighth Street, Suite 200			
<b>City, State, Zip, County</b>	Oakland	CA	94607	Alameda County
<b>Phone Number (with area code)</b>	510.287-5353		<b>Fax Number (include area code)</b> 510-763-4143	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	510171851			
<b>DUN &amp; Bradstreet Number (DUNs):</b>				
<b>North American Industry Classification System (NAICS) Code</b>				
<b>Congressional District of Subrecipient's Business Address</b>	7 <sup>th</sup>			
<b>Congressional District of Primary Service Area</b>	7 <sup>th</sup>			
<b>City (ies) <u>and</u> County (ies) of Primary Service Area(s)</b>	<b>Cities:</b> Oakland			<b>Counties:</b> Alameda
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	<b>\$114,000</b>			

<b>Subrecipient Name</b>	Riley Court		<b>Parent Company Name, if applicable</b> Resources for Community Development	
<b>Name and Title of Contact at Subrecipient</b>	Dan Sawislak, Executive Director			
<b>Email Address</b>	contactred@rcddev.org			
<b>Business Address</b>	2220 Oxford Street			
<b>City, State, Zip, County</b>	Berkeley	CA	94704	Alameda County
<b>Phone Number (with area code)</b>	510-841-4110		<b>Fax Number (include area code)</b> 510-548-3502	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-2952466			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	363812082			
<b>North American Industry Classification System (NAICS) Code</b>	236117			
<b>Congressional District of Subrecipient's Business Address</b>	7 <sup>th</sup>			
<b>Congressional District of Primary Service Area</b>	10 <sup>th</sup>			
<b>City (ies) <u>and</u> County (ies) of Primary Service Area(s)</b>	<b>Cities:</b> Concord			<b>Counties:</b> Contra Costa County
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$ 303,730			

<b>Subrecipient Name</b>	Berrellesa Palms		<b>Parent Company Name, if applicable</b> Resources for Community Development	
<b>Name and Title of Contact at Subrecipient</b>	Dan Sawislak, Executive Director			
<b>Email Address</b>	contactred@rcddev.org			

<b>Business Address</b>	2220 Oxford Street			
<b>City, State, Zip, County</b>	Berkeley	CA	94704	Alameda County
<b>Phone Number (with area code)</b>	510-841-4110		510-841-4110	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	94-2952466			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	363812082			
<b>North American Industry Classification System (NAICS) Code</b>	236117			
<b>Congressional District of Subrecipient's Business Address</b>	9 <sup>th</sup>			
<b>Congressional District of Primary Service Area</b>	7 <sup>th</sup>			
<b>City (ies) <u>and</u> County (ies) of Primary Service Area(s)</b>	<b>Cities:</b> Martinez		<b>Counties:</b> Contra Costa County	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$136,274.			

<b>Subrecipient Name</b>	Third Avenue Apartments		<b>Parent Company Name, if applicable</b> Sattelite Housing Inc	
<b>Name and Title of Contact at Subrecipient</b>	Dori Kojima, Acting Executive Director			
<b>Email Address</b>	dkojima@sattelitehousing.org			
<b>Business Address</b>	1521 University Avenue			
<b>City, State, Zip, County</b>	Berkeley	CA	94703	Alameda County
<b>Phone Number (with area code)</b>	510-647-0700		<b>Fax Number (include area code)</b> 510-647-0820	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	943031375			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	626484778			
<b>North American Industry Classification System (NAICS) Code</b>	236116			
<b>Congressional District of Subrecipient's Business Address</b>	9 <sup>th</sup>			
<b>Congressional District of Primary Service Area</b>	10 <sup>th</sup>			
<b>City (ies) <u>and</u> County (ies) of Primary Service Area(s)</b>	<b>Cities:</b> Walnut Creek		<b>Counties:</b> Contra Costa County	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$208,802.00			

## **5. Grantee Narrative and Performance Assessment**

### **a. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The City of Oakland is the grantee for the Oakland Eligible Metropolitan Statistical Area (EMSA) under HUD's Housing Opportunity for Persons With AIDS (HOPWA) formula grant. HUD selected the City of Oakland in 1993 as the designated grantee for the Oakland Eligible Statistical Metropolitan Area (EMSA) due to Oakland being the most populous unit of general, local government in the EMSA. The Oakland EMSA includes Alameda County which encompasses the cities of Alameda, Berkeley, Fremont, Hayward, Livermore, Oakland, San Leandro, Union City and select cities in Contra Costa County which include Antioch, Concord, Richmond and Walnut Creek. The Oakland EMSA is geographically, ethnically and economically diverse, spanning 1,458 square miles. Approximately 1.5 million people reside within the Oakland EMSA<sup>11</sup>, with an estimated 13,822 cumulative HIV/AIDS cases from 1983 – 2012<sup>12</sup>.

Alameda County Housing & Community Development Department<sup>13</sup> and Contra Costa County Community Development Department<sup>14</sup> are the project sponsors for the City's HOPWA grants. A wide range of AIDS housing and related services are administered by and through each of the counties. Said services include, but not limited to housing and benefits advocacy, HIV/AIDS housing (community residence housing, family housing, single resident occupancy housing, transitional housing, and permanent supportive housing), tenant services, end-stage care, substance abuse counseling, mental health services, service enriched emergency housing and other supportive services for people with HIV/AIDS and their families. HIV/AIDS housing developments are implemented to increase HIV/AIDS housing inventory throughout Alameda County and Contra Costa County through new construction projects, rehabilitation and renovation projects, and housing set-asides for special needs populations.

In FY 2013-14 the Oakland EMSA HOPWA program provided housing assistance (tenant based rental assistance, permanent supportive housing, and other housing services) to more than 820 persons living with HIV/AIDS and their families. Information and referral services were provided to approximately 600 households for HIV/AIDS housing and other services. 281 HOPWA clients gained access to or maintained stable permanent housing. Seventeen new units of HOPWA housing were completed in FY 2013/14, increasing the Oakland EMSA HOPWA housing inventory to 144. Acquisition, rehabilitation and/or development efforts for additional HIV/AIDS living units is underway for completion during fiscal year 2013-2014 which will produce 27 new HOPWA units.

#### *Annual Performance under the Action Plan*

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

**2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

<sup>11</sup> 2011 census totals for Alameda County (1,554,720) and Contra Costa County (1,079,597), <http://quickfacts.census.gov/qfd/states/06/06001.html>

<sup>12</sup> CDPH Office of AIDS, 2011 California HIV/AIDS Surveillance Report

<sup>13</sup> Alameda County Point of Contact: Hazel Weiss, Community Development Manager – [hazel.weiss@acgov.org](mailto:hazel.weiss@acgov.org)

<sup>14</sup> Contra Costa County Points of Contact: Kristin Sherk, Housing Planner - [Kristin.Sherk@dcd.cccounty.us](mailto:Kristin.Sherk@dcd.cccounty.us) or Carmen Bayer, Program Coordinator of Client Services - [cbeyer@hsd.cccounty.us](mailto:cbeyer@hsd.cccounty.us)

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

The Oakland EMSA, comprised of Alameda and Contra Costa Counties distributes annual HOPWA awards to the two counties based on the number of People Living With AIDS (PLWA) as reported in each of the county's latest (prior year) Epidemiology reports. PLWA totals for both counties are added to provide total number of PLWA in the Oakland EMSA. Each County's individual PLWA total is divided by the Oakland EMSA PWLA total, yielding each County's percentage share of PLWA cases in the Oakland EMSA. This percentage is then applied to the fund allocation of HOPWA service and housing funds. The PLWA percentages results are applied to the distribution of the overall grant award to the member counties for housing, services and project sponsor administration.

Once funds are allocated to each county, the counties then publish Notices of Fund Availability (NOFA) of HOPWA funds. In each NOFA, housing and service priorities are outlined. Applicants submit proposals based on the stated housing and service priorities (to be accomplished in a 2-3 year period). Once proposals are ranked, proposed HOPWA projects are submitted to the City for approval. As a result of this process, the following activities were accomplished during the 2013/14 fiscal year:

#### Services

Alameda County funded agencies to provide licensed care housing, shelter, housing information services, financial assistance and permanent supportive housing through the following Agencies: Yvette A. Flunder Foundation (YAFF) Walker House, East Oakland Community Project, Eden I&R, Catholic Charities East Bay and Alameda Point Collaborative.

Yvette A. Flunder Foundation/Walker House provided licensed care services and alcohol and drug abuse treatment to 6 residents at the Walker House facility in Oakland.

Seventy six clients with HIV/AIDS received shelter, transitional housing and support services at East Oakland Community Project (EOCP). 89% (68) of residents with or affected by HIV maintained connection to dental and/or health care during the contract year, 77,216 meals were served to shelter residents, and 29 HIV positive individuals secured permanent or transitional housing.

Eden I&R provided housing information services to over 639 households. Updated "AHIP" database to include 79,615 market rate, subsidized, and low-income housing units in 7,460 buildings. AHIP'S ability to access this database increases the permanent housing outcomes by providing leads that can lead to immediate permanent housing while many clients remain on the long subsidized waiting lists. The AHIP housing database contains 34 buildings that include 192 dedicated HOPWA units.

After final decision was made between Alameda County and Catholic Charities East Bay (CCEB) to terminate the HOPWA agreement for financial assistance to persons with HIV/AIDS, CCEB agreed to help transition clients to other agencies that provide similar services. Eight clients have successfully connected to medical case managers and also to agencies such as APEB and Tri-City, whom provide Project Independence services.

HOPWA funds provided permanent housing with services to 91 clients at the Alameda Point Collaborative. All HOPWA funds were utilized to cover operating expenses for 15 units of permanent supportive housing. APC's primary goal is to enable residents to obtain and maintain permanent housing, and in this regard 95% of APC residents maintained housing for a period of one year or more.

Contra Costa County AIDS/STD Program contracted with Contra Costa Interfaith Housing (CCIH) and Greater Richmond Interfaith Program (GRIP) to provide housing advocacy services to HIV+ individuals in Contra Costa County. The AIDS Program also utilizes HOPWA funds to provide utility assistance to HIV+ individuals. The County's HOPWA contracts follows the contract period for Ryan White funded contracts and the fiscal year extends from March 1 through February 28th of every year.

Contra Costa County's subcontractors, CCIH and GRIP, goal is to assist clients in acquiring and/or maintaining housing that will help stabilize their lives in such a way that they are better able to access medical care and other services that will prolong life and improve client health outcomes. Both agencies to provide bilingual services for their clients.

GRIP and CCIH combined provided housing advocacy services to 99 clients in the period, March 1, 2012 through February 28, 2013. Twenty five households received tenant based rental assistance and Forty nine received Short Term Rental & Utility Assistance.

Several HOPWA properties were completed in FY 2012-2013 and future HOPWA dedicated units are underway for both Alameda and Contra Costa Counties. Development of 27 HOPWA dedicated units are in process with expected completion dates ranging from Summer of 2013 to Spring of 2014. Alameda County is currently constructing 12 units and Contra Costa County has 15 HOPWA units in development. Updates for recently completed projects, pending developments, and previously completed projects, currently in stewardship are provided below:

Completed Projects: FY 2012-2013

*Project: Park Alameda (formerly The Alameda Islander)*

*Developer: Resources for Community Development*

*Total Units: 62*

*HOPWA units: 9*

*Project Status: Renovation completed April 2013*

**Project Description:** The Park Alameda (formerly the Alameda Islander) transforms a 40-year old blighted motel into 62 units of permanent, green, and affordable workforce housing. It serves one- and two-person households with incomes from 20% to 50% of Area Median Income. Previous residents who qualify based on income, household size, and special needs had the option of moving back into the renovated property.

Two new structures, an office building and a community room, accommodate onsite resident services and property management. Green and sustainable features have been incorporated into the renovation as well. Nine of the sixty-two units are set aside for HOPWA eligible clients.

*Project: The Ambassador*

*Developer: Resources for Community Development*

*Total Units: 69*

*HOPWA units: 5*

*Project Status: Completed March 2013*

**Project Description:** Located on the Oakland/Emeryville border, the Ambassador is a new construction project of 69 units of affordable, service-enriched housing for families and persons living with HIV/AIDS. The site is that of the former Ambassador Laundry, owned by the City of Emeryville. The property serves households with incomes at or below 50% Area Median Income (AMI). To promote green building, the project is equipped with solar thermal pre-heated hot water for domestic hot water and space heating, and construction waste recycling. All units will be adaptable for people with disabilities.

A pre-application was announced for units at The Ambassador. The lease waiting list closed in June of 2013. All pre-applications submitted prior to the June 21, 2013 deadline are included in a random lottery. Applicants whose names are selected from the lottery will be contacted by mail with additional information. The Ambassador is expected to open in October 2013.

*Project: Clinton Commons (formerly 720 E. 11th Street)*

*Developer: Resources for Community Development*

*Total Units: 55*

*HOPWA units: 3*

*Project Status: Completed October 2012*

**Project Description:** Clinton Commons, formerly "720 E. 11th Street" is the development of 55 new affordable housing units with one manager's unit on this site. The design consists of two four-story buildings with 9 live/work units and 46 standard apartments. The development consists of one to four-bedroom units. Targeted incomes range from 30% to 60% of Area Median Income, with three units set aside for households living with HIV/AIDS and five set aside for households experiencing mental health concerns. Mental Health Services Act eligible tenants and HOPWA tenants will have access to additional supportive services provided within the two programs.

## Development Projects

*Project: Cathedral Gardens*

*Developer: EAH Inc.*

*Total Units: 100*

*HOPWA units: 8*

*Project Status: Finalizing construction financing and expected completion date is March 2014.*

Project Description: Cathedral Gardens is an affordable rental housing community designed for families. Centrally located near downtown, 1/4 mile from BART, the community consists of 100 apartment homes built on an ideal infill site. The development incorporates a mix of new construction and historic rehabilitation. Two new buildings, one three-story and the other four-stories, are currently being constructed on either side of a rehabilitated 110 year old historic Rectory building. Eight of the 100 units in construction will be dedicated to persons living with HIV/AIDS.

*Project: The William Byron Rumford, Sr. Plaza*

*Developer: Resources for Community Development*

*Total Units: 43*

*HOPWA units: 4*

*Project Status: Major Rehabilitation to Start in 2013*

The William Byron Rumford, Sr. Plaza, administered by Resources for Community Development for Alameda County, located at 3017 Stanton Street, Berkeley is a 43-unit project for households at or below 50% AMI. This property will be rehabilitated which will include repainting the exterior, installing new sidings, replacing railings and archways, and roof replacements. Energy efficient lighting, appliances, windows and plumbing will be installed. Improvements and updates to common areas will be made as well. Four of the 43 units will be dedicated to people living with AIDS.

*Project: Ohlone Gardens*

*Developer: Resources for Community Development*

*Total Units: 57*

*HOPWA units: 5*

*Project Status: 2014 Estimated Completion*

Resource for Community Development (RCD) is the developer of the Ohlone Gardens project in El Cerrito for Contra Costa County. This project is a new construction of 57 units of rental units affordable to and occupied by lower income families. Five units will be designated as HOPWA assisted funds. RCD expects to complete construction in spring 2014.

*Project: Berrellesa Palms*

*Developer: Resources for Community Development*

*Total Units: 49*

*HOPWA units: 3*

*Project Status: 2014 Estimated Completion*

RCD is also the developer of the Berrellesa Palms project in Martinez. The project involves new construction of a 49-unit affordable rental complex, to be occupied by lower-income seniors. Three units will be designated as HOPWA units. Construction is currently underway and expected to be completed in Spring 2014.

*Project: Riley Court*

*Developer: Resources for Community Development*

*Total Units: 48*

*HOPWA units: 5*

*Project Status: Construction to begin Fall 2013*

RCD is the owner of the Riley Court project in Concord. This project includes rehabilitation of 48 units of rental units affordable to and occupied by lower income families. Five units will be designated as HOPWA assisted units. Phase 1 of construction began in October 2011 and completed in December 2011. Phase II of construction is scheduled to begin Fall 2013.

*Project: Third Avenue Apartments*

*Developer: Satellite Housing*

*Total Units: 47*

*HOPWA units: 2*

*Project Status: Construction to begin 2014*

Satellite Housing Inc. (Satellite) is the developer of the Third Avenue Apartments project in Walnut Creek. Satellite was awarded HOPWA funds for the new construction of 47 units of rental units affordable to and occupied by lower income families. Two units will be designated as HOPWA assisted. Satellite submitted an application for low income housing tax credits in March 2012, but did not receive an award. They reapplied in July 2012 round and if awarded tax credits, Satellite expects to start construction in 2014.

### **Previously Completed Projects**

*Project: Erna P. Harris*

*Developer: Resources for Community Development*

*Completion Date: November 2011*

*Total Units: 35*

*HOPWA units: 5*

Project Description: Erna Harris was constructed in 1952, and in 1994 RCD purchased the property and made renovations. The development includes 4 buildings, including a community room with laundry facilities, services and resources office, and a manager's office. There is also a central outdoor courtyard for the tenants. RCD partnered with Lifelong Medical Care, Toolworks and Bonita House to provide a variety of supportive services including intensive case management and employment counseling.

*Project: Fairmount Apartments*

*Developer: Affordable Housing Associates*

*Total Units: 31*

*HOPWA units: 4*

*Project Status : Completed May 2011*

Project Description: The Fairmount Apartments project is the acquisition and substantial rehabilitation of a 35-unit apartment building to create 31 units of permanent affordable housing, including expanded community and office spaces. The site serves households at affordability levels of 20-50% of Area Median Income. Four of the one bedroom units are set aside for persons living with HIV/AIDS. The service provider for the project, Toolworks, provides life skills, employment training development, case management, budgeting, and nutrition services. The scope of work consisted of seismic upgrading, wheelchair access improvements, exterior façade upgrades, and the replacement the roof, windows, and hot water system.

*Project: Merritt Crossing Senior Apartments (formerly 6th and Oak Senior Apartments)*

*Developer: Satellite Affordable Housing Associates (formerly Affordable Housing Associates)*

*Total Units: 70*

*HOPWA units: 3*

*Project Status: Completed May 2012*

Merritt Crossing Senior Apartments includes 70 apartments affordable to seniors with incomes between 30 and 50 percent of median. The development features 5 studios, 55 one-bedroom units, 10 two-bedroom units and an enclosed garage with 18 parking spaces. Three of the 70 units are dedicated to HOPWA clients. The open space provides space for a community garden for residents, and also encourages physical exercise and social interactions among residents. The community room includes computer workstations; drop-in computer classes are provided at no cost to residents.

The project has received a fifteen-year commitment for fifty (50) Oakland Housing Authority project-based Section 8 vouchers that will ensure that the apartments are affordable.

*Project: Tassafaronga Phase II*  
*Developer: Oakland Housing Authority*  
*Total Units: 20*  
*HOPWA units: 5*  
*Project Status: Completed May 2010*

Project Description: The Tassafaronga Village project is a formerly 87 unit, severely distressed public housing development. The 87-unit building was demolished to construct the first phase of 137 new residential units. Phase 2, for which HOPWA funding was used for, is the adaptive reuse of the existing two-story, 20,000 square feet Pasta Factory that created 20 residential units. Nineteen of the units are set aside for households that are homeless or at risk of homelessness with incomes at no more than 30% of area median income and five units are HOPWA specific.

The AIDS Project of the East Bay (APEB) has an on-site clinic providing case management and other supportive services for residents. The clinic provides ambulatory care, physical examinations, immunizations and diagnostics.

Project Description: Allen Temple Manor (formerly Allen Temple Arms IV) was awarded HOPWA funding to rehabilitate the property. Allen Temple IV is a 26-unit special needs housing development. It is a part of a larger development that serves seniors, disabled persons, and other low-income households. Allen Temple IV is located in close proximity to transportation, commercial and other community facilities. It has a community room, a kitchen, and office space. Two

*Project: Fox Courts*  
*Developer: Resources for Community Development*  
*Total Units: 80*  
*HOPWA units: 6*  
*Project Status: Completed June 2009*

Project Description: Fox Courts is a high-density urban infill project consisting of 80 extremely low and very low-income units. It serves a range of households and income levels including families with children and foster youth earning no more than 60% of area median income. In addition, four units are designated for Mental Health Services Act (MHSA)-eligible tenants.

*Project: Oxford Plaza*  
*Developer: Resources for Community Development*  
*Total Units: 97*  
*HOPWA units: 5*  
*Project Status: February 2009*

Project Description: Oxford Plaza has 97 units with four units set aside for persons with mental illness and five of the units for people living with HIV/AIDS. Oxford Plaza serves extremely low, low- and very low-income individuals and families with children. Located in the City of Berkeley, Oxford Plaza is a model for green design and sustainable building, incorporating high energy efficiency design during the construction phase. All of the units are designed to be adaptable to accommodate the varying physical needs of future tenants with 5% of the units being fully disabled-accessible. The development is a mixed-use project that includes an environmental center with retail and commercial space, and a below grade public parking garage.

*Project: Lorenzo Creek/Housing Alliance.*  
*Developer: Allied Housing, Inc.*  
*Total Units: 28*  
*HOPWA units: 2*  
*Project Status: Completed 2006*

Project Description: Located in Castro Valley, the Housing Alliance Project is 28-units of permanent affordable and supportive housing for formerly homeless individuals and families with children. The housing units are affordable to very low-income households and include on-site and off-site supportive services. Universal design features are incorporated throughout the entire development. The unit mix includes 1, 2 and 3 bedroom units and all are adaptable and accessible to persons with disabilities. The development also includes office space for property management and supportive services, a laundry room, elevator, two community rooms, courtyards and 1.5 parking spaces per unit.

*Project: Walker House*  
*Developer: Yvette A. Flunder Foundation*  
*Completion Date:*  
*Total Units: 10*  
*HOPWA units: 6*  
*Project Status: December of 2005*

Project Description: The Ark of Refuge was awarded HOPWA funds for the rehabilitation of Walker House in Oakland. The building provides 10 units of permanent and transitional shared housing for persons living with HIV/AIDS. The rehabilitation included installing disabled access doors, emergency lighting for hearing impaired, enhanced security and improvement to community rooms for service delivery to residents, i.e. computer stations, computer equipment, exercise equipment, an entertainment system and retrofitting windows for ventilation.

*Project: Allen Temple Manor*  
*Developer: Allen Temple Housing Corporation IV*  
*Total Units: 26*  
*HOPWA units: 4*  
*Rehabilitation completed in December 2004*

Units are designed to serve those with mobility impairments. This funding provides items that were deleted from the final development budget for the larger HUD 811 construction project that was completed in 2001.

*Project: Sacramento Senior (Outback Senior Homes)*  
*Developer: Affordable Housing Associates*  
*Total Units: 40*  
*HOPWA units: 2*  
*Total of persons served in HOPWA units: 1*  
*Project Status: Completed 2004*

Project Description: Sacramento Senior housing is new construction project in Berkeley with 40 apartments for seniors, including seniors with disabilities and HIV/AIDS. Two of these units serve senior households in which one or more persons have HIV/AIDS, or a single person with HIV/AIDS who requires attendant care.

*Project: University Avenue*  
*Developer: Affordable Housing Associates*  
*Total Units: 29*  
*HOPWA units: 2*  
*Project Status: Completed 2004*

Project Description: Affordable Housing Associates (AHA) and Hearth Homes were awarded HOPWA funds to create 29 new units at the University Neighborhood Apartments in Berkeley. The mixed unit development has ground floor commercial development and three floors of affordable housing for families and special need populations.

*Project: Eastmont Court Apartments*  
*Developer: Resources for Community Development*  
*Total Units: 19*  
*HOPWA units: 4*  
*Project Status: Completed February 2005*

Project Description: Eastmont Court, a new construction project in Oakland is a 19-unit development. The project consists of nine one-bedroom units, ten two-bedroom units and a manager's unit. Of these units four are be HOPWA units (three 1-BR and one 2-BR). The development is conveniently located near transportation and the Eastmont Mall, where tenants have access to many social and commercial services.

*Project: East Oakland Community Project*  
*Developer: East Oakland Community Project*  
*Total Units: 100*  
*HOPWA units: 25*  
*Project Status: Completed 2007*

Project Description: EOCP is a new construction emergency shelter in Oakland. The project accommodates over 100 homeless individuals, families, and people with HIV/AIDS. The new structure serves as an emergency housing facility and provides over 8,000-sq. ft. of office, services, and living space for EOCP. On site amenities include a communal dining room, a commercial grade kitchen, patio, respite care area, offices for counseling, computer room, and meeting space.

*Project: Garden Park Apartments*  
*Developer: Resources for Community Development*  
*Total Units: 27*  
*HOPWA units: 6*  
*Project Status: Completed 2004*

Project Description: Garden Park Apartments : This apartment complex is home to 27 formerly homeless families. Many of these families have lived on the streets and in shelters, and their children have moved from school to school. Many have never had stable housing. Families pay 30% of their gross income for rent and hold a lease; they may live at Garden Park for as long as they need to, providing they meet the requirements of their lease. CCIH co-owns Garden Park Apartments with Mercy Housing California. Garden Park Apartments opened in December 2004 and is the first and only permanent supportive housing program that is designated for all formerly homeless families with parents who have disabilities.

*Project: Bella Monte Apartments*  
*Developer: Resources for Community Development*  
*Total Units: 52*  
*HOPWA units: 5*  
*Project Status: Completed 2005*

Project Description: Bella Monte Apartments is a 52 unit apartment complex located in Bay Point, Los Medanos Village (Pittsburg) is a 71 unit apartment complex located in Pittsburg, both of these sites are owned by Resources for Community Development (RCD). These programs offer affordable housing to low-income families. CCIH offers afterschool programs, case management, and mental health services to these families and their children with the goals of helping them remain housed, increase self-reliance, and succeed academically.

*Project: Lakeside Apartments*  
*Developer: Resources for Community Development*  
*Total Units: 124*  
*HOPWA units: 5*  
*Project Status: Completed 2005*

Project Description: Lakeside Apartments is a 124 unit affordable housing program owned by RCD. Beginning this fall, CCIH started providing case management and youth enrichment services to this predominately Spanish speaking local community.

*Project: Lillie Mae Jones Plaza*  
*Developer: East Bay Asian Local Development Corporation*  
*Total Units: 26*  
*HOPWA units: 5*  
*Project Status: Completed 2011*

Project Description: Lillie Mae Jones Plaza is a 26-unit new construction rental development to be located at the corner of Macdonald Avenue and 2nd Street in Richmond, CA. The project is a joint venture between Community Housing Development Corporation and the East Bay Asian Local Development Corporation. The development includes one, two, three, and four bedroom units whose rents will be set to serve households with incomes below 45% of the area median income.

*Project: Villa Vasconcellos*

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

*Developer: Resources for Community Development*  
*Total Units: 70*  
*HOPWA units: 3*  
*Project Status: Completed 2008*

Project Description: Villa Vasconcellos is a senior housing project located in Walnut Creek, CA. This is one of the first green multi-family housing projects in the city, with solar energy and hot water heating. Designed for independent seniors, the modern two- and three-story building contains fully accessible and adaptable units, organized around two courtyards for gatherings, outdoor exercise and community functions.

### Goals and Outcomes Overview

The City projected in FY 2012-2013 to assist approximately 370 persons with HIV/AIDS and their families with HIV/AIDS housing assistance; provide between 60- 90 households with access to stable permanent housing ; provide information and referral for HIV/AIDS services and housing to 370 individuals and households; Maintain capacity of existing housing inventory and support services; Continue acquisition, rehabilitation and/or development of 20 additional set-aside of HIV/AIDS living units; and complete acquisition , rehabilitation and/or development of 15-18 set aside of HIV/AIDS living units.

During the 2013/14 HOPWA program year, 820 people were provided housing assistance services. 92 units of Short Term Rent Mortgage & Utility (STRMU) payments or Tenant Based Rental Assistance (TBRA) were provided to HOPWA clients. Approximately 144 facility based stewardship living units were made available to HOPWA clients. Information and referrals were provided 639 persons for HIV/AIDS housing and service; HIV/AIDS housing inventory in Oakland EMSA has been maintained and 17 additional HOPWA living units were completed this year.

### *Barriers and Trends Overview*

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.
2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

Affordable housing in the Oakland EMSA is difficult to find. Alameda County is one of the top 10 least affordable housing markets in the United States. A family earning minimum wage needs to work over 142 hours a week, 52 weeks a year to afford a modest two bedroom apartment. Approximately 34,000 (6%) of Alameda County's 523,000 households are at severe risk of homelessness because they are extremely low-income renters paying more than 50% of their income on housing. A disabled individual earns less per month from SSI (\$812) than the fair market value of a studio apartment (\$900).<sup>15</sup>

The state of the economy continues to be an overlaying barrier to housing development projects and continuing needed services for persons living with AIDS and their families. Increased interest rates and escalated difficulty in obtaining loans has caused delays in the development and the securing of mixed funds to support these projects. As a result, developments are experiencing larger funding gaps, and for longer periods in project development timelines. Agencies are collaborating and applying for less traditional funding sources to fully fund highly needed projects. The availability of affordable housing in the bay area is a frequently stated barrier, especially for those clients living with HIV/AIDS that have bad credit and/or criminal records.

HOPWA clients are experiencing not only the barriers of housing affordability and housing availability, but also the effects of unsatisfactory credit ratings and rental history. Prior arrests and convictions result in discrimination as well as mental health diagnoses. Another barrier is discrimination against transgendered individuals. It is reported that several service agencies are regularly experiencing issues in housing transgendered clients. It is reported that transgendered individuals are best placed in environments where their living space does not have to be shared with a non-transgendered individual. These issues create barriers that ultimately decrease effective utilization of housing advocacy services.

In response to these issues, increased inventory of affordable housing for persons living with AIDS is a major focus with continued efforts to obtain traditional and less traditional funding sources to support this effort.

Housing advocates and medical case managers recommend focus on prevention of eviction and assisting clients in remaining housed while attending to their medical needs. Further recommended, is requiring medical case managers and housing advocates to assist those clients experiencing loss of employment, in accessing the benefits they are entitled to and affordable housing.

Another suggestion is to assist clients with HIV/AIDS, experiencing mental health and substance abuse issues, with Ryan White funds, allowing HOPWA funds to be used more for housing and housing assistance.

#### **d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

*In Chart 1, provides an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.*

*In Row 1, report the total unmet need of the geographical service area, as reported in Unmet Needs for Persons with HIV/AIDS, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.*

**Note:** *Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

*If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.*

*Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.*

**Note:** *In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*

#### **1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households**

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<sup>15</sup> EveryOne Home Plan Fact Sheet - 2009

1. Total number of households that have unmet housing subsidy assistance need.	4,861
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	a) 729
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	b) 2,431
<ul style="list-style-type: none"> <li>• Assistance with rental costs</li> <li>• Assistance with mortgage payments</li> <li>• Assistance with utility costs.</li> </ul>	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	c) 1,701

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

<b>X</b>	<b>= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives</b>
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

## PART 2: Sources of Leveraging and Program Income

### 1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

**Note:** Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

#### A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$129,891	Case Mgmt. Serv.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White-Other (Part A, B, and C)	\$2,333,533	Multiple Core service defined by HRSA	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	\$261,906		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME	\$753,502	Capital Funds	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant	\$48,000		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: HCD, MHSA, RDA	\$8,102,155	Capital Funds	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: HUD 811	\$33,020		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: County Behavioral Health Care	\$183,000		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City Government	\$9,592,679	Capital funds/subsidies	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: HOPWA	\$276,370		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City of Oakland	143,507		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Private Funding			
Grants	\$48,000		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: Bank, AHP	\$4,801,328	Capital funds	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			

Grantee/Project Sponsor/Sub-recipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$121,269		
<b>TOTAL (Sum of all Rows)</b>	<b>26,828,160</b>		

## 2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

**Note:** Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

### A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	N/A
2.	Resident Rent Payments made directly to HOPWA Program	\$25,694.00
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	<b>\$25,694.00</b>

### B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	N/A
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	\$25,694.00
3.	<b>Total Program Income Expended (Sum of Rows 1 and 2)</b>	<b>\$25,694.00</b>

**End of PART 2**

### PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

**Note:** The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

#### 1. HOPWA Performance Planned Goal and Actual Outputs

	<b>HOPWA Performance Planned Goal and Actual</b>	<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
		<b>HOPWA Assistance</b>		<b>Leveraged Households</b>		<b>HOPWA Funds</b>	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
	<b>HOPWA Housing Subsidy Assistance</b>	<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	30	25			\$110,100	\$107,700
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)	15	15			\$182,000	\$143,414
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served)	75	76			\$266,279	\$124,019
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	27	17			\$799,440	\$799,440
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	50	49			\$110,000	\$86,964.
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)					\$1,467,819	\$1,261,537
	<b>Housing Development (Construction and Stewardship of facility based housing)</b>	<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	25	27			\$2,008,554	\$554,472.09
9.	Stewardship Units subject to 3 or 10 year use agreements	134	123				
10.	<b>Total Housing Developed</b> (Sum of Rows 78 & 9)					\$2,008,554	\$554,472.09
	<b>Supportive Services</b>	<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance					\$255,050	\$210,984
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0				
12.	Adjustment for duplication (subtract)						

13.	<b>Total Supportive Services</b> (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)					\$255,050	\$210,984
	<b>Housing Information Services</b>					<b>[2] Output: Funding</b>	
14.	Housing Information Services		300	639		\$131,161	\$80,004
15.	<b>Total Housing Information Services</b>					\$131,161	\$80,004
	<b>Grant Administration and Other Activities</b>				<b>[1] Output: Households</b>	<b>[2] Output: Funding</b>	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$97,034	\$62,675
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$288,053	\$99,890.
20.	<b>Total Grant Administration and Other Activities</b> (Sum of Rows 16 – 19)					\$385,087	\$162,565
	<b>Total Expended</b>					<b>[2] Outputs: HOPWA Funds Expended</b>	
						<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>					\$4,247,671	\$2,269,562

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

**Data check:** Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	114	\$210,984
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>		
16.	<b>Adjustment for Duplication (subtract)</b>		
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	114	\$210,984

## 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

**Data Check:** The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	49	\$ 86,963.93
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	0	
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.		
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.		
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.		
g.	Direct program delivery costs (e.g., program operations staff time)		\$ 86,963.93

**End of PART 3**

#### **Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program. **Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1]. **Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death		Life Event
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death		Life Event

**B. Transitional Housing Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/unknown		
			9 Death		Life Event
B1:Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months					

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

#### Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
	<b>Maintain Private Housing <u>without</u> subsidy</b> (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)		<i>Stable/Permanent Housing (PH)</i>
	<b>Other Private Housing without subsidy</b> (e.g. client switched housing units and is now stable, not likely to seek additional support)		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	<b>Institution</b> (e.g. residential and long-term care)		
	Likely that additional STRMU is needed to maintain current housing arrangements		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	<b>Transitional Facilities/Short-term</b> (e.g. temporary or transitional arrangement)		
	<b>Temporary/Non-Permanent Housing arrangement</b> (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected		
	Death		<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			

### Section 3. HOPWA Outcomes on Access to Care and Support

#### Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

**Note:** These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
<b>1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	
b. Case Management	
c. Adjustment for duplication (subtraction)	
<b>d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)</b>	
<b>2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	
<b>b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance</b>	

#### Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

**Note:** For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing			Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)			Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan			Access to Health Care
4. Accessed and maintained medical insurance/assistance			Access to Health Care
5. Successfully accessed or maintained qualification for sources of income			Sources of Income

**Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

• MEDICAID Health Insurance Program, or use local program name	• Veterans Affairs Medical Services	• Ryan White-funded Medical or Dental Assistance
• MEDICARE Health Insurance Program, or use local program name	• AIDS Drug Assistance Program (ADAP)	
	• State Children's Health Insurance Program (SCHIP), or use local program name	

**Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)**

• Earned Income	• Child Support	• General Assistance (GA), or use local program name
• Veteran's Pension	• Social Security Disability Income (SSDI)	• Private Disability Insurance
• Unemployment Insurance	• Alimony or other Spousal Support	• Temporary Assistance for Needy Families (TANF)
• Pension from Former Job	• Veteran's Disability Payment	• Other Income Sources
• Supplemental Security Income (SSI)	• Retirement Income from Social Security	
	• Worker's Compensation	

**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

**Note:** This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

**Note:** Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	n/a	n/a

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				

Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>				
<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
<b>Total HOPWA Housing Subsidy Assistance</b>				

### Background on HOPWA Housing Stability Codes

#### Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

#### Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

#### Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

#### Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

## PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

**Note:** See definition of Stewardship Units.

### 1. General information

HUD Grant Number(s)  <b>CA-H01-F001, CA-H02-F001 CA-H03-F001, and CA-H04-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input checked="" type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input checked="" type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>1/27/2008</b>

### 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Crossroads	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>25 (beds)</b>	

### 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>East Oakland Community Project – Crossroads Transitional Housing</b>
Site Information: Project Zip Code(s)	<b>94621</b>
Site Information: Congressional District(s)	<b>District 9</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>Wendy Jackson, Executive Director, <a href="mailto:wendvujackson@gmail.com">wendvujackson@gmail.com</a> 510-746-3602</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Wendy U. Jackson, Executive Director Robin Gaston, Executive Assistant to Executive Director 510-746-3604	<b>Signature &amp; Date (mm/dd/yy)</b>  <div style="text-align: right;">Signed Original on file</div>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H00-F001 and CA-H01-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2001</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Allen Temple Manor	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	811/PRAC Payment: \$8299.20 HUD Assistance Payment Tenant Portion:\$3556.80 Tenant Payment

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Allen Temple Manor</b>
Site Information: Project Zip Code(s)	<b>94621</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>Allen Temple Manor, 7607 International Boulevard, Oakland, CA 94621 Krobert@abhow.com</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  American Baptist Homes of the West Kendra Roberts, Property Supervisor 925-924-7182	<b>Signature &amp; Date (mm/dd/yy)</b>  <div style="text-align: right;">Kendra Roberts</div>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s) <b>CA-H02-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2005</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Eastmont Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>4</b>	<b>\$35,316</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Eastmont Court</b>
Site Information: Project Zip Code(s)	<b>94605</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>6850 Foothill Boulevard, Oakland, CA 94605 510-841-4410</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510.841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s) <b>CA-H07-F001 and CA-H08-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2011</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Erna P. Harris Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$42,540

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Erna P. Harris Court</b>
Site Information: Project Zip Code(s)	<b>94704</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>1330 University Avenue, Berkeley, CA 94704</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510-841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H05-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2009</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Fox Courts, LP		
Total Stewardship Units (subject to 3- or 10- year use periods)	6	\$30,898

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Fox Courts Apartments</b>
Site Information: Project Zip Code(s)	<b>94612</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>555 – 19<sup>th</sup> Street, Oakland, CA 94612</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510-841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H02-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input checked="" type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2006</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Lorenzo Creek Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	\$45,912

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Lorenzo Street Apartments – Housing Alliance LP</b>
Site Information: Project Zip Code(s)	<b>94546</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>22198 Center Street, Castro Valley, CA 94546</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510-841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H03-F001 and CA-H04-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2009</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Oxford Plaza LP		
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>4</b>	<b>\$63,499</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Oxford Plaza Apartments</b>
Site Information: Project Zip Code(s)	<b>94704</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>2175 Kittredge Street, Berkeley, CA 94704</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management 510-841-4410	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H04-F001 and CA-H05-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>5-13-2010</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Tassafaronga Village Phase 2	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$49,382

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Tassafaronga Village Phase 2</b>
Site Information: Project Zip Code(s)	<b>94621</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	930 84th Avenue, Oakland, CA 94621

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Phil Neville, Secretary, Tassafaronga Partners II, L.P. Bridget Galka, Assistant Director of Real Estate Development Department 510-587-2142	<b>Signature &amp; Date (mm/dd/yy)</b>  <div style="text-align: right;">Signed copy on file</div>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s) <b>CA-H08-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) <b>6-22-2012</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Merritt Crossing	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$22.6 million

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Merritt Crossing/6<sup>th</sup> &amp; Oak</b>
Site Information: Project Zip Code(s)	<b>94607</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>609 Oak Street, Oakland, CA 94607 510-891-1264</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s) <b>CA-H07-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2011</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Fairmount Apartments	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>4</b>	

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Fairmount Apartments
Site Information: Project Zip Code(s)	94611
Site Information: Congressional District(s)	9 <sup>th</sup>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>401 Fairmount Avenue, Oakland, CA 94611 510-271-1826</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-01-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input checked="" type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2006</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Sacramento Senior Homes	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>2</b>	

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Sacramento Senior Homes (Outback)</b>
Site Information: Project Zip Code(s)	<b>94703</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>2517 Sacramento Street, Berkeley, CA 94703 510-665-1930</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	<b>Signature &amp; Date (mm/dd/yy)</b>  <div style="text-align: right;">Signed copy on file</div>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H02-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2004</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> University Neighborhood Apartments	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>2</b>	

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>University Neighborhood Apartments</b>
Site Information: Project Zip Code(s)	<b>94703</b>
Site Information: Congressional District(s)	<b>9<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>1719 University Avenue, Berkeley, CA 94703 510-845-1223</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H01-F001, CA-H08-F001, and CA-H09-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2003</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Walker House	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>9</b>	<b>\$63,391.53</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Yvette A. Flunder Foundation/Walker House</b>
Site Information: Project Zip Code(s)	<b>94603</b>
Site Information: Congressional District(s)	<b>9th</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>9702 International Boulevard, Oakland, CA 94603, Sharon Thomas 510-635-8422</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management, 510-635-8422	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  CA- H08; CA H09; CA H10-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2013</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Park Alameda	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
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Total Stewardship Units (subject to 3- or 10- year use periods)	9	\$49,341
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### 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Park Alameda</b>
Site Information: Project Zip Code(s)	<b>94501</b>
Site Information: Congressional District(s)	<b>13th</b>
Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>2348 Central Avenue, Alameda, CA 94501</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  CA H06-F001;	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2012</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> <b>Clinton Commons/720 E. 11<sup>th</sup> Street</b>	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$34,565

### 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Clinton Commons</b>
Site Information: Project Zip Code(s)	<b>94606</b>
Site Information: Congressional District(s)	<b>9th</b>
Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>720 E. 11<sup>th</sup> Street, Oakland, CA 94606</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management, 510-635-8422	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  CA H11- F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>05/3/2013</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
California Hotel		
Total Stewardship Units (subject to 3- or 10- year use periods)	5	City of Oakland Rehab Loan:\$ 265,319; US Bank Construction Loan \$418,955 = <b>\$684,274</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	California Hotel
Site Information: Project Zip Code(s)	<b>94608</b>
Site Information: Congressional District(s)	<b>9th</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>3501 San Pablo, Oakland, CA 94608</b>

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Sabrina Butler, Director of Asset Management, 510-635-8422	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s) <b>CA-H99-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input checked="" type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>May 2003</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Alvarez Court	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>10</b>	<b>\$90,120</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Alvarez Court</b>
Site Information: Project Zip Code(s)	<b>94564</b>
Site Information: Congressional District(s)	<b>5<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>760 Alvarez Court, Pinole, CA 94564 510-724-1928</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  CA- H01-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2005</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Garden Park	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
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Total Stewardship Units (subject to 3- or 10- year use periods)	6	\$1,103
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### 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Garden Park</b>
Site Information: Project Zip Code(s)	<b>94523</b>
Site Information: Congressional District(s)	<b>10</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>2387 Lisa Lane; Pleasant Hill, CA 94523</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s) <b>CA-H03-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2005</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Bella Monte Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$14,052

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Bella Monte Apartments</b>
Site Information: Project Zip Code(s)	<b>94565</b>
Site Information: Congressional District(s)	<b>10<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>2410 Willow Pass Road, Bay Point, CA 94565 925-261-1256</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H04-F001</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy) <b>2008</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Villa Vasconcellos	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>3</b>	<b>\$11,884.00</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Villa Vasconcellos</b>
Site Information: Project Zip Code(s)	<b>94597</b>
Site Information: Congressional District(s)	<b>11th</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>1515 Geary Road, Walnut Creek, CA 94597 925-465-3858</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H03-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy)  <b>2005</b>

## 2. Number of Units and Non-HOPWA Expenditures

Facility Name: Lakeside Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$139,209

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Lakeside Apartments</b>
Site Information: Project Zip Code(s)	<b>94520</b>
Site Information: Congressional District(s)	<b>11th</b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>1897 Oakmead Drive, Concord, CA 94520 925-827-2805</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  <div style="text-align: right;">Signed copy on file</div>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

HUD Grant Number(s)  <b>CA-H05-F001</b>	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name  City of Oakland	Date Facility Began Operations (mm/dd/yy) <b>2011</b>

## 2. Number of Units and Non-HOPWA Expenditures

<b>Facility Name:</b> Lillie Mae Jones Plaza	<b>Number of Stewardship Units Developed with HOPWA funds</b>	<b>Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year</b>
Total Stewardship Units (subject to 3- or 10- year use periods)	<b>3</b>	<b>\$ 5,439.00</b>

## 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	<b>Lillie Mae Jones Plaza</b>
Site Information: Project Zip Code(s)	<b>94801</b>
Site Information: Congressional District(s)	<b>11<sup>th</sup></b>
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	<b>120 MacDonald Avenue, Richmond, CA 94801 510-232-1530</b>

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>  Kristin Sherk, Housing Planner, 925-674-7887	<b>Signature &amp; Date (mm/dd/yy)</b>  Signed copy on file
<b>Name &amp; Title of Contact at Grantee Agency</b> (person who can answer questions about the report and program)  Angela Pride, Housing Development Planner	<b>Contact Phone (with area code)</b>  510.238.6187

## Part 7: Summary Overview of Grant Activities

### A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

#### Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

##### a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	281

##### Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	
5.	<b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	
13.	House you own	
14.	Staying or living in someone else's (family and friends) room, apartment, or house	
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	

17.	Don't Know or Refused	
18.	<b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	

### c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance		

## Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

**Note:** See definition of HOPWA Eligible Individual

**Note:** See definition of Transgender.

**Note:** See definition of Beneficiaries.

**Data Check:** The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

### a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	281
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>	

**b. Age and Gender use your chart**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18					
2.	18 to 30 years					
3.	31 to 50 years					
4.	51 years and Older					
	<i>Info to be collected</i>					
5.	<b>Subtotal (Sum of Rows 1-4)</b>	110	34	3		
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18					
7.	18 to 30 years					
8.	31 to 50 years					
9.	51 years and Older					
	<i>Info to be collected</i>					
10.	<b>Subtotal (Sum of Rows 6-9)</b>					
Total Beneficiaries (Chart a, Row 4)						
11.	<b>TOTAL (Sum of Rows 5 &amp; 10)</b>					

**c. Race and Ethnicity\***

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native				
2.	Asian				
3.	Black/African American				
4.	Native Hawaiian/Other Pacific Islander				
5.	White				
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White				
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial <i>or Unknown</i>				
11.	Column Totals (Sum of Rows 1-10)				
<b>Data Check:</b> Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households****Household Area Median Income**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	
2.	31-50% of area median income (very low)	
3.	51-80% of area median income (low)	
4.	Total (Sum of Rows 1-3)	

**Part 7: Summary Overview of Grant Activities****B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor/Subrecipient Agency Name (Required)**

Alameda County Housing & Community Development/Excellence to Affordable Housing (EAH), Inc.

**2. Capital Development****2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Cathedral Gardens
<input checked="" type="checkbox"/> New construction	\$ 111,665.00	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy): 2009
b.	Rehabilitation/Construction Dates:		Date started: 12/2011      Date Completed: n/a
c.	Operation dates:		Date residents began to occupy: n/a <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: n/a <input checked="" type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 5      Total Units = 100
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year
g.	What is the address of the facility (if different from business address)?		Between 21 <sup>st</sup> and 22 <sup>nd</sup> Street, Oakland, CA

h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public
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**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab		8		
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- ☒ Permanent Supportive Housing Facility/Units  
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:** Excellence in Affordable Housing Inc. (EAH)

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence		8				
c.	Project-based rental assistance units or leased units						
d.	Other housing facility <u>Specify:</u>						

**4. Households and Housing Expenditures**

*Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.*

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		

c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	<b>TOTAL Facility-Based Housing Assistance</b> (Sum Rows a. through d. minus Row e.)	N/A	N/A

### 1. Project Sponsor/Subrecipient Agency Name (Required)

Alameda County Housing & Community Development/Affordable Housing Associates
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### 2. Capital Development

#### 2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

<b>Type of Development this operating year</b>	<b>HOPWA Funds Expended this operating year (if applicable)</b>	<b>Non-HOPWA funds Expended (if applicable)</b>	<b>Name of Facility:</b> 6 <sup>th</sup> & Oak Senior Home Apartments (Merritt Crossing)
<input type="checkbox"/> New construction	\$ 10,000.00		<b>Type of Facility [Check only one box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: March 2012      Date Completed:
c.	Operation dates:		Date residents began to occupy: 6-22-2012 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: N/A <input type="checkbox"/> 6-22-2012
e.	Number of units in the facility:		HOPWA-funded units = 3      Total Units = 70
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		609 Oak Street, Oakland, CA
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public

#### 2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible

Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed		3		
Homeownership units constructed (if approved)				

### 3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

#### 3a. Check one only

- ☒ Permanent Supportive Housing Facility/Units  
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

#### 3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units		3				
d.	Other housing facility <u>Specify:</u>						

### 4. Households and Housing Expenditures

*Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.*

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	N/A	N/A

**1. Project Sponsor/Subrecipient Agency Name (Required)**

Alameda County Housing &amp; Community Development/Resources for Community

**2. Capital Development****2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)***Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Ambassador Apartments
<input checked="" type="checkbox"/> New construction	\$ 290,820.00	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: March 2012      Date Completed: n/a
c.	Operation dates:		Date residents began to occupy: N/A <input checked="" type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: N/a <input checked="" type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 5      Total Units = 69
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		1168 36TH Street, Emeryville, CA
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public

**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab		5	5	5
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- ☒ Permanent Supportive Housing Facility/Units  
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling	4					
b.	Community residence						
c.	Project-based rental assistance units or leased units		1				
d.	Other housing facility <u>Specify:</u>						

#### 4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/sub recipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	<b>TOTAL Facility-Based Housing Assistance</b> (Sum Rows a. through d. minus Row e.)	N/A	N/A

#### 1. Project Sponsor/Subrecipient Agency Name (Required)

Contra Costa County Conservation & Development/Resources for Community Development

#### 2. Capital Development

##### 2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Riley Court
<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$ 433.50	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: January 2011      Date Completed:
c.	Operation dates:		Date residents began to occupy: <input checked="" type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input checked="" type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 5      Total Units = 48
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		2061 Riley Ct Apt 1, Concord, CA

h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public
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**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed		5		
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- ☒ Permanent Supportive Housing Facility/Units  
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units		5				
d.	Other housing facility <u>Specify:</u>						

#### 4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	<b>TOTAL Facility-Based Housing Assistance</b> (Sum Rows a. through d. minus Row e.)		

#### Project Sponsor/Subrecipient Agency Name (Required)

Contra Costa County Conservation & Development/Resources for Community Development
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## 2. Capital Development

### 2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Berrellesa Palms
<input checked="" type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$ 199,858.27	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: January 2012      Date Completed:
c.	Operation dates:		Date residents began to occupy: <input checked="" type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input checked="" type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 3      Total Units = 49
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>

g.	What is the address of the facility (if different from business address)?	Martinez, CA 94535
h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public

**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab		3		
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- ☒ Permanent Supportive Housing Facility/Units  
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units		3				
d.	Other housing facility <b>Specify:</b>						

#### 4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

## **PART III:**

### **IDIS REPORTS**

Reports on expenditures and accomplishments generated by HUD's Integrated Disbursement and Information System (IDIS) are available from the City upon request.